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**AN OPTICAL TECHNIQUE FOR THE MEASUREMENT
OF
2-D TEXTURE OF ROLLER BEARING SURFACES**

by

Wai-Hong Wong

A Thesis
Submitted to
the Faculty of Graduate Studies and Research
Through
the Department of Mechanical Engineering
in Partial Fulfillment of the Requirements for
the Degree of Master of Applied Science at
the University of Windsor

Windsor, Ontario, Canada
1993

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ABSTRACT

An optical method based on the laser light scattering technique for surface roughness measurement of tapered roller bearings was developed. This technique was based on an analysis of the Bi-directional Reflective Distribution Function (BRDF) of the scattering pattern from a bearing surface.

A He-Ne laser ($\lambda = 0.6328 \mu\text{m}$) was used as a coherent light source to illuminate the surface of the roller bearings. They were surface-ground and honed to obtain 1-D and 2-D surface texture in order to improve lubrication. The scattering pattern was captured by a CCD camera and then digitized by a frame grabber board on a PC-AT computer for analysis. The BRDF of the scattering pattern was obtained in order to derive the zero and second moments. These were used as the optical roughness parameters of the surface and then correlated to the mechanical root mean square (RMS) roughness and the RMS slope of the surface profile which were obtained from a stylus instrument.

Correlation curves of the zero moment versus the RMS roughness and the second moment versus the RMS slope of 1-D and 2-D surface texture roller bearings were obtained separately. Good correlations were observed, and the curves showed the relationship between the optical roughness parameters and the mechanical roughness parameters. The normalized zero and second moments correlation curves were also obtained and these curves for 1-D and 2-D surface texture roller bearings coincided.

The possible error of this optical measurement technique was determined to be less than 10%.

Dedicated to
my parents, sister and brother

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NOMENCLATURE

a = width of the slit
 A = unit illuminated area on a surface
 d = path difference
 d_x, d_y = spatial distances of the scattering pattern
 E = amplitude of the diffraction pattern in the far field
 E_T = total light source amplitude
 f = spatial frequency
 f_d = fundamental frequency
 f_x = spatial frequency in x-direction
 f_y = spatial frequency in y-direction
 $g(x)$ = a spatial domain function
 $G(f)$ = a frequency domain function
 h = height variations of a surface
 i = a positive integer
 $I(f_x, f_y)$ = greylevel intensity of the scattering pattern
 I_i = intensity of the incident beam
 $I(r)$ = average intensity
 I_s = intensity of the scattering beam
 k = a positive integer
 l = focal length of the transform lens
 L = assessment length
 m = root mean square slope (RMS slope)
 M = magnification of the system
 M_0 = zero moment
 M_2 = second moment
 M_0' = zero moment of the scattering pattern greylevel intensity
 M_2' = second moment of the scattering pattern greylevel intensity
 n = integer indicating the order
 P_{ave} = distance average roughness power
 P_i = light flux of incident beam
 P_s = light flux of scattering beam
 Q = a dimensionless factor related to the surface material property
 r_p, r_s = the ratio of the complex reflection coefficients for the P and S-component of the electromagnetic field
 R_a = average roughness
 R_p = maximum peak to valley height
 $S(f_x, f_y)$ = surface power spectral density
 SD = standard deviation of intensity
 t_0 = height of the slit function
 T = a finite spatial distance
 $T(u)$ = optical Fourier transform of the slit function
 u = frequency domain of the optical Fourier transform of the slit function
 V = normalized average contrast
 x = spatial domain of the original wave front function

X_m = arithmetic mean of the data
 $z(x)$ = height variations of a surface profile
 $Z(f)$ = Fourier transform of the surface profile " $z(x)$ "
 α = an angle
 $\gamma(x)$ = phase modulation function
 Δ, Ψ = Ellipsometry parameters
 θ = the angle between the normal and the direction of interest
 θ_i, θ_1 = incident angle
 θ_n = reflection angle of the order n
 θ_s, θ_2 = scattering angle
 θ_3 = angle of lateral scattering
 λ = illumination wavelength
 Λ = wavelength of a periodic surface
 σ = root mean square roughness (RMS roughness)
 Φ = phase difference between the first and the Nth source in a slit
 Φ_s = angle of lateral scattering
 Ω_s = solid angle
 $\mathcal{F}[\]$ = Fourier transform

CHAPTER I

INTRODUCTION

There is an increasing awareness of the importance of the surface texture of machined tools in production and manufacturing industries. Surface texture is important for applications involving contact surfaces, either being stationary or in relative motion, for example, rolling or sliding. For a press fit, roughness is required for maintaining a certain amount of friction. On the other hand, to reduce friction, the roughness has to be reduced to a minimum. For lubrication, a certain roughness and texture are needed to distribute and retain the lubricant over the surface of interest. In die casting or plastic injection molding, smoothness is required for optical components, for example. Surface texture also indicates tool and machine tool conditions, for example, roughness in turning relates to cutter geometry. Chatter marks or form errors can be related to the movement of the tool and work-piece which in turn reflects the condition of the machine. Therefore, a need exists for the development of sensors for measuring the texture of the machined parts

Production industries require on-line or in-process surface texture measurement techniques for quality control and condition monitoring. These techniques must provide a fast measurement process. The traditional stylus instrument provides a low speed contact measurement method which is not an on-line, in-process roughness measurement technique. On the other hand, many non-contact techniques exist for surface texture measurements such as magnetic, capacitance, ultrasonic, etc. Among these, the optical

technique seems to offer the best advantages because the optical technique can provide high speed as well as a high resolution measurement of surfaces and can measure an area rather than a line on surfaces. Also, the optical technique works properly even if the stand-off distance from the surface is large. Therefore, the optical technique is the most promising for high speed, 2-D texture measurement and this will be considered in the next chapter.

In this section, a description of the surface texture will be given. A review of methods for surface texture assessment follows.

1.1 Surface Texture

According to the American National Standards Institute [ANSI, 1978], surface texture is composed of the repetitive or random deviations from the nominal surface which form the three dimensional topography of the surface. Surface texture components include lay, waviness, roughness (Figure 1.1).

1) Lay: This involves the machine feed marks left on the surface by a cutting tool. The lay direction is defined with respect to the main edge of the part. A surface may have more than one lay direction created by a number of passes in different directions of the cutting tool.

2) Waviness: This is the long wavelength of the surface. Waviness may result from machine or work deflections, vibrations, chatter, etc.

3) Roughness : These are the finer irregularities of the surface texture. Roughness usually results from the inherent action of the production process. A single point cutting tool, for example, is dependent on the tool geometry and the feed. A common parameter for

characterizing roughness is the average roughness (R_a) of the surface profile. The average roughness is the arithmetic mean of the absolute value of the height variations on the surface (Figure 1.2). Mathematically it can be expressed as :

$$R_a = \frac{1}{L} \int_0^L |z(x)| dx \quad (1.1)$$

where L = assessment length,

$z(x)$ = height variations of the surface profile.

Other commonly used surface roughness parameters include R_t & RMS. R_t is the maximum peak to valley height of the surface profile and RMS is the root mean square roughness (RMS roughness) which will be described in section 3.4.

4) Flaws : These are unintentional irregularities which occur infrequently or at widely varying intervals and do not have any consistent pattern. Flaws include scratches, cracks, dents, blowholes, etc.

1.2 Contact and Non-Contact Roughness Measurement

Surface texture measurement techniques can be classified into two types: contact and non-contact techniques.

a) Contact Method

The traditional way of measuring roughness is by the mechanical stylus instrument. This device is equipped with a diamond tip stylus for tracing the surface of interest. The output voltage of this device is proportional to the height variations of the surface profile being measured. This measurement method has several disadvantages :

- 1) The stylus instrument can only measure along one single line.

- 2) It is a relatively slow measurement process for surface measurement and cannot be adopted for high-speed measurement in a production line.
- 3) Soft surfaces may be damaged by the stylus which may affect the quality of the products.

Despite these drawbacks, the mechanical stylus instrument is still the most commonly used instrument for surface roughness measurement in production industries and the roughness standards are normally defined in terms of the stylus measurements.

b) Non-Contact Method

There are several non-contact surface roughness measurement methods such as optical, ultra-sonic [Tenoudji, 1982 ; Blessing, 1988], and capacitance techniques [Garbini, 1985]. Optical techniques are more favourable because of the following advantages:

- 1) The process is capable of area measurement. Thus, it can be applied to surfaces of 2-D texture.
- 2) As it provides measurements on a surface area, it is capable of fast speed which is one of the requirements for on-line measurement.
- 3) The technique is rugged and is not affected by electrical noise.

A detailed review of the optical techniques is given in chapter II.

1.3 Objectives

Certain textures are distributed over the surfaces of roller bearings for the purpose of friction reduction and lubrication. These textures can be two-dimensional for better performance or other uses. Therefore, it is necessary to develop a surface texture

measurement technique for roller bearings during manufacturing. Due to the advantages of the optical technique described in the previous section, it is considered to be the appropriate roughness measurement technique for 2-D texture of roller bearings. The main objectives for the work presented in this research are as follows:

- 1) To develop an optical measurement technique for the assessment of 2-D surface texture using the Bi-directional Reflective Distribution Function (BRDF) of the scattering pattern from bearing surfaces.
- 2) To derive optical parameter(s) that can be related to the mechanical roughness of this type of surface.
- 3) To obtain a correlation between the optical roughness parameter(s) and the mechanical roughness parameter(s) for roller bearings having 2-D surface texture.

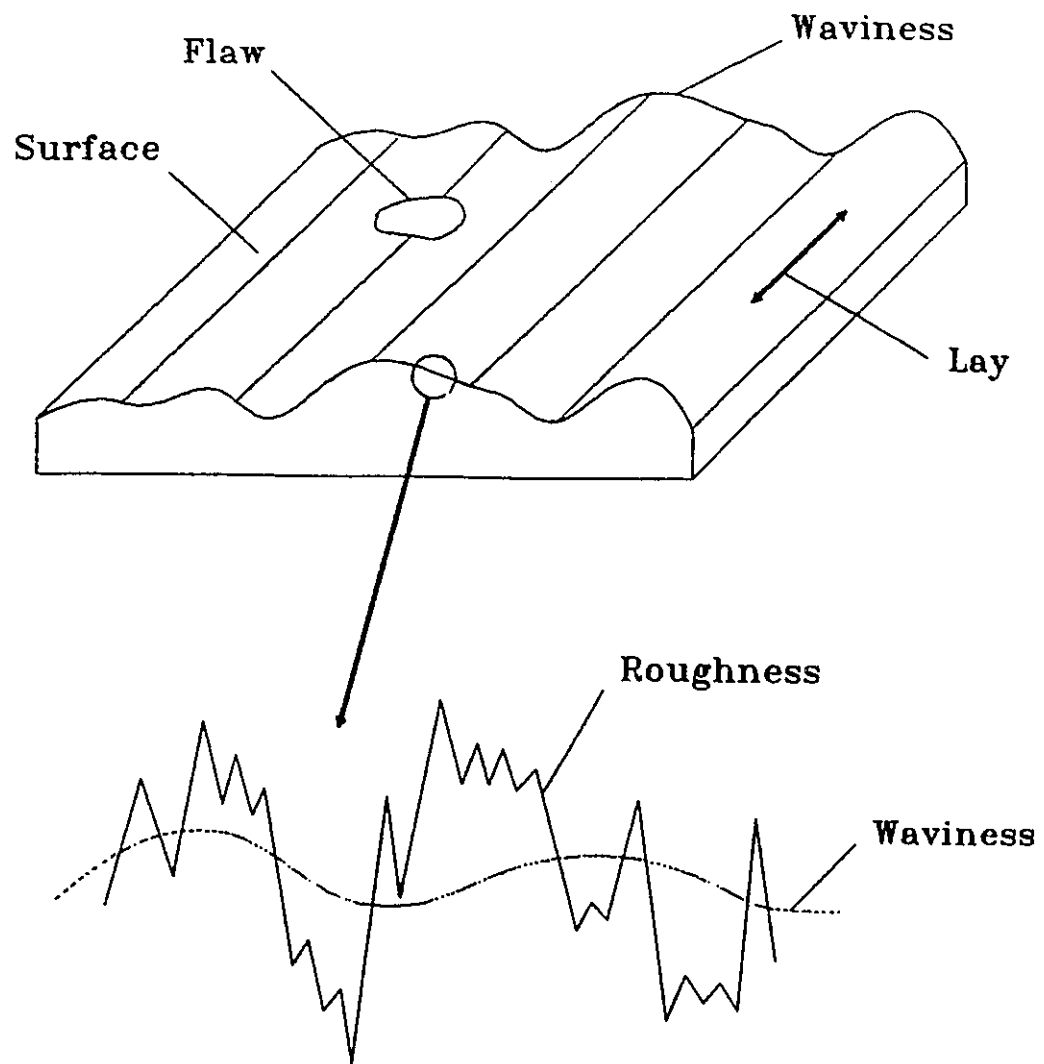


Figure 1.1 Different components of surface texture

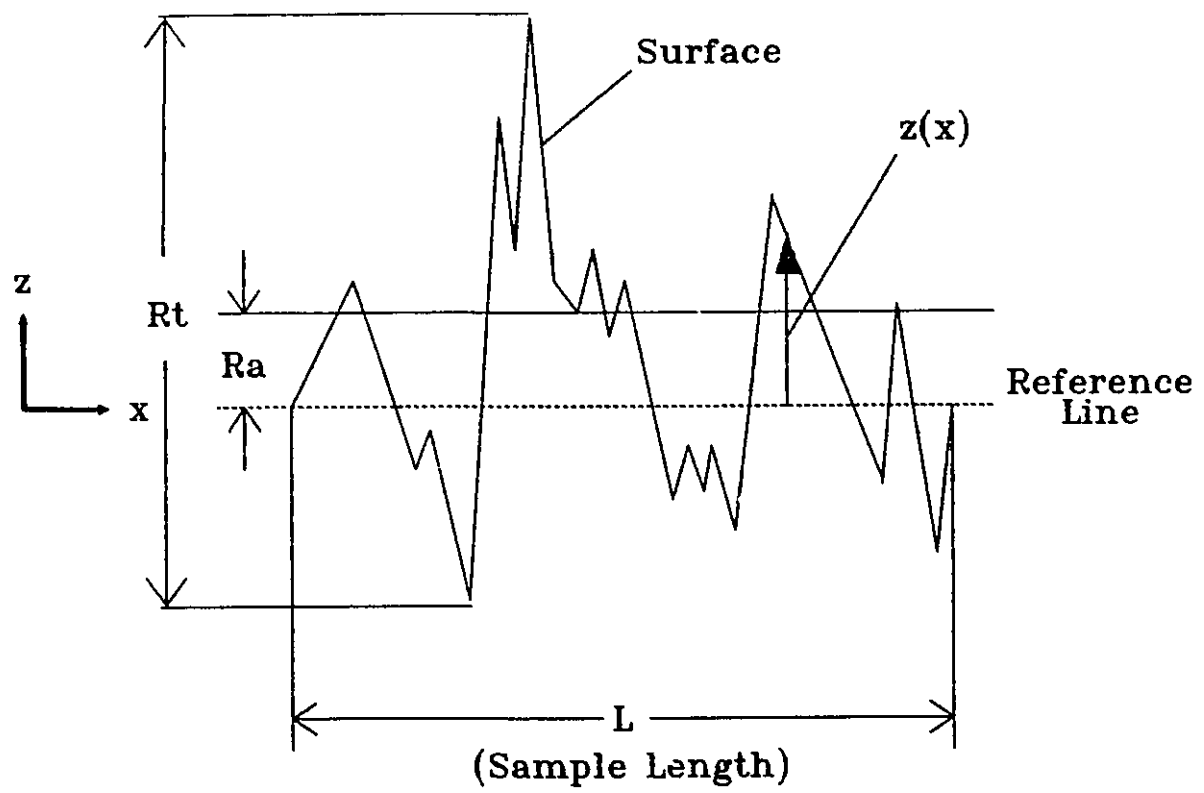


Figure 1.2 Description of Ra and Rt

CHAPTER II

LITERATURE SURVEY OF OPTICAL TECHNIQUES

Optical techniques were developed primarily as an alternative to overcome some of the disadvantages in contact surface roughness measurement methods. These techniques are capable of being adapted for an on-line, in-process surface roughness measurement method for industrial use. These optical techniques can be grouped according to the physical phenomena on which the measuring system is based. As such, they are classified into some broad categories such as interferometric methods, laser speckle, optical Fourier transform and light scattering. In this chapter, a review of these optical measurement methods is given.

2.1 Interferometric

Interferometry is adopted for use in the measurement of very smooth surfaces in laboratories. In this technique, interference fringes are created and used to quantify the height of surface irregularity. A schematic diagram of the set-up for this technique is shown in Figure 2.1.

A monochromatic light source, such as a He-Ne laser, projects the light through a reference optical flat and then onto the sample surface. The reflected light beams from the reference optical flat and from the sample surface are combined to form fringes. Dark fringes (minimum light intensity) will occur at the location where the path difference between the two beams is a multiple of half of the illumination wavelength. Similarly,

bright fringes (maximum light intensity) will occur where the path difference is a multiple of the wavelength. If the sample surface is slightly tilted relative to the reference surface, a set of parallel fringes will form. Any distortion in the fringe pattern can be related to the surface deviation from the reference. The fringe pattern is focused through a microscope optical system onto the image plane of a CCD camera. The patterns can then be captured, digitized and processed to give the surface profile of the sample surface. Due to its high resolution, this technique can measure a surface as smooth as 1 nm RMS roughness value. The measured maximum roughness in this case is limited to less than $\lambda/2$. At this roughness, the interference fringes will merge together.

There are several commercially available surface roughness measurement systems that are based on interferometry [Hariharan, 1985]. For example, the oblique incidence interferometry can measure surfaces having low reflectivity. This measurement is carried out by using an oblique incident light in conjunction with a reference surface made of fine-ground glass or metal. Zygo [Sommargren, 1981] manufactures Heterodyne interferometry, another class of interferometric roughness measurement systems. It uses a two frequency laser beam and sophisticated phase detecting electronics to give a vertical sensitivity in the order of one Angstrom. Other important interferometric measurement methods include holographic interferometry. This measuring system determines the variation in surface height by comparing the stored interfering wavefronts (in the form of a hologram) with another wavefront. This method allows us to also measure accurately any change in the shape of the surface.

The interferometric techniques are, in general, applicable to very smooth surfaces. Furthermore, they are very sensitive to temperature change, air movement and building

vibration. Thus they cannot be used on production floor, especially for on-line, in-process surface roughness measurement.

2.2 Laser Speckle

This technique utilizes the speckle phenomenon that occurs when a coherent light is reflected from a surface. A schematic diagram of the apparatus used by Fujii [1977] and Asakura [1978] is shown in Figure 2.2. In this set-up, a folding mirror directs a He-Ne laser beam to an inverted telescope. The expanded light beam is filtered through an aperture and then focused onto a small area of the surface by a lens. A random speckle pattern, consisting of dark and bright regions, forms at the image plane in the far field. A photo-multiplier with a small pinhole is placed at the image plane to measure the intensity of the pattern. A varying signal is obtained when the sample is traversed at a constant speed. The signal is then used to determine the speckle contrast via the equation:

$$V = \frac{SD}{I(r)} = \frac{\sqrt{I^2(r) - [I(r)]^2}}{I(r)} \quad (2.1)$$

where V = normalized average contrast,

SD = standard deviation of intensity,

$I(r)$ = average intensity.

This calculated contrast value can then be correlated to mechanical roughness parameters such as average roughness (R_a) or RMS roughness. As the speckle is related to the degree of randomness in the surface, the smaller the contrast, the greater the roughness. Correlation curves are different for different materials and different machining processes. The upper roughness limit for this technique is determined by the illumination wavelength

λ . In practice, this value is found to be less than about 0.1λ . Therefore, this technique is not applicable to relatively rough surfaces. It should also be mentioned that this technique only yields statistical averages of roughness. It is not possible to derive other data relating to any functional aspects of the surface such as R_a , or a bearing curve, etc. from a simple average speckle contrast.

2.3 Ellipsometry

This technique measures the change in the polarization state of a light beam when it is reflected from a surface [Azzam & Bashara, 1977]. Figure 2.3 shows a schematic diagram of an ellipsometer. The P-component is parallel to the plane of incidence and the S-component is perpendicular to the plane of incidence. The ratio of the complex reflection coefficients for the P-component (r_p) and the S-component (r_s) of the electromagnetic field is determined (r_p/r_s) and related to the ellipsometry parameters " Δ " & " Ψ " via equation 2.2 [Azzam & Bashara, 1977 ; Kruger & Hayfield, 1971] :

$$r_p / r_s = (\tan \Psi) e^{i\Delta} \quad (2.2)$$

where $\tan \Psi$ is equal to the ratio of the P and S reflection coefficients and Δ is equal to the phase shift between them. These parameters can then be used with the Fresnel equations to calculate the optical constants of solids and can be related to the surface roughness.

Lonardo [1974 ; 1978] used the ellipsometric technique as an in-process surface roughness detection tool for ground and polished steel surfaces. Vorburger and Ludema

[1980] compared the ellipsometry parameters for lapped and ground surfaces with the roughness parameters measured by a stylus instrument. However, this technique cannot be used to measure the surface roughness of engineering surfaces directly because this technique is sensitive to the surface properties, such as damage or defect, and it is also sensitive to temperature.

2.4 Optical Fourier Transforms

The optical Fourier Transforms can be used to relate the diffraction pattern generated from a rough surface and its surface texture [Kurada, 1988 ; Cuthbert, 1991]. Examples of one- and two-dimensional diffraction patterns through a single slit are shown in Figures 2.4 a and b. The diffraction phenomenon through a single slit can be explained using the principle of physical optics. By Huygen's principle, the slit can be divided into a large number (N) of points and each point on the slit can be considered as a source. The waves generated from these sources form a wave front. The phase difference between the first and the Nth source can be expressed as:

$$\Phi = \frac{2 \pi a \sin \theta}{\lambda} \quad (2.3)$$

where Φ = phase difference between the first and the Nth source,

a = the width of the slit,

θ = the angle between the normal and the direction of interest,

λ = illumination wavelength.

In Figure 2.5, the arc of the small arrows shows the phasors representing the wave disturbances that reach point p on the screen of Fig. 2.4a. The amplitude "E" of the

diffraction pattern in the far field is given as [Halliday & Resnick, 1978]:

$$E = 2r \sin(\Phi/2)$$

Φ can be expressed as:

$$\Phi = \frac{E_T}{r}$$

combining yields:

$$E = E_T \frac{\sin(\Phi/2)}{(\Phi/2)} = E_T \text{sinc}(\Phi/2) \quad (2.4)$$

where E_T = total light source amplitude.

The diffraction pattern of the slit can also be determined by a Fourier transform of a slit function (Figure 2.6). This transform is:

$$\begin{aligned} T(u) &= \int_{-a/2}^{a/2} t_o e^{(-2\pi jux)} dx \\ &= t_o a \frac{\sin \pi ua}{\pi ua} = t_o a \text{sinc}(\pi ua) \end{aligned} \quad (2.5)$$

where $T(u)$ = the optical Fourier transform of the slit function,

t_o = the height of the slit function,

a = the width of the slit,

u = frequency domain of the optical Fourier transform of the slit function,

x = spatial domain of the original wave front function.

By comparing equations (2.4) and (2.5), one can observe that they are equivalent. Thus the diffraction pattern of light through a single slit formed in the far field can be represented mathematically by the Fourier transform of the slit function.

The phenomenon of light diffraction from rough surfaces can be quantified by considering two light beams from the same coherent source incident on the rough surface as shown in Figure 2.7. A ray reflecting the rough surface will trace path (2) while the ray reflecting from the perfectly (imaginary) smooth surface will trace path (1). These two paths will meet at infinity (far field) and the intensity of the combined beam is determined by the phase difference of these two beams due to the height variations of the surface. The relationship between the phase and the surface height can be derived by considering the geometry in Figure 2.7.

The phase associated with a path difference d is given by:

$$\gamma(x) = \frac{2\pi d}{\lambda} \pm 2n\pi \quad (2.6)$$

where $\gamma(x)$ = phase modulation function,

λ = the illumination wavelength,

n = a positive integer.

From Figure 2.7, the path difference is given by:

$$d = \Delta_1 + \Delta_2 \quad (2.7)$$

For triangle ABD :

$$\Delta_1 = \frac{h}{\cos \alpha} \quad (2.8)$$

For triangle ABC :

$$\Delta_2 = \Delta_1 \cos 2\alpha \quad (2.9)$$

Substitute Equation (2.8) & (2.9) into Equation (2.7) and after reduction:

$$d = 2h \cos \alpha \quad (2.7)$$

Equation (2.6) now becomes:

$$\gamma(x) = \frac{4\pi h \cos \alpha}{\lambda} \pm 2n\pi$$

When α is very small, the above equation is reduced to :

$$\gamma(x) = \frac{4\pi h}{\lambda} \pm 2n\pi$$

For a unique representation of $\gamma(x)$, the maximum of $\gamma(x)$ has to be less than 2π :

$$\frac{4\pi h}{\lambda} < 2\pi$$

$$\rightarrow h < \lambda/2$$

Therefore, the surface height variation (h) measured by this technique is small compared with the illumination wavelength.

2.5 Light Scattering

The scattering of light from rough surfaces can be treated as the scattering of electromagnetic waves. Studies of electromagnetic wave scattering were first carried out by Beckmann and Spizzichino [1963]. When a beam of coherent light is reflected from a surface, the radiation is scattered into an angular distribution. If the surface is smooth, the scattering pattern is localized and concentrated around the specular direction (Figure 2.8a). As the roughness increases, the intensity of the specular beam decreases while the scattered radiation increases, i.e. the reflecting beam is more diffuse (Figure 2.8b). The distribution characteristics of the scatter can be studied and related to the roughness of the surface. Chandley [1976] estimated the auto-correlation functions obtained by a Hankel transform of the scattering patterns of rough surfaces. Brodmann, Rodenstock and Thurn [1984] related the power spectrum from the scattering patterns to the stylus measurements. Kurada [1988] correlated the normalized spectrum RMS to the average roughness (R_a) obtained by the stylus instrument. A detailed description of the light scattering methods will be given in Chapter III.

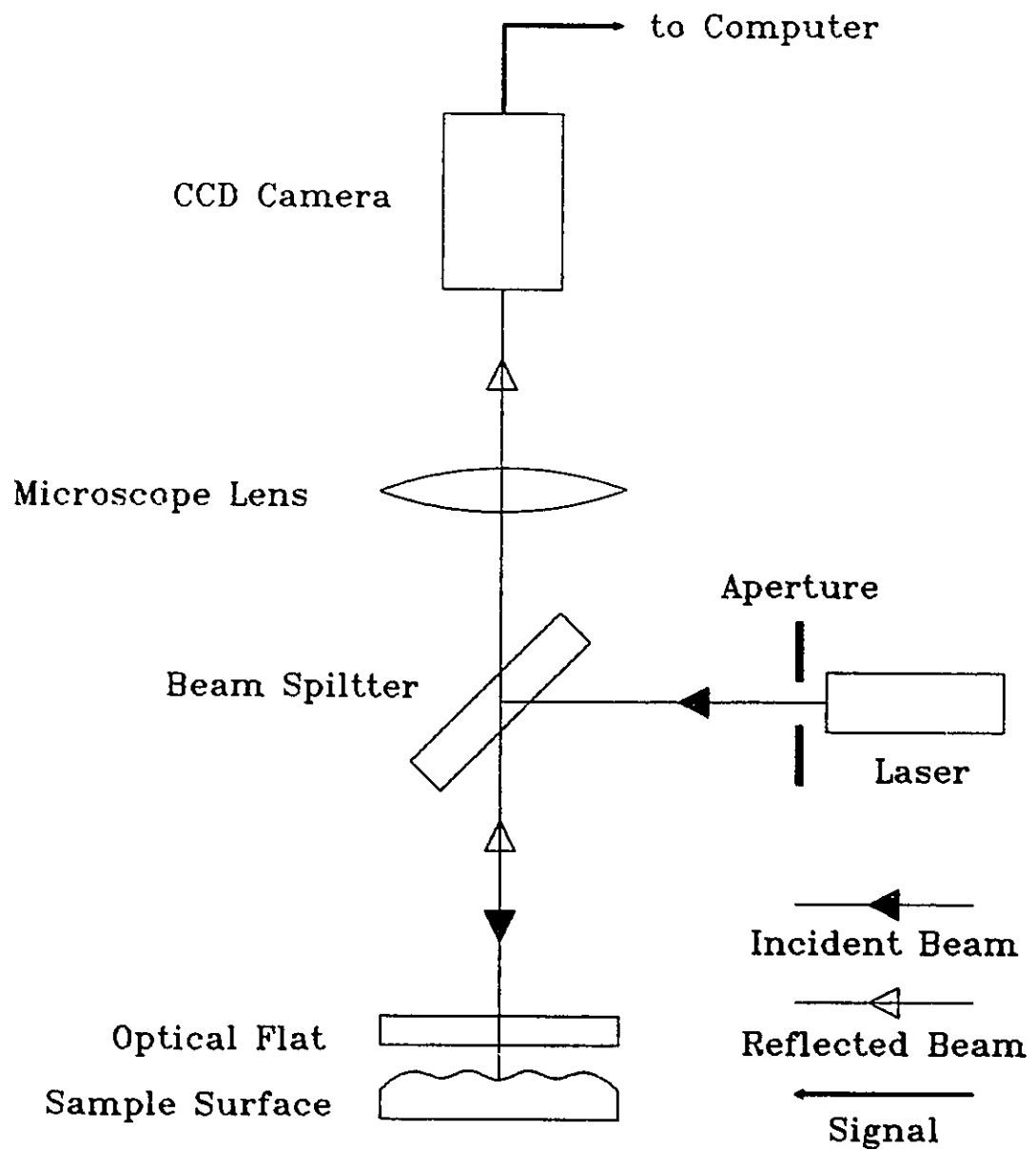


Figure 2.1 Schematic diagram of the setup for roughness measurement using interferometric technique

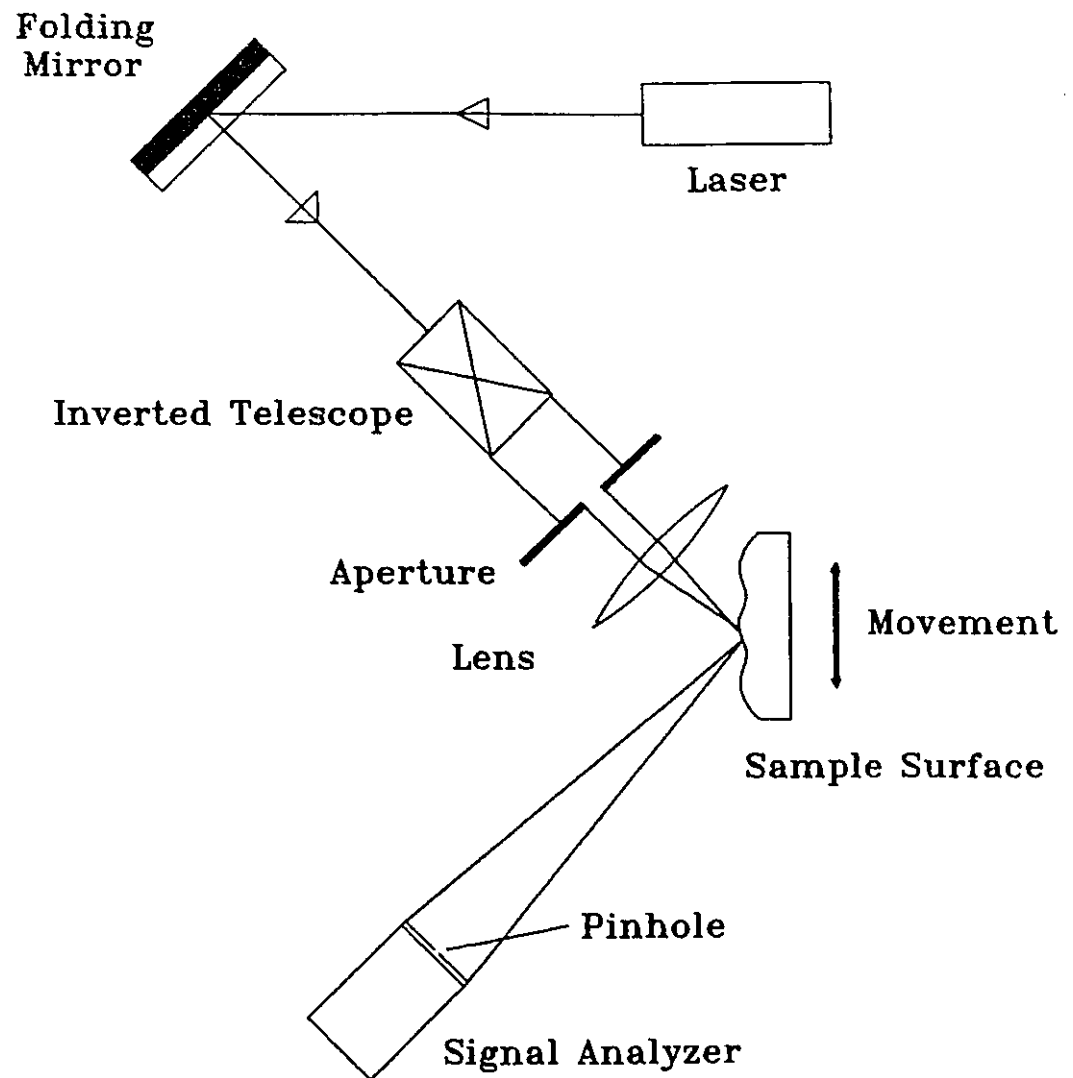


Figure 2.2 Schematic diagram of the setup for roughness measurement using laser speckle

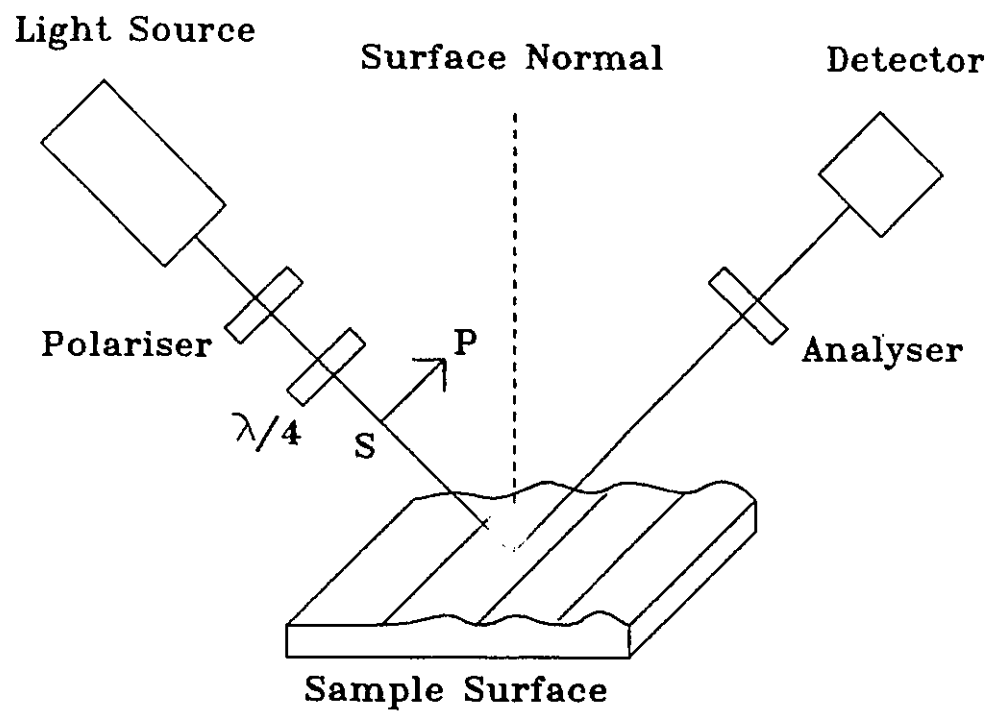


Figure 2.3 Schematic diagram of the setup for roughness measurement using ellipsometry technique

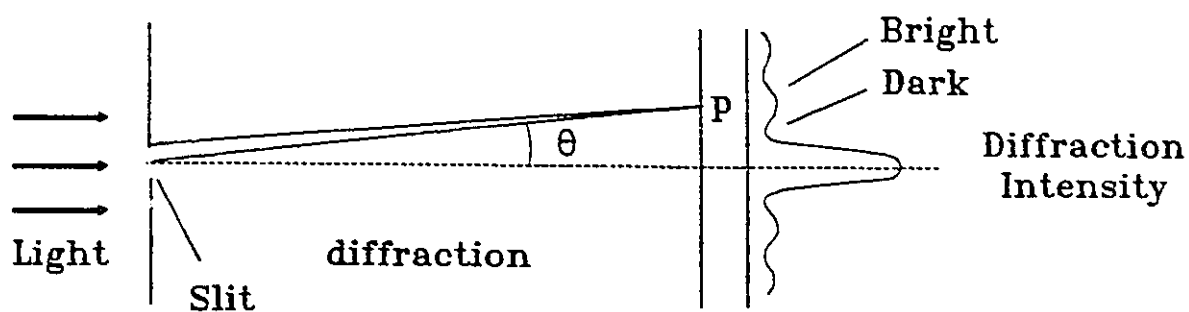


Figure 2.4a 1-D light diffraction through a single slit

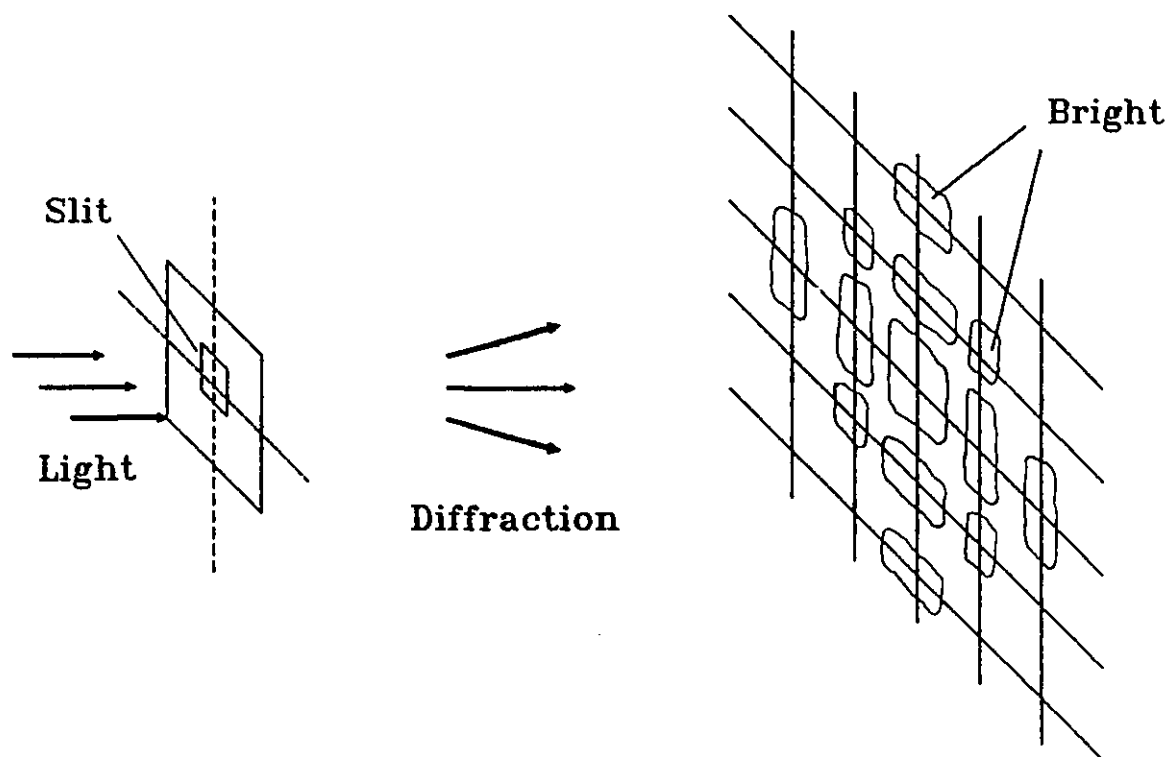


Figure 2.4b 2-D light diffraction through a square slit

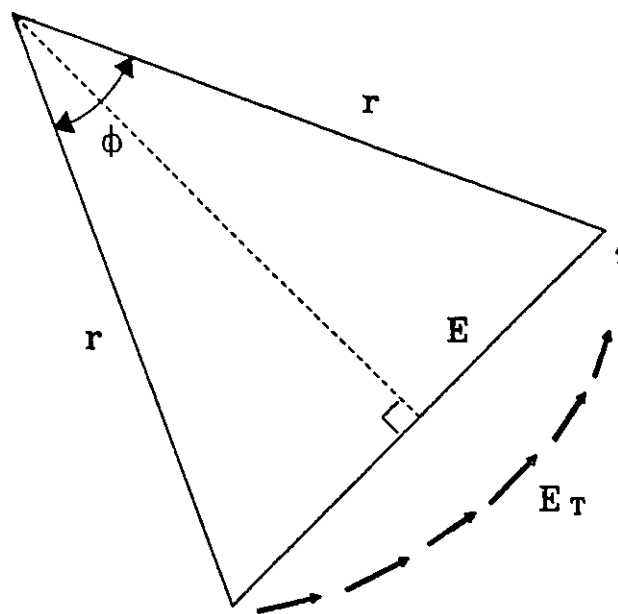


Figure 2.5 Phasor diagram for a larger number of sources

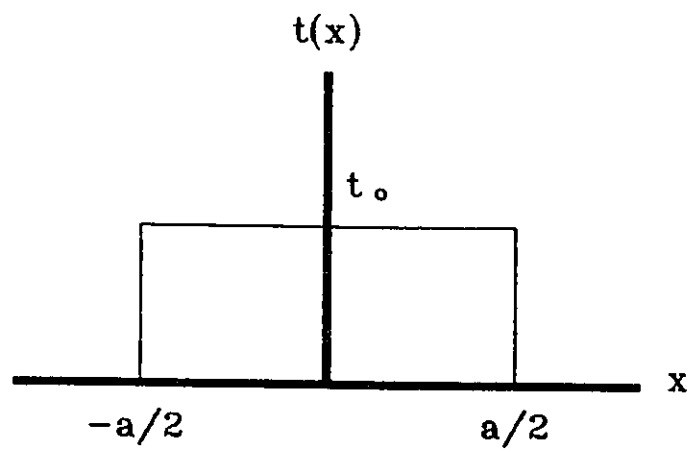


Figure 2.6 A slit function

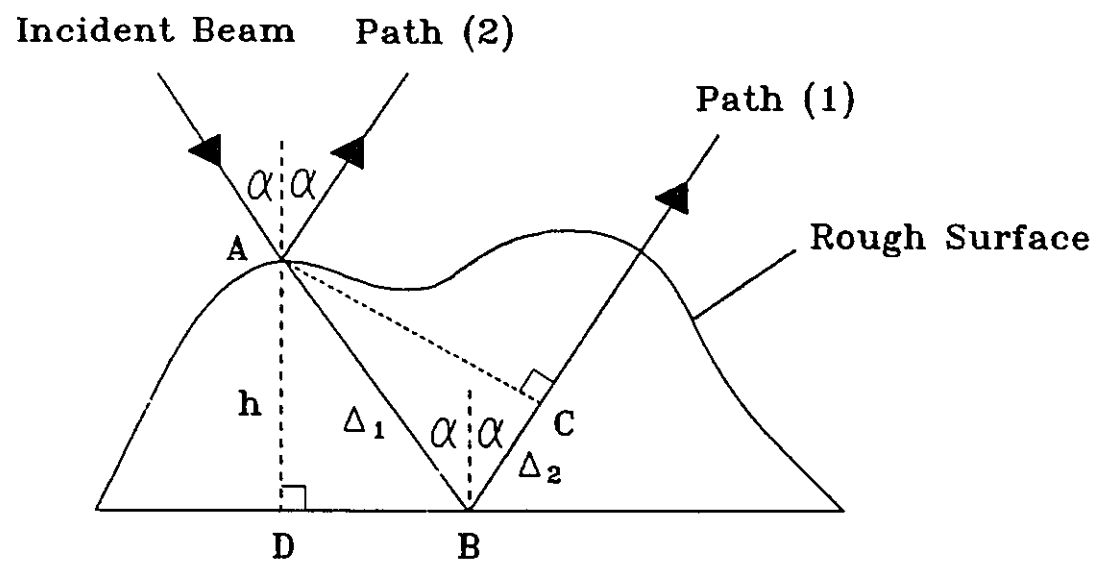


Figure 2.7 Path difference produced by surface roughness

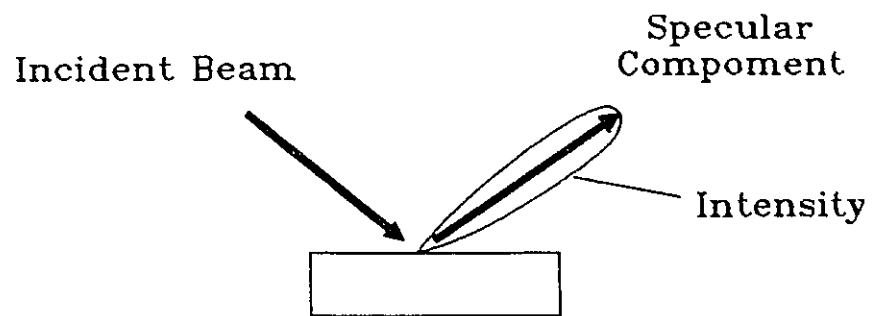


Figure 2.8a Polar plot of light reflected from smooth surface

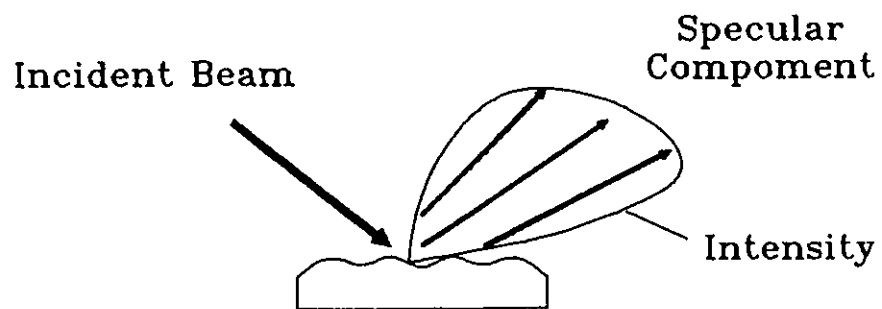


Figure 2.8b Polar plot of light reflected from rough surface

CHAPTER III

THEORY

When a light beam is incident on a rough surface, it will be transmitted, absorbed and scattered. The phenomenon of light scattering from a rough surface will be studied in order to develop an optical technique for surface roughness measurement. In this chapter, a brief description of light scattering geometry is given, followed by a discussion of light scattering from some surfaces. The light scattering measurement method of Bi-directional Reflective Distribution Function (BRDF) is introduced. Finally, the roughness parameters are described.

3.1 Light Scattering

When a light beam is incident on a surface, it is scattered in all directions. A diagram of light scattering geometry is shown in Figure 3.1 [Beckmann & Spizzichino, 1963]. Plane (1) is the plane of incidence and plane (2) is a plane in consideration. The angle of incidence θ_1 and the scattering angle θ_2 are measured between the normal to the surface and the appropriate beam. θ_3 is the angle of lateral scattering for lateral scattering out of the plane of incidence. Note that if it is a one-dimensional light scattering, the plane of incidence and the scattering direction are on the same plane, then θ_3 equals zero.

The scattering field is far from the surface on which the scattering pattern of the surface is formed. The phase of the scattering light is modulated by the height variations of the surface. The scattering pattern in the far field can be related to the surface

topography. In the next section, scattering patterns of different kinds of surfaces will be discussed.

3.2 Scattering from Surfaces

Scattering patterns of smooth, rough, periodic, random, one-dimensional and two-dimensional surfaces are illustrated in Figure 3.2. An illuminated reflective sample is located in the x,y plane, and the light is scattered onto the x_0,y_0 observation plane.

a) Perfectly Smooth Surface

A perfectly smooth surface has no fluctuation on it so that the reflected energy is concentrated on the specular beam. Therefore, a bright spot with all of the reflected energy propagated is observed on the scattering field. The light scattering from a perfectly smooth surface is a 1-D light scattering whereby the angle of incidence is equal to the scattering angle and follows the law of reflection. Figure 3.3 shows the scattering pattern of a mirror.

b) Periodic Surface

The surface discussed here is a one-dimensional periodic surface. The scattering pattern consists of light spots along the X_0 -axis in the observation plane in Figure 3.2b. This alignment occurs because the lay direction of the surface is parallel to the Y -axis in the reflector plane. The spacing of the light spots is determined by the grating equation [Stover, 1990]:

$$\sin\theta_n = \sin\theta_i + n\frac{\lambda}{\Lambda} \quad (3.1)$$

where θ_a = reflection angle of the order n,

θ_i = incident angle,

n = integer indicating the order,

λ = illumination wavelength

Λ = wavelength of the periodic surface.

Figure 3.4 shows different diffraction orders from a given periodic surface.

Photographs of a triangular wave surface as seen through a microscope and its corresponding scattering pattern are shown in Figures 3.5a & b.

c) One-Dimensional (1-D) Random Surface

The grinding process usually produces a 1-D surface with a given lay direction. In Figure 3.2c a 1-D random surface scatters light along the X_0 -axis on the observation plane. Each wavelength on the surface produces a set of diffraction spots similar to the scattering pattern of a periodic surface. There are many wavelengths present in a random surface and these wavelengths produce different sets of diffraction spots. These spots lay along the X_0 -axis on the observation plane to form the band of light (also see Figures 3.6a & b).

d) Two-Dimensional (2-D) Surface

The machining marks of the 2-D surface being discussed here extend in two directions perpendicular to each other. The scattering light of this kind of surface spreads in two directions to form two bands which cross each other as shown in Figure 3.2d. Figures 3.7a & b show the photographs of a 2-D surface (by a lapping process) through a microscope and its corresponding scattering pattern.

e) Isotropic Surface

Isotropic surfaces are 2-D surfaces having the same property in any direction. Light is scattered all over the observation plane in all directions as shown in Figure 3.2e. Generally, the intensity of the scattering pattern decreases when the light is scattered further away from the origin of the observation plane (see Figures 3.8a &b).

The scattering pattern is related to the surface texture which can be studied for surface texture measurement. The method of measurement of scattered light will be introduced in the next section.

3.3 Bi-directional Reflective Distribution Function (BRDF)

The distribution of the light of a scattering pattern is a function of the incident angle, wavelength and power of the incident light. In addition, this pattern is a function of other parameters such as transmittance, surface finish and the index of reflection. The Bi-directional Scatter Distributions Function (BSDF) is a common form of scatter characterization. This BSDF includes several types of scatter such as Bi-directional Reflective Distribution Function (BRDF), Bi-directional Transmissive Distribution Function (BTDF) and Bi-directional Volume Distribution Function (BVDF) [Stover, 1990].

The Bi-directional Reflective Distribution Function is a major subset of the BSDF and is commonly used for measuring scattered light. The BRDF is defined as the ratio of the scattered surface radiance to the incident surface irradiance [Nicodemus, 1977] :

$$\text{BRDF} = \frac{\text{Scattered Surface Radiance}}{\text{Incident Surface Irradiance}} \quad (3.3)$$

The scattered surface radiance is the light flux (power) scattered through a solid angle per unit illuminated area of the surface per unit projected solid angle. The projected solid angle is the solid angle times the cosine of the scattering angle. The incident surface irradiance is the light flux incident on the surface per unit illuminated area. The geometry of BRDF is shown in Figure 3.9. In this figure, the incident beam is assumed to have a uniform cross section. P_i and P_s are the light flux (power) of the incident and scattering beam respectively. θ_i is the incident angle and θ_s is the scattering angle. ϕ_s is the angle of lateral scattering. Therefore, the direction (θ_s, ϕ_s) is the direction of the scattering beam in consideration. Now the scattered surface radiance and the incident surface irradiance can be mathematically expressed as follows:

$$\text{Scattered Surface Radiance} = \frac{dP_s}{A \cdot d\Omega_s \cdot \cos\theta_s} \quad (3.4)$$

$$\text{Incident Surface Irradiance} = \frac{P_i}{A} \quad (3.5)$$

where P_s = light flux of scattering beam,

P_i = light flux of incident beam,

$d\Omega_s$ = unit solid angle,

θ_s = scattering angle,

A = unit illuminated area on the surface.

Therefore, the Equation (3.3) is now:

$$BRDF = \frac{dP_s}{P_i d\Omega_s \cos \theta_s} = \frac{dP_s/d\Omega_s}{P_i \cos \theta_s} \quad (3.6)$$

The Rayleigh-Rice vector perturbation theory relates the power density of the scattering light per unit incident power to the surface power spectral density function as [Rice, 1951] :

$$\frac{(dP_s/d\Omega_s) d\Omega_s}{P_i} = \left(\frac{16\pi^2}{\lambda^4} \right) \cos \theta_i \cos^2 \theta_s Q S(f_x, f_y) d\Omega_s \quad (3.7)$$

The left hand side of the above equation is the power scattered in the scattering direction through the unit solid angle per unit incident power. The λ on the right side of the equation is the illumination wavelength. θ_i and θ_s are the incident and scattering angles respectively. Q is a dimensionless reflectivity polarization factor which relates to the sample material properties. $S(f_x, f_y)$ is the two-dimensional surface power spectral density function of the scattering pattern in terms of the sample surface spatial frequencies f_x and f_y (see Figure 3.10). By comparing Equations (3.6) and (3.7), it can be observed that the BRDF is related to the power spectral density, $S(f_x, f_y)$, of the scattering pattern:

$$BRDF = \frac{16\pi^2}{\lambda^4} \cos \theta_i \cos \theta_s Q S(f_x, f_y) \quad (3.8)$$

On the other hand, the BRDF is also related to the illumination wavelength (λ). Coherent light, such as laser, has to be used as the light source in order to provide a narrow band of illumination wavelength.

Optical surface roughness parameters derived from the power spectral density of the scattering pattern based on the Bi-directional Reflective Distribution Function will be obtained to correlate the mechanical roughness parameters. These roughness parameters will be discussed in the next section.

3.4 Roughness Parameters

The power spectral density of the scattering pattern can be found by using the Fourier analysis and the random signal theory. The Fourier transform was shown by B.J. Baptiste Fourier that any periodic wave form can be generated by adding up sine or cosine waves of different frequencies and amplitudes. A spatial domain function is transformed into a frequency domain function by the Fourier transform:

$$G(f) = \mathcal{F}[g(x)] = \int_0^x g(x) e^{-j2\pi fx} dx \quad (3.9)$$

where $G(f)$ = frequency domain function,

$g(x)$ = spatial domain function,

$\mathcal{F}[\]$ = Fourier transform.

By performing the inverse transform, the spatial domain can be recovered:

$$g(x) = \mathcal{F}^{-1}[G(f)] = \int_0^f G(f) e^{j2\pi fx} df \quad (3.10)$$

The distance average power, borrowed from electrical engineering terminology, is defined as:

$$P_{ave} = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T g^2(x) dx \quad (3.11)$$

where P_{ave} = distance average power,

T = a finite spatial distance.

The following equation is obtained by substituting Equation (3.10) into (3.11) :

$$P_{ave} = \int_0^T \lim_{T \rightarrow \infty} \frac{[G(f)]^2}{T} df \quad (3.12)$$

The power spectral density, $S(f)$, is the spatial domain function height squared per unit spatial distance. Therefore, the power spectral density of the deterministic spatial domain function is given by the integrand in Equation (3.12):

$$S(f) = \lim_{T \rightarrow \infty} \frac{[G(f)]^2}{T} \quad (3.13)$$

Note that the $G(f)$ term in the above equation is the amplitude of the frequency domain Fourier transform function of the spatial domain function. Thus, the power spectral density is proportional to the square of this amplitude. The zero moment (M_0) and the second moment (M_2) of the spatial domain function are defined as :

$$M_0 = \sqrt{\int (2\pi f)^0 S(f) df} = \sqrt{\int S(f) df} \quad (3.14)$$

$$M_2 = \sqrt{\int (2\pi f)^2 S(f) df} = 2\pi \sqrt{\int f^2 S(f) df} \quad (3.15)$$

In summation form, they are [Stover, 1990]:

$$M_0 = \sqrt{\sum S(f) \Delta f} \quad (3.18)$$

$$M_2 = 2\pi \sqrt{\sum f^2 S(f) \Delta f} \quad (3.19)$$

Surface statistics can be found by calculating the zero and the second moments of the scattering pattern of a surface. The measurable quantity of the scattering pattern of a surface is the greylevel intensity of the pattern. The intensity of a function is directly proportional to the square of the amplitude of the function [Morgan, 1953]. Therefore, the greylevel intensity of a scattering pattern is proportional to the square of the amplitude of the scattering pattern. Thus, the greylevel intensity of a scattering pattern, $I(f)$, is proportional to the power spectral density function, $S(f)$. The greylevel intensity can be measured and used to obtain the zero and second moments of the scattering pattern intensity of a surface:

$$M_0 = \sqrt{\sum I(f) \Delta f} \quad (3.20)$$

$$M_2 = 2\pi \sqrt{\sum f^2 I(f) \Delta f} \quad (3.21)$$

The above equations can be extended for a 2-D scattering pattern of a 2-D texture surface as follows:

$$M_0 = \sqrt{\sum [I(f_x, f_y) \Delta f_x \Delta f_y]} \quad (3.22)$$

$$M_2 = 2\pi \sqrt{\sum [(f_x^2 + f_y^2) I(f_x, f_y) \Delta f_x \Delta f_y]} \quad (3.23)$$

The f_x and f_y are the spatial frequencies as mentioned in section 3.3. These spatial frequencies can be transformed from the spatial distances of the scattering pattern via [Kurada, 1988] :

$$f_x = \frac{d_x M}{\lambda l} \quad (3.24)$$

$$f_y = \frac{d_y M}{\lambda l} \quad (3.25)$$

where d_x, d_y = spatial distances of the scattering pattern in x and y -directions,

M = magnification of the system,

λ = illumination wavelength,

l = focal length of the transform lens.

The optical roughness parameters, zero moment of the greylevel intensity of the scattering pattern (M_0') and second moment of the greylevel intensity of the scattering pattern (M_2') are calculated by :

$$M_0' = \sqrt{\sum I(f_x, f_y)} \quad (3.26)$$

$$M_2' = 2\pi \sqrt{\sum f^2 I(f_x, f_y)} \quad (3.27)$$

These optical roughness parameters are used to correlate the mechanical roughness parameters, root mean square (RMS) roughness and RMS slope of the surface profile. The

RMS roughness (σ) can be simply found via :

$$\sigma = \sqrt{\frac{1}{L} \int_0^L [z(x)]^2 dx} \quad (3.28)$$

All notations in the above equation are referred to Equation 1.1 in section 1.1. The RMS slope (m) can be obtained from the surface profile:

$$m = \sqrt{\frac{1}{L} \int_0^L \left[\frac{d(z(x))}{dx} \right]^2 dx} \quad (3.29)$$

or from the Fourier transform of the surface profile [Bendat & Piersol, 1971]:

$$m = \sqrt{\frac{1}{T} \int_0^f [2\pi f Z(f)]^2 df} \quad (3.30)$$

where $Z(f)$ = Fourier transform of the surface profile,

f = surface profile spatial frequency,

T = finite spatial distance of the profile.

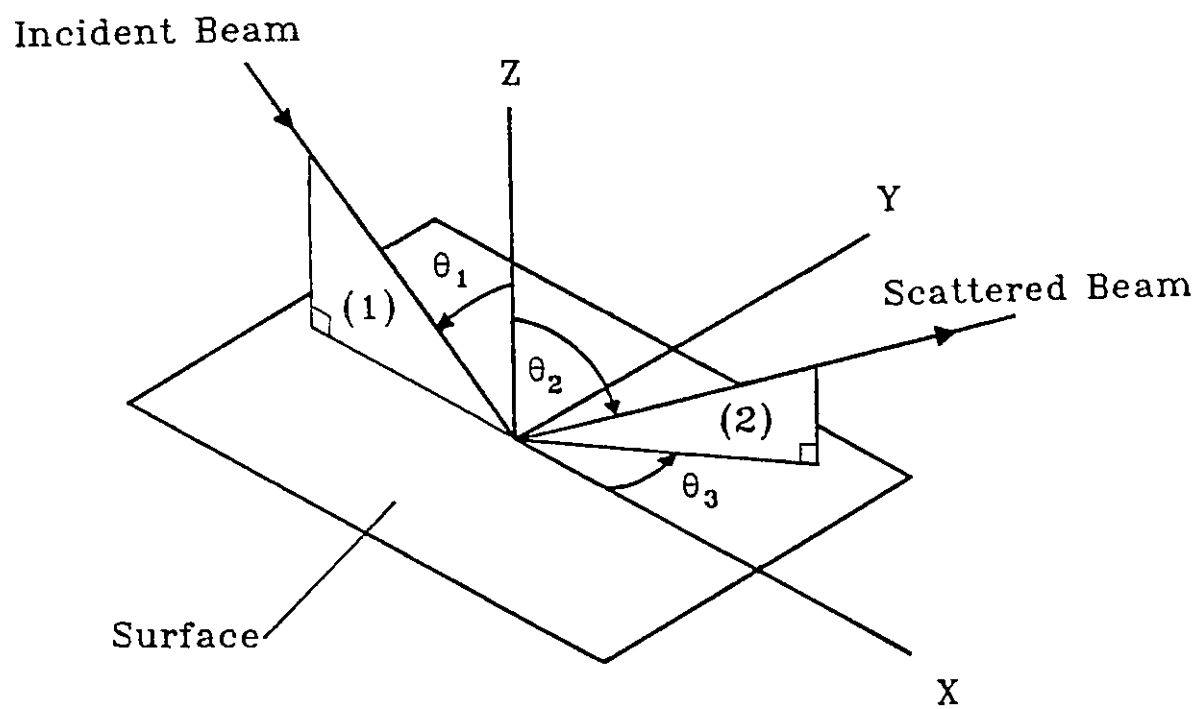


Figure 3.1 Geometry of light scattering

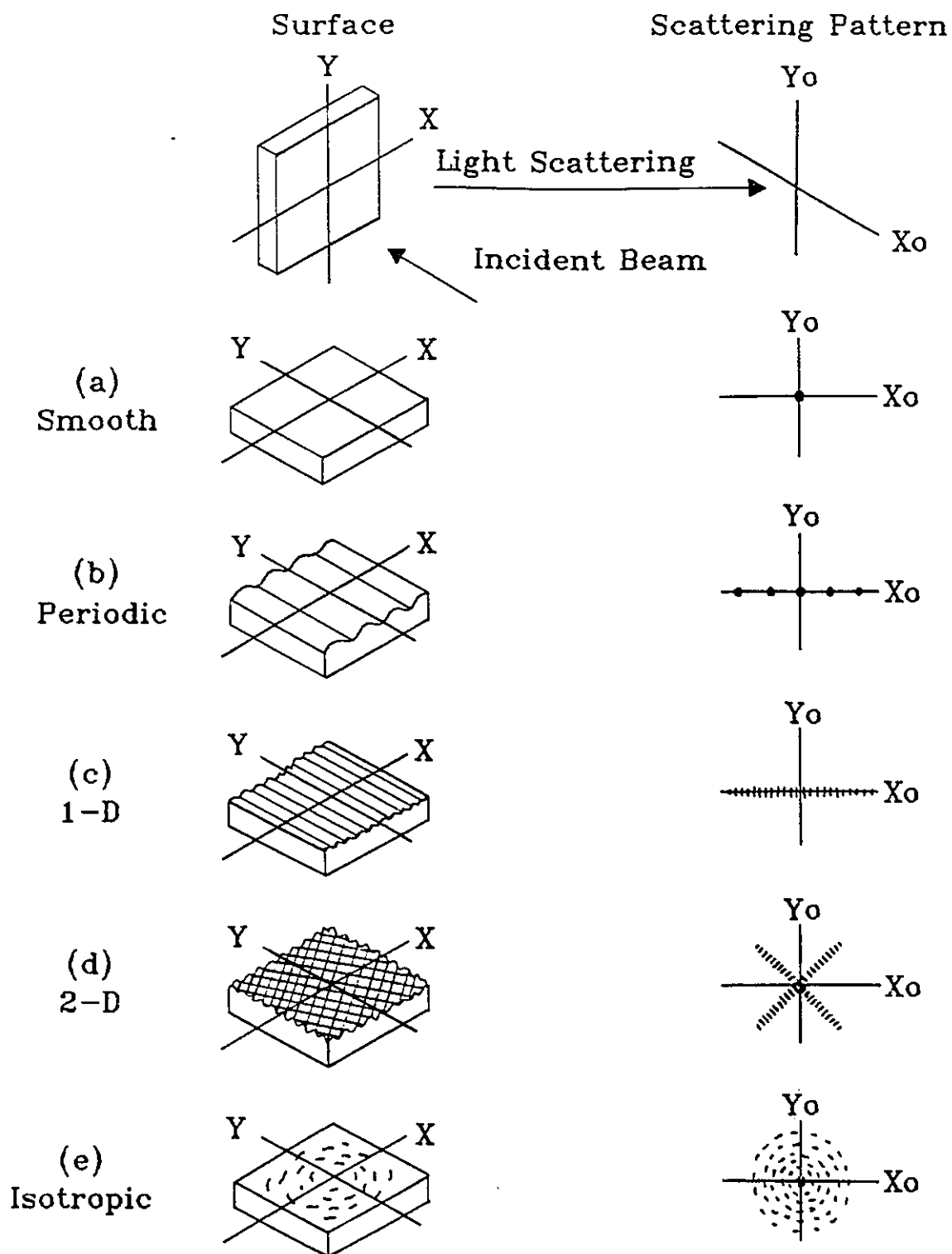


Figure 3.2 Light scattering from different surfaces

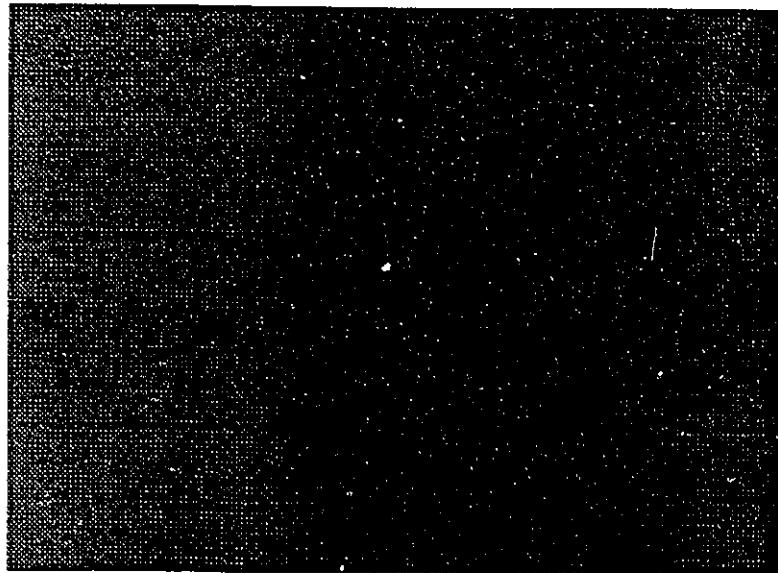


Figure 3.3 Scattering pattern of a perfectly smooth surface

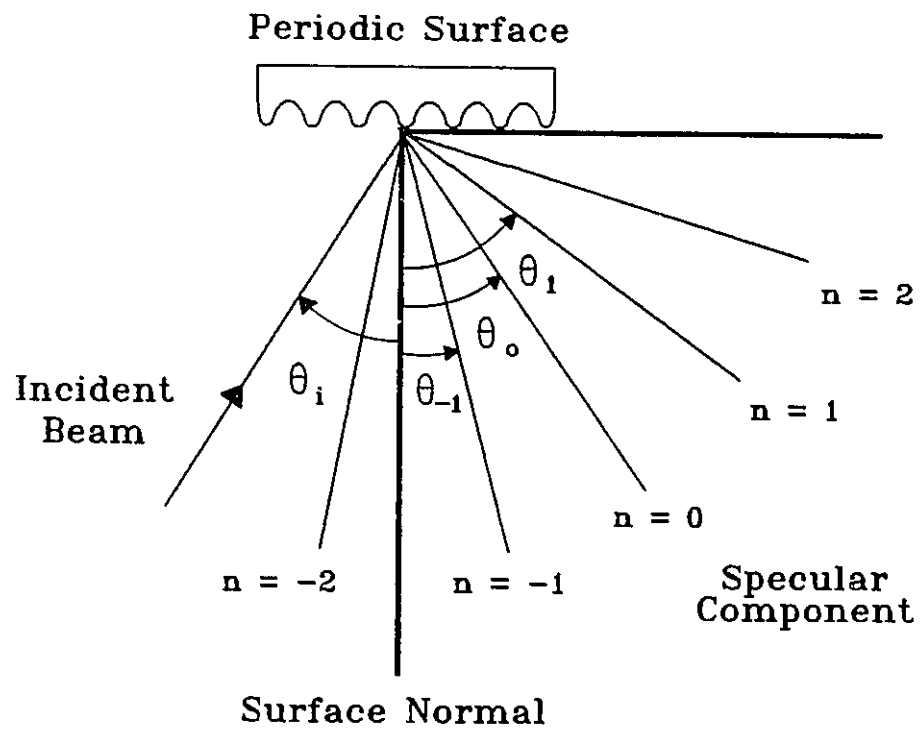
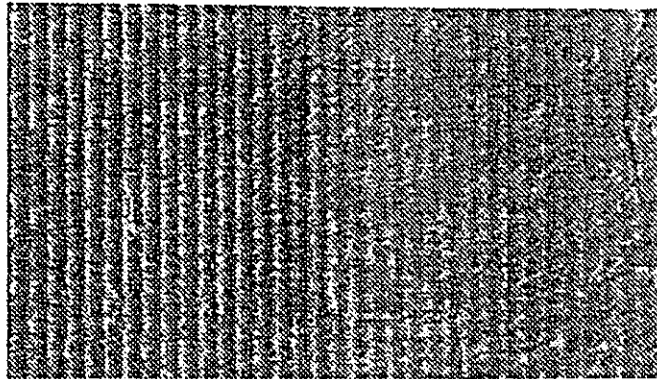
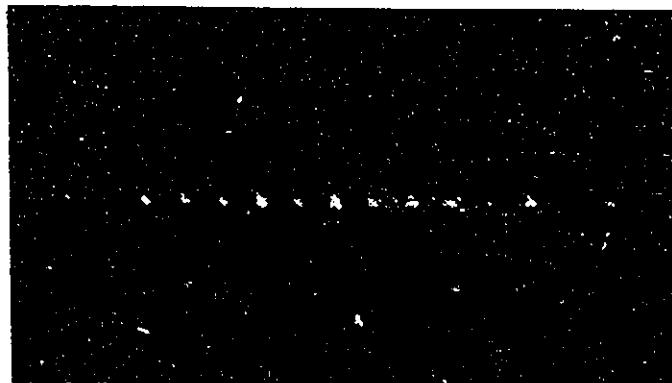


Figure 3.4 Diffraction from a periodic surface



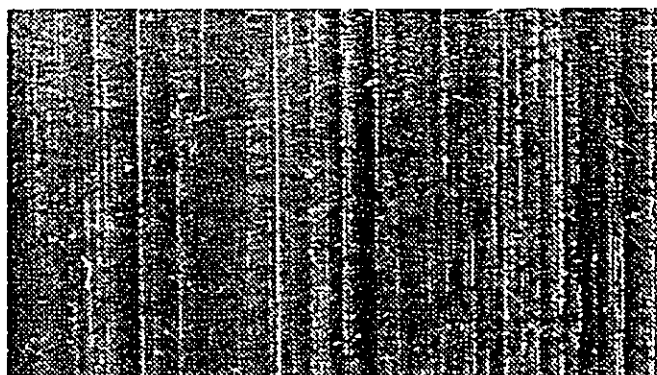
(a)



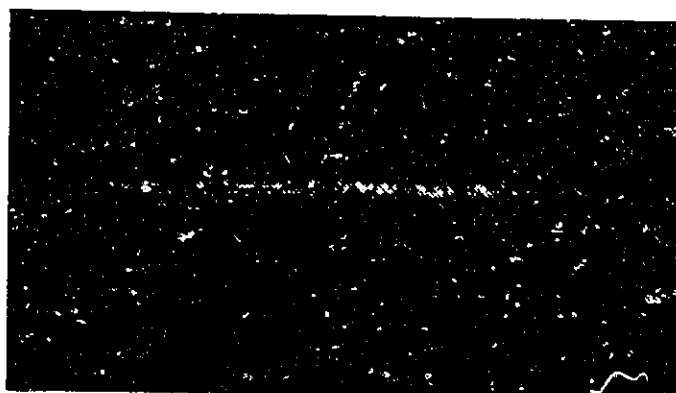
(b)

Figure 3.5 Periodic surface

- a) Photograph of the surface as seen on the microscope
- b) The corresponding scattering pattern



(a)



(b)

Figure 3.6 One-dimensional random surface
 a) Photograph of the surface as seen
 on the microscope
 b) The corresponding scattering pattern



(a)



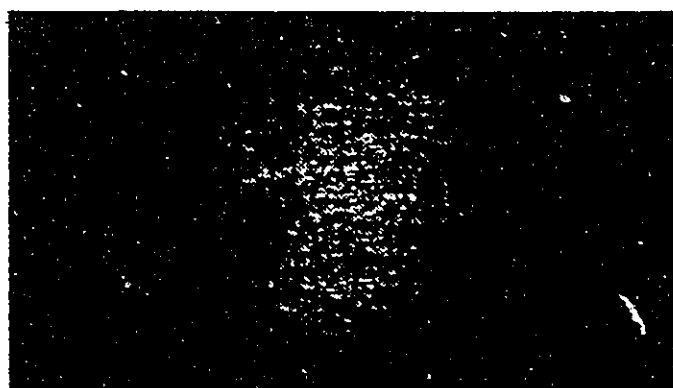
(b)

Figure 3.7 Two-dimensional surface

- a) Photograph of the surface as seen on the microscope
- b) The corresponding scattering pattern



(a)



(b)

Figure 3.8 Isotropic surface

- a) Photograph of the surface as seen on the microscope
- b) The corresponding scattering pattern

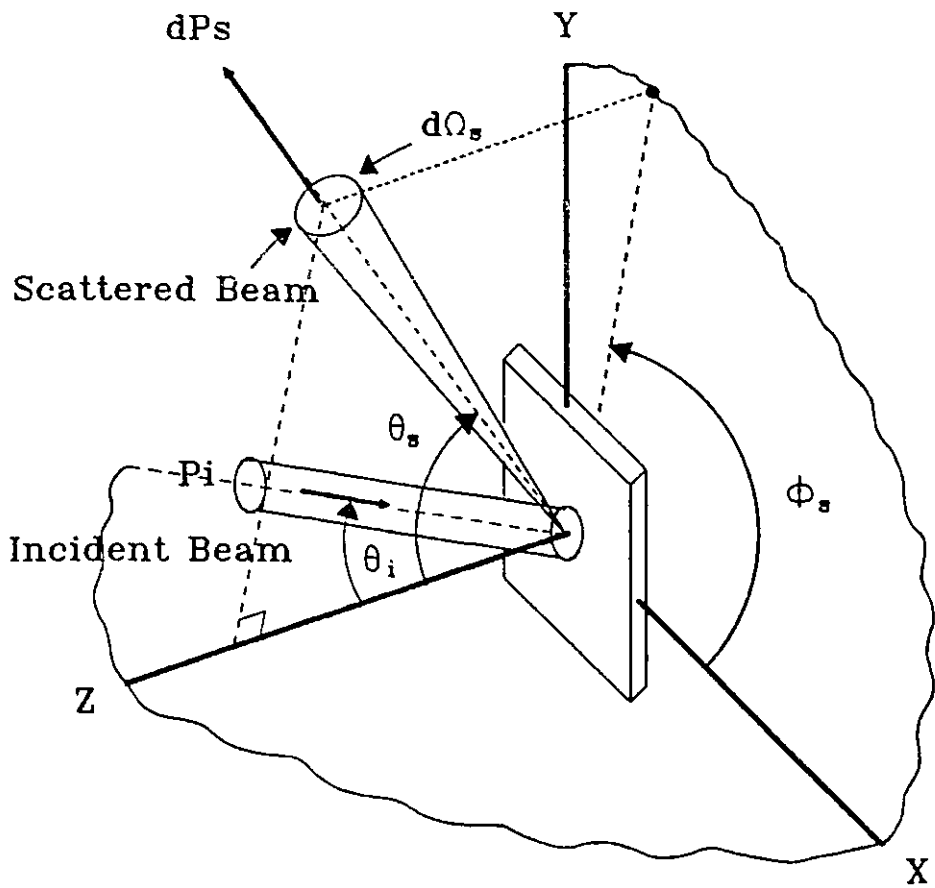


Figure 3.9 Geometry of the Bi-directional Reflective Distribution Function (BRDF)

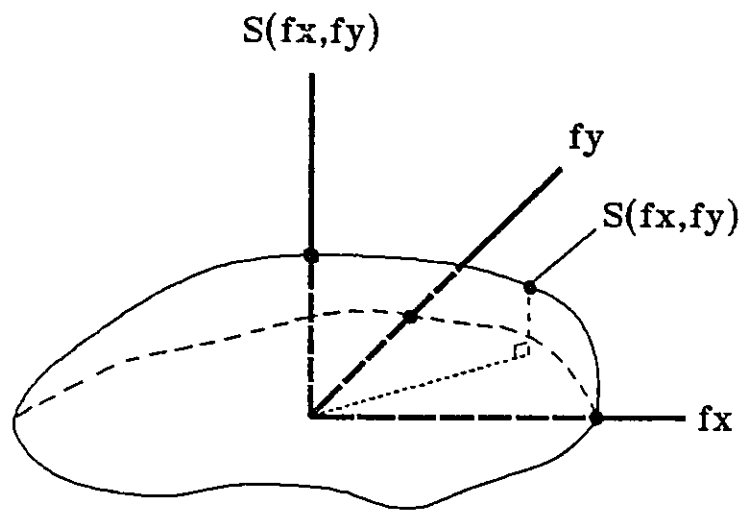


Figure 3.10 A two-dimensional power spectrum

CHAPTER IV

APPARATUS AND PROCEDURE

The light scattering technique is used to study the surface texture of roller bearings. The greylevel intensity of the scattering pattern of a surface is used to calculate the optical roughness parameters. The apparatus and procedure used for obtaining the scattering pattern are described in this chapter. The optical roughness parameters are used to correlate the mechanical roughness parameters. Therefore, the apparatus and procedure of the mechanical measurement are also described. Finally, the description of the sample surfaces for this experiment are given.

4.1 Optical Method

4.1.1 Optical Measurement Apparatus

A schematic diagram of the optical measurement setup is shown in Figure 4.1. The specifications of the main components of the setup are in Appendix A. The light source is a 5mW He-Ne laser. The laser beam illuminates the sample surface to produce a scattering effect. The scattered pattern is magnified by an objective lens, with a magnification of 10 \times , onto a folding mirror. The pattern is then focused by a magnifying lens onto a CCD camera. The scattering pattern is captured by the CCD camera, then transferred to a frame grabber board and digitized to an 512 \times 512 \times 8bits of pixels image. The digitized image is displayed on a black and white 800 \times 600 pixels resolution monitor. The digitized scattering pattern is now in the form of 512 \times 512 pixels and 256 greylevels

of intensity. An IBM-PC computer is used to process the image. The zero and second moments of the scattering pattern greylevel intensity are calculated.

4.1.2 Optical Measurement Procedure

The roller bearing samples were held by a custom made stand in order to provide a horizontal surface for measurement. The sample was positioned at a location under the laser beam. The laser beam was illuminated on the very top of the circumference of the roller bearings as shown in Figures 4.2a & b. The distance between the objective lens and the bearing surface was adjusted until a good image of the scattering pattern was obtained. Figures 4.3a & b show an out-of-focus and a focused scattering pattern, respectively. The zero and second moments of the bi-directional reflective distribution function (BRDF) of the scattering pattern can then be calculated. Appendix C shows a computer program listing for digitization and calculation process.

4.2 Mechanical Method

4.2.1 Mechanical Measurement Apparatus

A schematic diagram of the mechanical measurement setup is shown in Figure 4.4. The stylus of a Mitutoyo Surftest III stylus instrument travels along the sample surface. The signal from the stylus instrument is in time domain. The signal is amplified by a signal amplifier and then transferred to a 12-bit A/D interface board in an IBM-PC computer. The A/D interface board converts the amplified analog signal of the stylus instrument into a digital signal. The digitized signal can then be analyzed by the SNAPSHOT-SNAPCAL-SNAP FFT software (HEM Data Corporation) in the computer.

The mechanical roughness parameters can be obtained from the surface profile or the Fourier transform of the surface profile. The specifications of the main components of the mechanical measurement setup are in Appendix A.

4.2.2 Mechanical Measurement Procedure

The same custom made stand was used to hold the tapered roller bearings for the stylus measurement as shown in Figure 4.5. When a 1-D surface texture sample was measured, the direction of the stylus movement was perpendicular to the lay direction of the surface as shown in Figure 4.6a. The direction of the stylus movement for measuring a 2-D surface texture sample is shown in Figure 4.6b. The travelling speed of the stylus was set at 2 mm/s. The travelling distance was 2 mm with a 0.08 mm cutoff. The sweep time was 1 second. The sampling rate was 4000 Hz. The RMS roughness of the surface profile was obtained directly from the surface profile. The RMS slope of the surface profile was obtained from the frequency domain Fourier transform of the profile.

4.3 Sample Surfaces

A total of thirty-three sample surfaces from eleven roller bearings were tested. All roller bearings are the same size as shown in Figure 4.7 and made of the same material. The roller bearings were originally in three different categories:

- 1) Finished : This type of roller bearing is produced by a grinding process with a 1-D surface texture on the surface. The average RMS roughness (σ) is 0.325 μm .
- 2) Second pass : This type of bearing is also produced by a grinding process with a 1-D surface texture. The average RMS roughness is 0.481 μm .

- 3) Honed : This type of bearing is honed with a 2-D surface texture. The average RMS roughness is 0.174 μm .

Additional polishing was applied to all three types of the original roller bearings in order to obtain a wide range of surface roughness of 1-D and 2-D surface textures. 150- and 240- grid aluminum oxide (AlO) abrasive cloths were used to polish the roller bearings. The polishing process for generating two types of surfaces is described in the following sections.

4.3.1 Type I Polished Bearing Surfaces

A schematic diagram of an additional polished bearing sample contains a composite 1-D and 2-D surface texture for the experiment as shown in Figure 4.8a. There are three regions of texture on the bearing: two 1-D texture regions and one 2-D texture region. The procedure for obtaining this kind of sample is as follows:

- 1) Region A of the original roller bearing was covered by masking tape as shown in Figure 4.9a.
- 2) The bearing was clamped to a chuck of a lathe as shown in Figure 4.9b. It was turned on the lathe to rotate the specimen at 200 rpm in the direction shown by the arrow.
- 3) The bearing was manually polished by an abrasive cloth in the direction shown in Figure 4.9c. Five passes were used in order to create the first set of textures on the bearing. Since Region A was protected by masking tape, there was no additional polished texture on this region as shown in Figure 4.9d.
- 4) The masking tape at region A was removed and region B was covered by another

piece of masking tape as shown in Figure 4.9e.

- 5) The bearing was clamped to the chuck as shown in Figure 4.9f and rotated in the same direction with the same speed.
- 6) The bearing was polished using the same abrasive cloth as in region A in the direction shown in Figure 4.9g. Five passes were used to create a uniform surface texture.
- 7) The masking tape was removed from Region B. The bearing contained two 1-D texture sample surfaces and one 2-D texture sample surface. These regions are named as Region A, Region B & Region C as shown in Figure 4.9h.

In the hand polishing process, the abrasive cloth was held firmly in two hands and pressed onto the bearing surface. The pressure applied onto the bearing surface and the traveling speed of the abrasive cloth were kept constant during the polishing. Care was taken to ensure texture uniformity; however, scratches may have occurred to some parts of the surfaces. Therefore, the sample surface has to be examined in order to make sure the spot was uniform.

4.3.2 Type II Polished Bearing Surfaces

Another type of polished roller bearing surface has only a 2-D texture on the bearing surface as shown in Figure 4.8b. The procedure for polishing is as follows:

- 1) The bearing was clamped to the chuck as shown in Figure 4.10a. The bearing was rotated in the direction as shown at a speed of 200 rpm.
- 2) The bearing was polished by an abrasive cloth passed back and forth ten times in the direction shown in Figure 4.10b (i.e. five times backward and five times

forward).

- 3) The bearing had a 2-D texture all over the surface. Each bearing was divided into three regions as shown in Figure 4.10c. Each surface region was used as a particular sample of the given roughness for the experiment.

A tool was also used to hold the abrasive cloth for polishing this type of bearing in order to maintain a uniformity on the polished bearing surface. Due to the set-up error, however, the tool did not travel perfectly parallel to the bearing surface. This produced different roughnesses along the axis of the polished bearing surface. For measurement, each bearing surface was divided into three regions of different roughness as shown in Figure 4.10c.

There were six roller bearings of type I surfaces and five roller bearings of type II surfaces which gave a total of twelve 1-D texture sample surfaces and twenty-one 2-D texture sample surfaces for testing. The details of each sample surface are shown in Table 4.1 and 4.2. These samples were measured and the results will be discussed in the next chapter.

Table 4.1 Details of the 1-D texture sample surfaces

Sample Surfaces	Original Type	Abrasive Cloth	Region on Bearing
A1	Finished	240	A
B1	Finished	240	B
A2	Finished	150	A
B2	Finished	150	B
A3	2 nd Pass	240	A
B3	2 nd Pass	240	B
A4	2 nd Pass	150	A
B4	2 nd Pass	150	B
A5	Honed	240	A
B5	Honed	240	B
A6	Honed	150	A
B6	Honed	150	B

Table 4.2 Details of the 2-D texture sample surfaces

Sample Surfaces	Original Type	Abrasive Cloth	Region on Bearing
C1	Finished	240	C
D1	Finished	240	D
E1	Finished	240	E
F1	Finished	240	F
C2	Finished	150	C
D2	Finished	150	D
E2	Finished	150	E
F2	Finished	150	F
C3	2 nd Pass	240	C
D3	2 nd Pass	240	D
E3	2 nd Pass	240	E
F3	2 nd Pass	240	F
C4	2 nd Pass	150	C
D4	2 nd Pass	150	D
E4	2 nd Pass	150	E
F4	2 nd Pass	150	F
C5	Honed	240	C
D5	Honed	240	D
E5	Honed	240	E
F5	Honed	240	F
C6	Honed	150	C

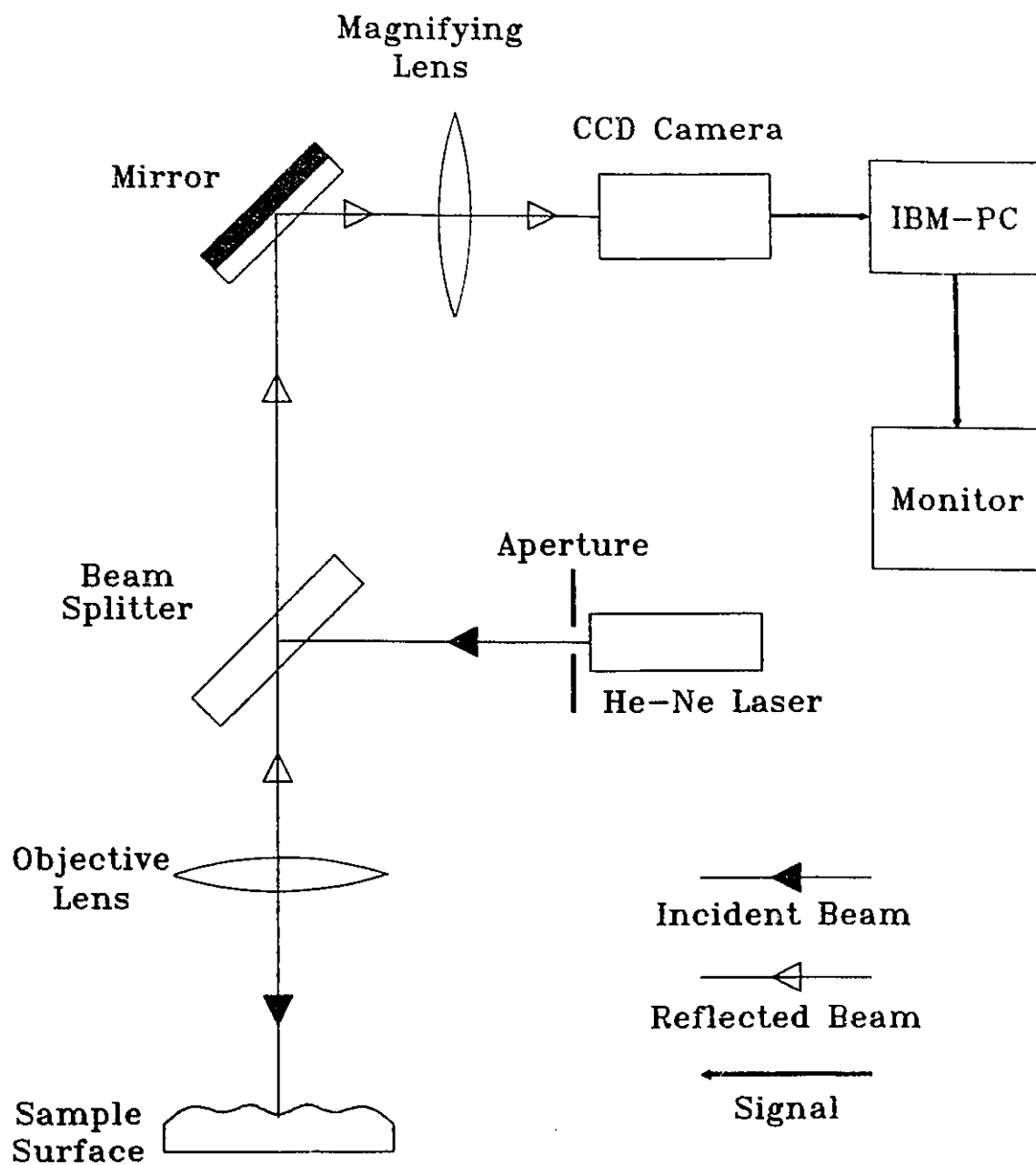


Figure 4.1 Schematic diagram of the setup for roughness measurement using laser light scattering

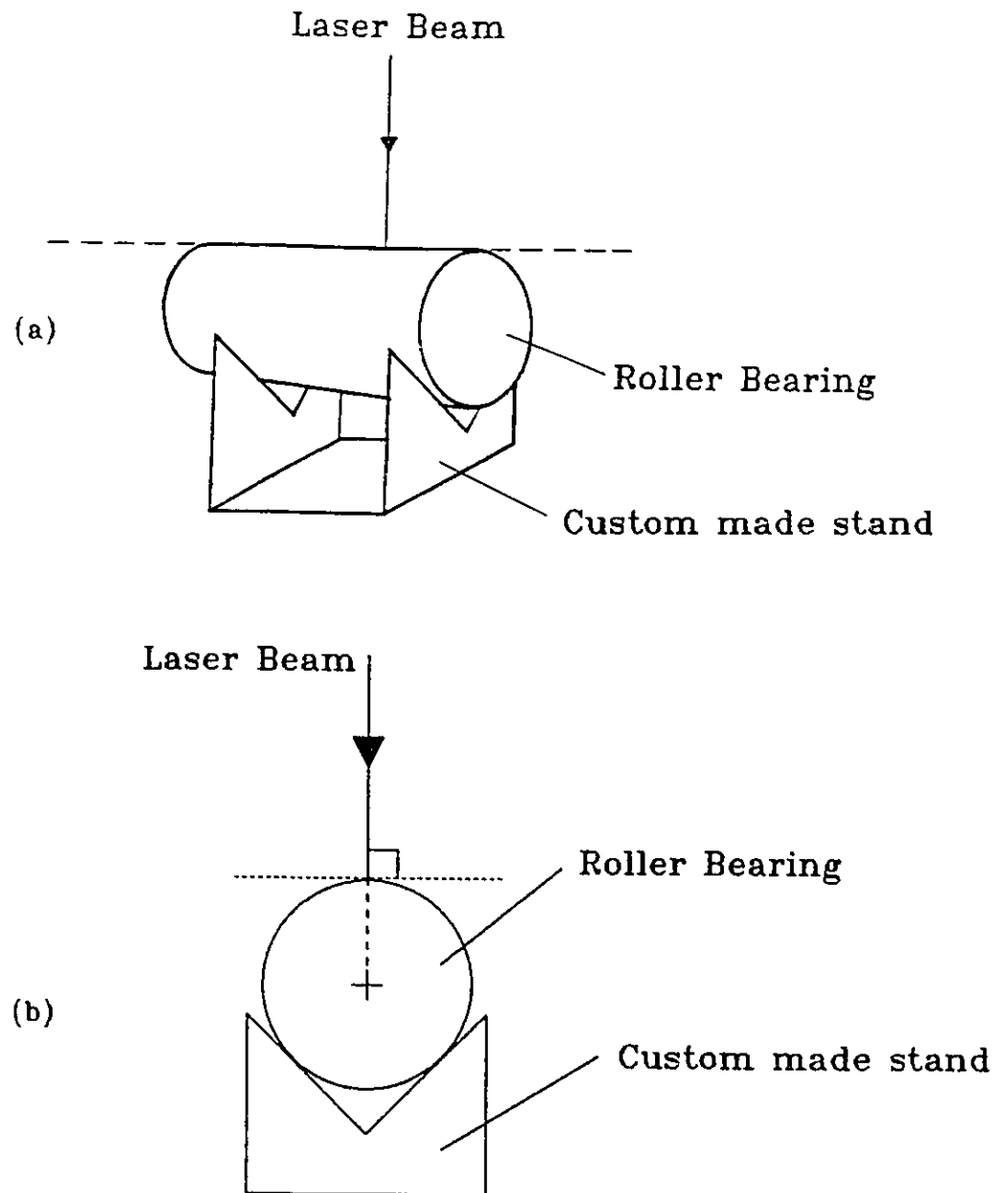
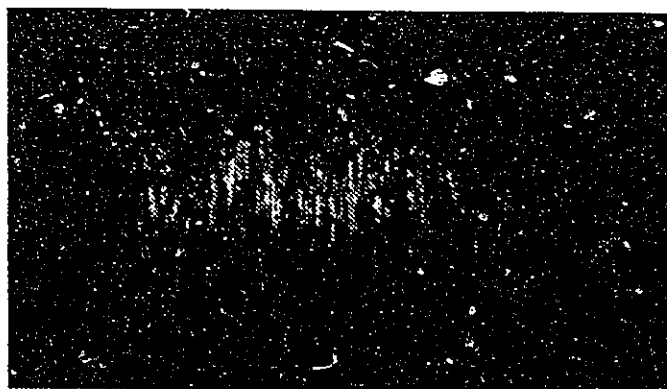
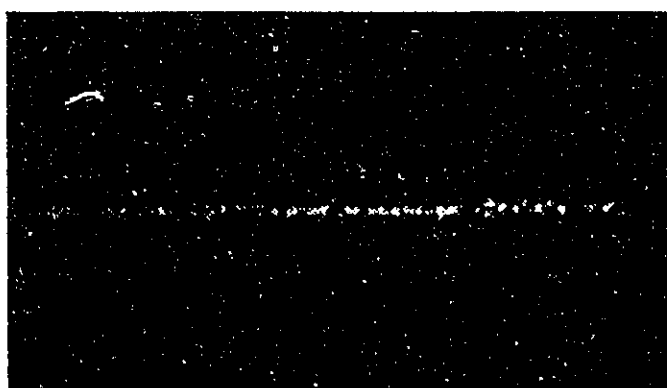


Figure 4.2 Schematic diagram of laser beam incident on a roller bearing
a) Persepctive view b) End view



(a)



(b)

Figure 4.3 a) Photograph of an out-of-focus scattering pattern
 b) Photograph of a focused scattering pattern

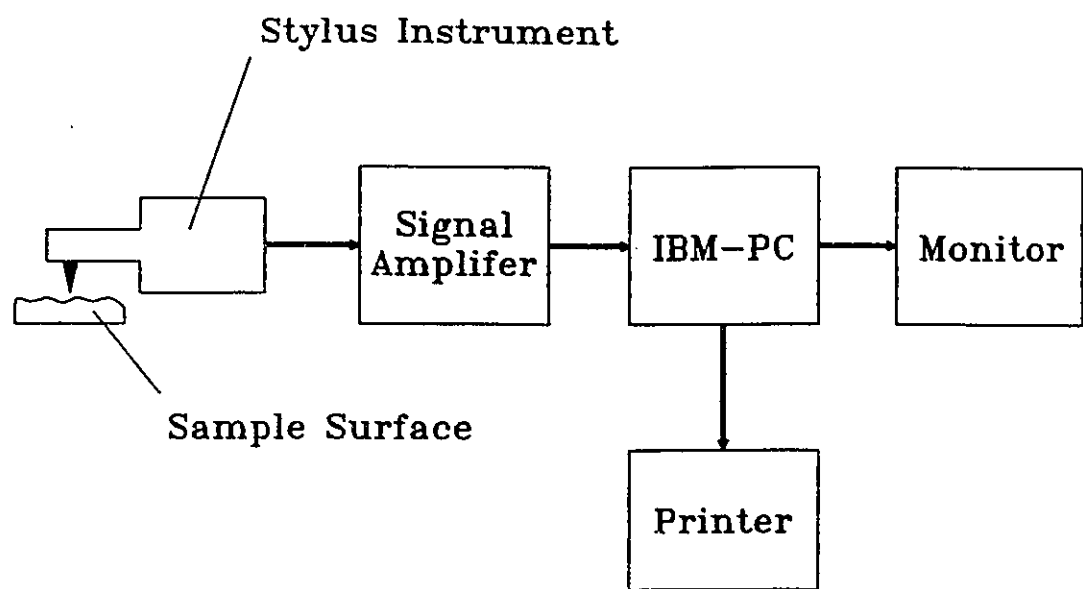


Figure 4.4 Schematic diagram of the setup for roughness measurement using the mechanical method

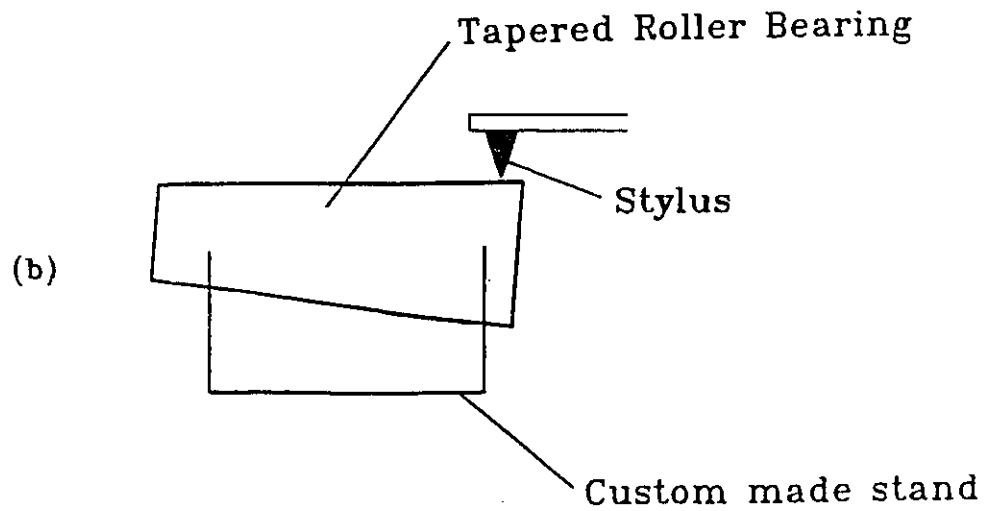
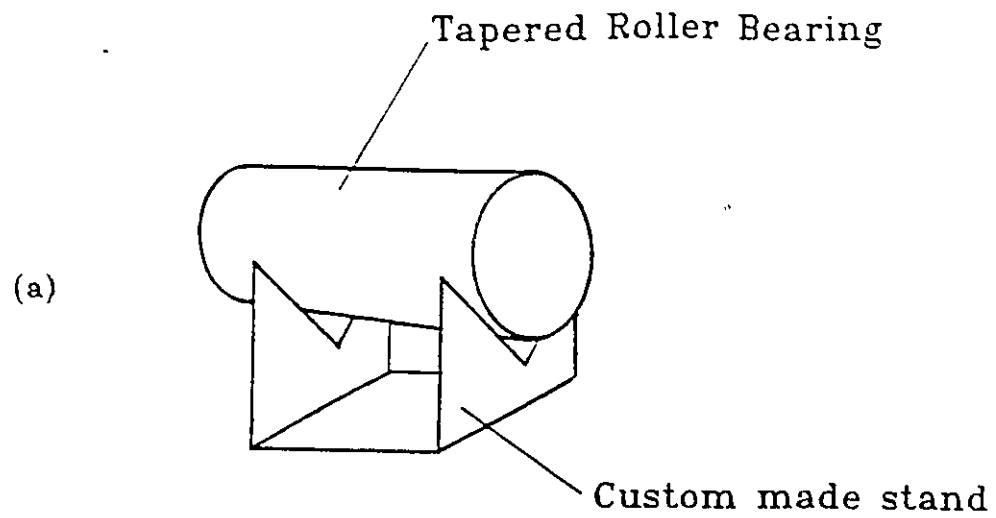


Figure 4.5 Tapered roller bearing held by the custom made stand to provide a horizontal surface
a) Perspective view b) Side view

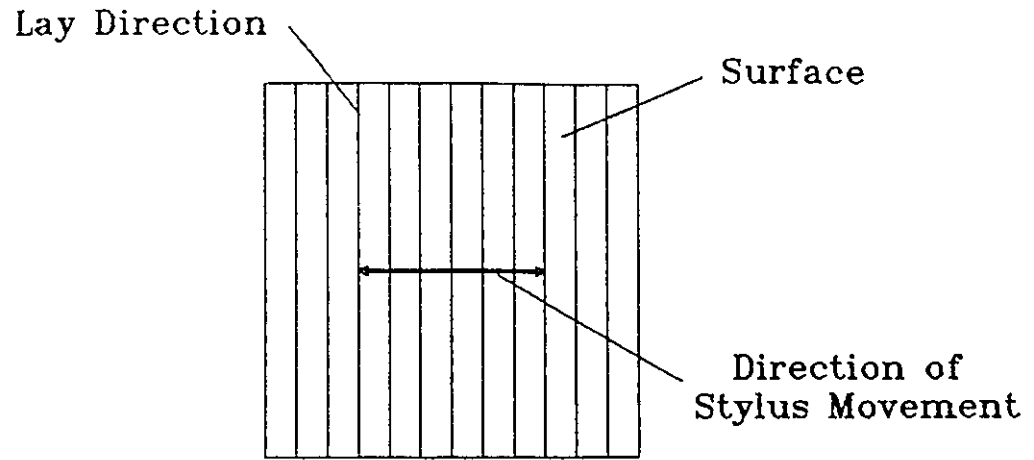


Figure 4.6a Direction of the stylus movement for measuring 1-D surface

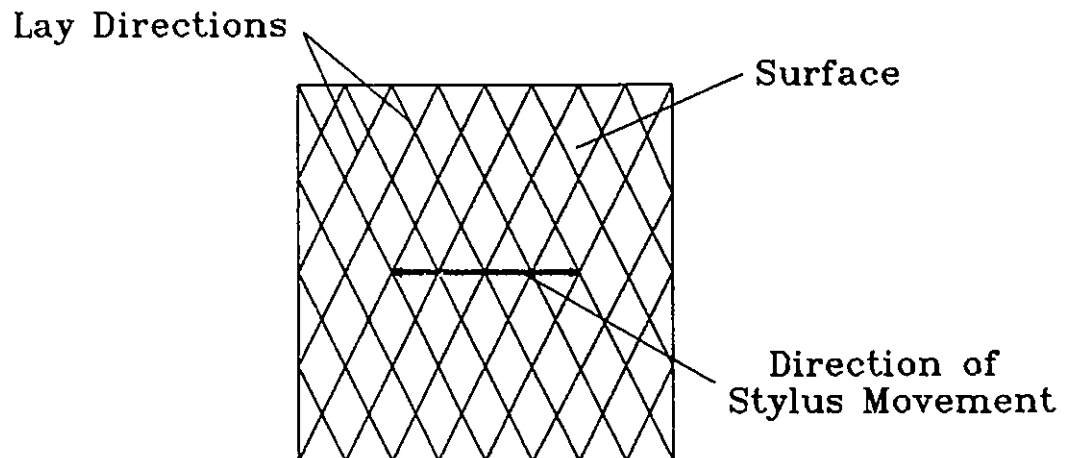


Figure 4.6b Direction of the stylus movement for measuring 2-D surface

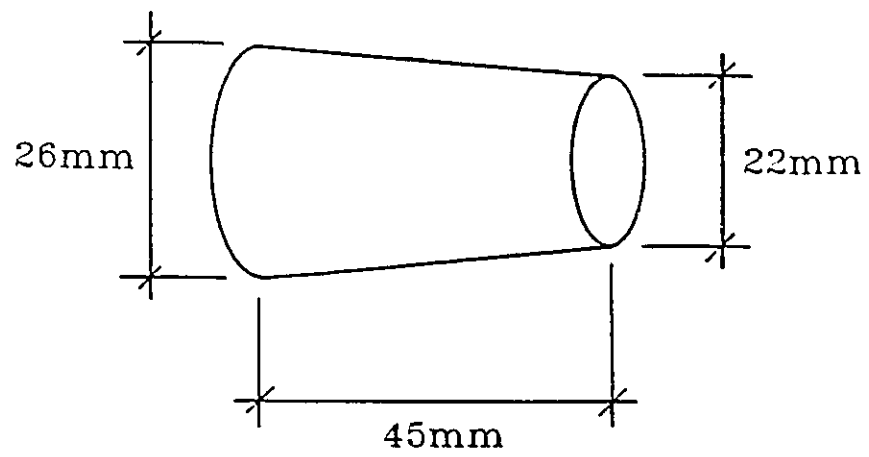


Figure 4.7 Dimensions of a Roller Bearing

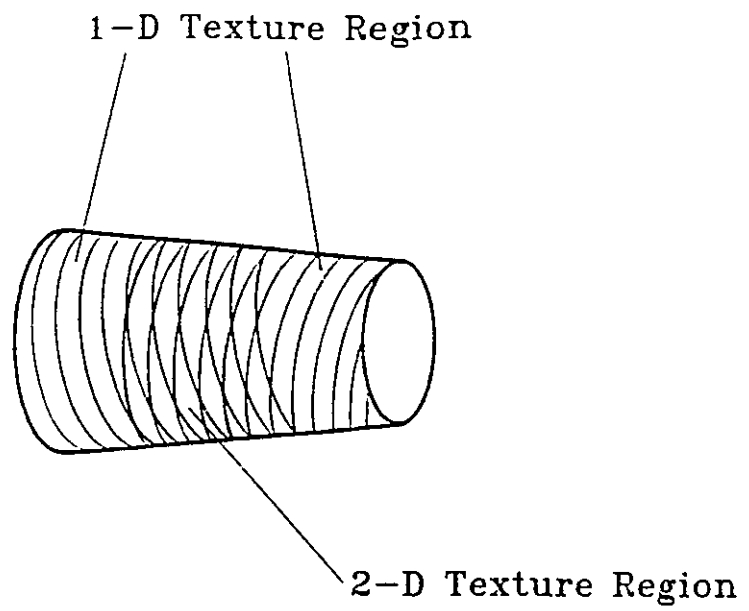


Figure 4.8a Schematic diagram of a type I bearing surface

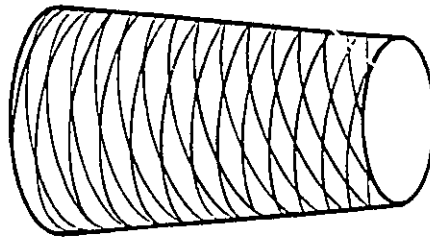


Figure 4.8b Schematic diagram of a type II bearing surface

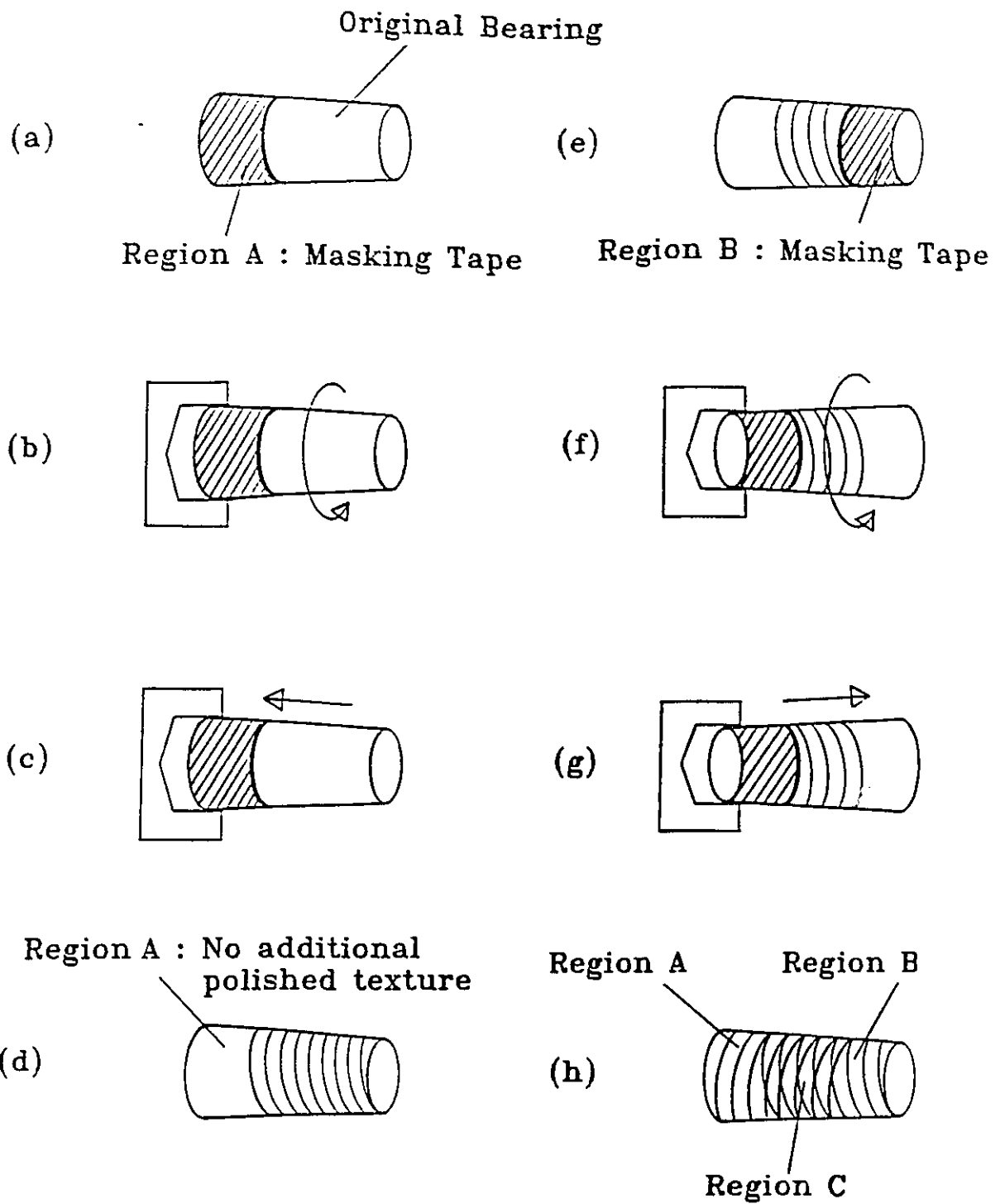


Figure 4.9a-h Procedure for creating type I bearing surface

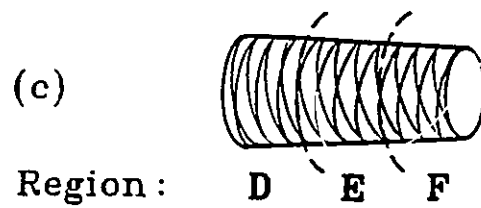
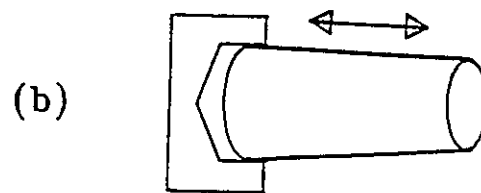
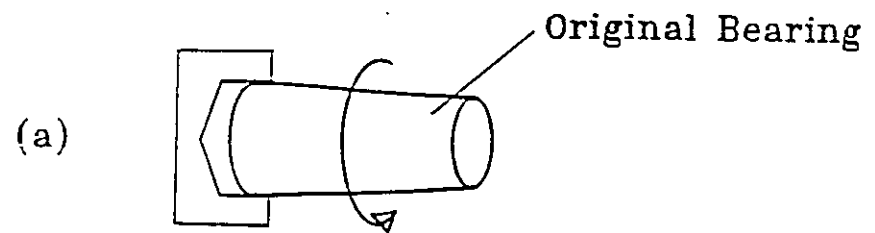


Figure 4.10a-c Procedure for creating type II bearing surface

CHAPTER V

RESULTS AND DISCUSSION

Optical zero and second moments of the bi-directional reflective distribution function (BRDF) were obtained from the scattering pattern. These were selected as optical parameters for the correlation with the surface texture parameters obtained from stylus instruments. This correlation will be discussed in this section. In order to understand the light scattered from the sample surfaces for the experiment, a description of the scattering pattern will be given first.

5.1 Scattering Patterns of the Sample Surfaces

Photographs of the typical 1-D texture surfaces of Regions A & B on a sample bearing and the corresponding scattering patterns are shown in Figures 5.1a & b and 5.2a & b respectively. The scattering patterns are similar to the scattering pattern of the 1-D surface described in section 3.2 (also see Figure 3.3). The scattering patterns are straight bands which form an angle with respect to the X_0 -axis in the scattering plane. This angle is equal to the angle between the lay direction and the Y-axis in the sample surface.

Photographs of the typical 2-D texture surface on a sample bearing and the corresponding scattering patterns are shown in Figures 5.3a & b. The scattering pattern has two straight bands which cross each other. The angle between two bands is equal to the angle between the two lay directions on the surface.

5.2 Correlation Curves for 1-D Texture Surfaces

Twelve surfaces from the type I polished roller bearing surfaces containing 1-D surface texture were used in the test and their correlation curves between optical and mechanical parameters were plotted.

a) Zero Moment vs RMS Roughness

Figure 5.4 shows the correlation between optical zero moment versus mechanical RMS roughness of 1-D texture surfaces for three different laser spot intensities. For the high intensity, the measurements were made with the aperture adjusted so that the maximum intensity in the image was just below saturation. For the low intensity set-up, adjustment was made until the faintest feature of the image was detectable. For the mid intensity, the setting is somewhere between these two limits. It can be observed that the three curves are parallel to each other and have a similar trend. When roughness is small, the value of the zero moment is high and this value decreases as RMS roughness increases. The curves become flat when the RMS roughness is greater than $0.5\ \mu\text{m}$. In this region, the sensitivity of the correlation curves for 1-D surface texture bearings decreases.

A normalization of the three correlation curves was carried out in order to obtain a non-dimensional correlation curve. In this process, the sample surface A1 (RMS roughness = $0.382\ \mu\text{m}$) was selected as a reference standard and the measured parameters were defined relative to the standard. The normalized data were plotted in Figure 5.5. It can be observed that one curve can be used to fit all three sets of data. It should be noted that there is a large spread of data points in the large roughness range. This is due to the low intensity of the illuminating spot which reduces the sensitivity of the CCD sensor.

On the other hand, only a few lines of the polishing marks are involved within the illumination spot in the large roughness range. Therefore, the scattering pattern may not represent the true characteristic of the surface.

b) Second Moment vs RMS Slope

The second moment was plotted against the RMS slope as shown in Figure 5.6. It can be observed that the three curves for three intensities are parallel to each other and have a similar trend. The same normalized process was carried out for these data using the same standard sample surface. The normalized curve was obtained as shown in Figure 5.7.

5.3 Correlation Curves for 2-D Texture Surfaces

Twenty-one 2-D texture surfaces of different roughness from roller bearings were used in the measurement. The relationship between optical parameters and mechanical parameters for these surfaces will be established.

a) Zero Moment vs RMS Roughness

The correlation curves for the three different laser intensities was plotted as Figure 5.8. It can be observed that these curves have a similar trend to that of 1-D texture surfaces. The curves are parallel to each other and the sensitivity of the curves becomes low when the RMS roughness is greater than $0.5\mu\text{m}$. The sample surface C1 (RMS roughness = $0.398\mu\text{m}$) was selected as a standard for normalizing the correlation curves. The results are shown in Figure 5.9. It can be observed that one curve can be used to fit

all three sets of data, each set associated with a given illumination intensity. It can be noted here that the spread is much less than that in the 1-D case (Figure 5.5). In addition, the fitted curve of the 2-D texture surfaces coincides with the 1-D texture surface correlation curve (Figure 5.10). Thus, this curve can be used as the correlation curve of zero moment vs RMS roughness for both 1-D and 2-D texture surfaces.

b) Second Moment vs RMS slope

Figure 5.11 shows the correlation between the RMS slope and the second moment of the 2-D texture surfaces. The correlation curves of different laser intensities show the same trend as observed previously. The same normalized process was carried out using the same 2-D standard sample surface, C1. This curve was plotted in Figure 5.12. It should be noted that there is a large spread in the data. This may be caused by the number of different calculation steps used. The RMS slope was obtained from the Fourier transform of the surface profile. The error may be large because of the transformation of the surface profile. The second moment was obtained by multiplying the square of the spatial frequencies by the greylevel intensity of the scattering. There were some errors in the conversion of the spatial frequencies.

By comparing Figure 5.7 to Figure 5.12, the fitted curve for the 2-D texture surface almost coincides with the 1-D texture surface correlation curve. These curves were plotted in Figure 5.13.

5.4 Measurement Uncertainty

The uncertainty of this optical measurement technique is determined by different

factors, such as: focusing the image of the pattern, centering the sample surface, and non-uniformity of the texture. This uncertainty is arbitrarily expressed by the relative error which is defined by the ratio of standard deviation and the arithmetic mean (X_m):

$$\begin{aligned} \text{Error} &= \frac{\text{Standard Deviation}}{\text{Mean}} \times 100\% \\ &= \frac{\sqrt{\frac{1}{k-1} \sum_{i=1}^k (X_i - X_m)^2}}{X_m} \times 100\% \end{aligned} \quad (5.1)$$

The sample surface C1 was arbitrarily selected as a standard surface for determining the measurement uncertainty.

a) Focusing Error

The sample surface was measured using the same measurement procedure as described in section 4.1.2. The zero and second moments of the scattering pattern were obtained. The sample was refocused and another measurement was made. This procedure was repeated five times and the uncertainties for both the zero and second moments were calculated. These are 2.6% & 1.9%, respectively, as shown in Table 5.1.

b) Centering Error

To check the effect of the slope (due to the curved surface) on the reflected beam, the laser spot was moved 1 mm away from the top point of the surface curvature. A measurement was made with this setting. This measurement was compared to that of the

beam at the top of the curvature in order to determine the centering error. The values for the zero and second moments are 5.1% & 7.5% as shown in Table 5.1.

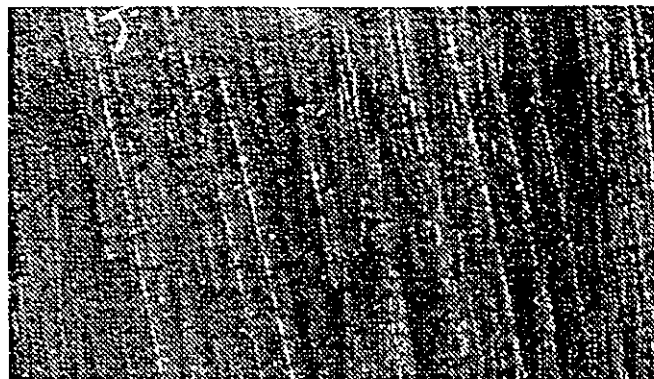
c) Sample Non-uniformity

Due to the non-uniformity of the sample surfaces, a variation in the measurement exists when one makes measurements across the surface. Efforts were made to use the same spot for both mechanical and optical measurements. To determine whether the locating process is repeatable, the sample spot was marked and then re-located for the measurement. The sample was then moved and repositioned again for a subsequent measurement of the same spot. The difference between the two measurements was 4.8% for the zero moment and 4.4% for the second moment.

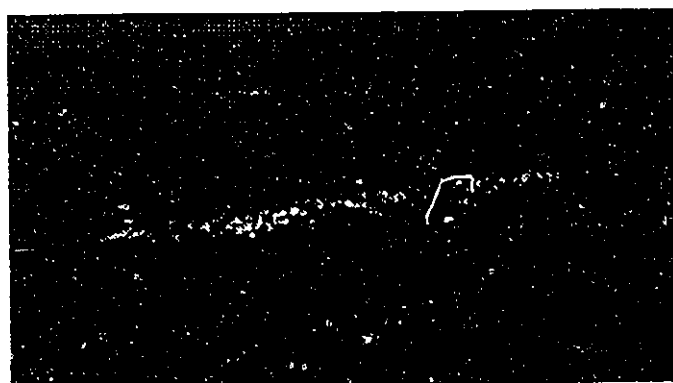
Table 5.1 Possible error in optical measurement

Error Factor	Zero Moment	Second Moment
Focusing	2.6%	1.9%
Centering	5.1%	7.5%
Non-uniformity	4.8%	4.4%

It should be noted that the error is determined based on the measurement of the sample surface C1. The error will be much higher for rougher surfaces.

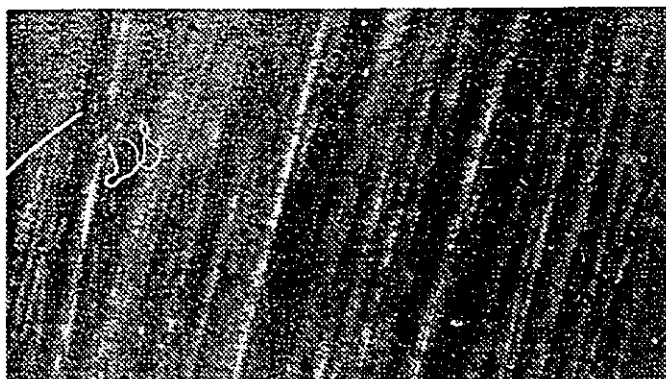


(a)



(b)

Figure 5.1 A typical 1-D sample surface (Region A)
 a) Photograph of the surface as seen
 on the microscope
 b) The corresponding scattering pattern

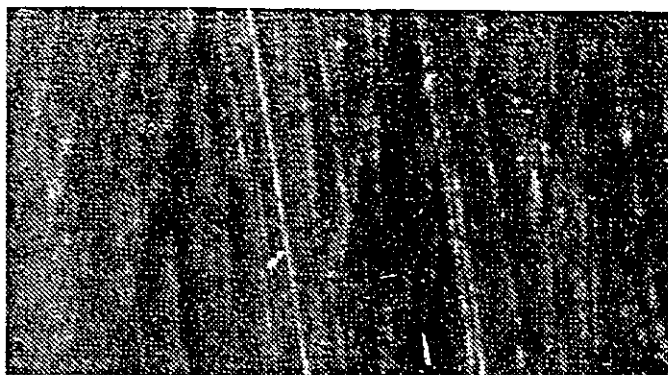


(a)



(b)

Figure 5.2 A typical 1-D sample surface (Region B)
 a) Photograph of the surface as seen
 on the microscope
 b) The corresponding scattering pattern



(a)



(b)

Figure 5.3 A typical 2-D sample surface
a) Photograph of the surface as seen
on the microscope
b) The corresponding scattering pattern

Zero Moment vs RMS Roughness 1-D Texture Surfaces on Roller Bearings

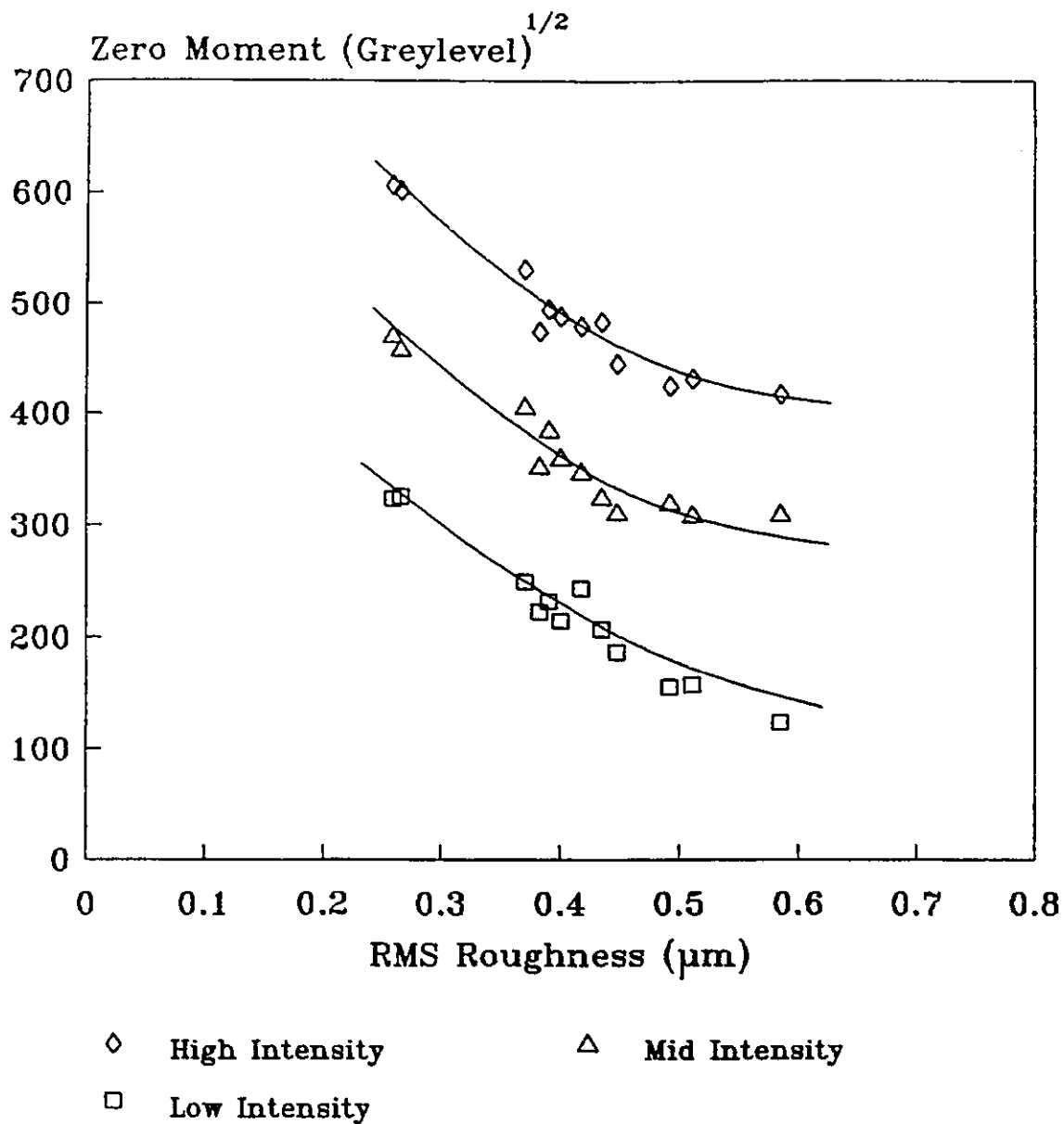


Figure 5.4 Correlation curves of
RMS roughness for 1-D texture
surfaces on roller bearings

Normalized Zero Moment vs RMS Roughness 1-D Texture Surfaces on Roller Bearings

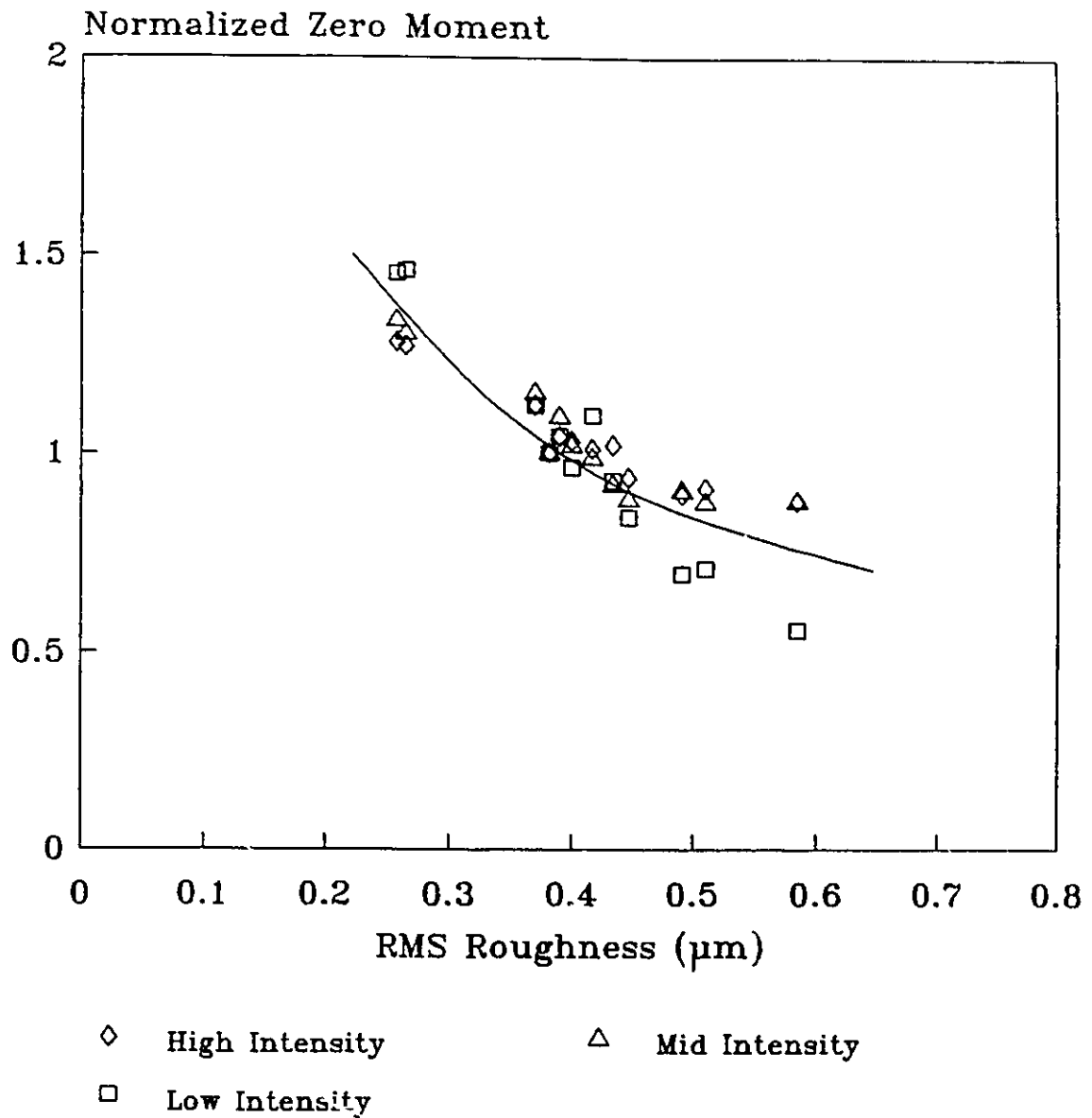


Figure 5.5 Normalized correlation curve
of RMS roughness for 1-D texture
surfaces on roller bearings

Second Moment vs RMS Slope 1-D Texture Surfaces on Roller Bearings

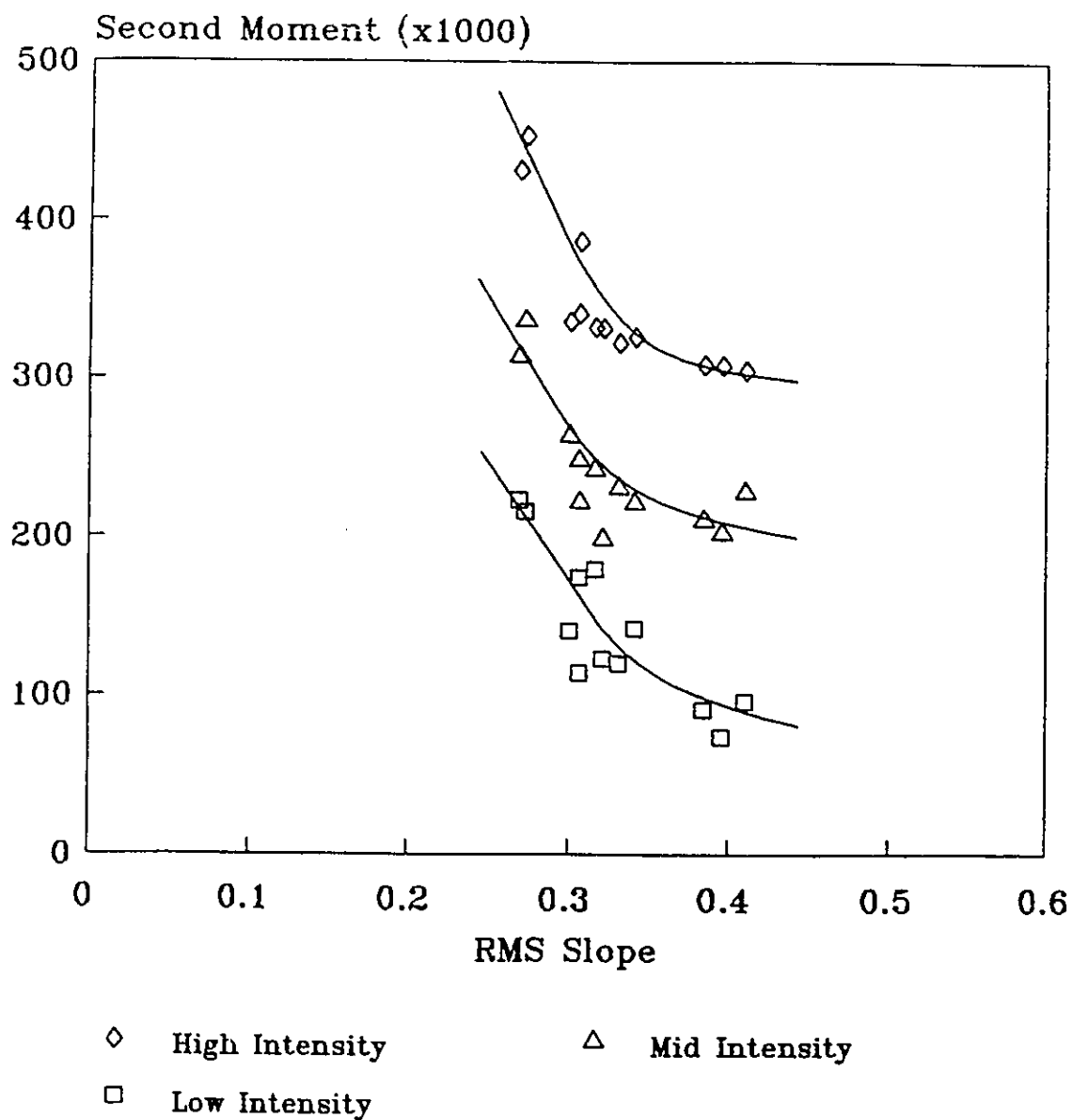


Figure 5.6 Correlation curves of
RMS slope for 1-D texture
surfaces on roller bearings

Normalized Second Moment vs RMS Slope 1-D Texture Surfaces on Roller Bearings

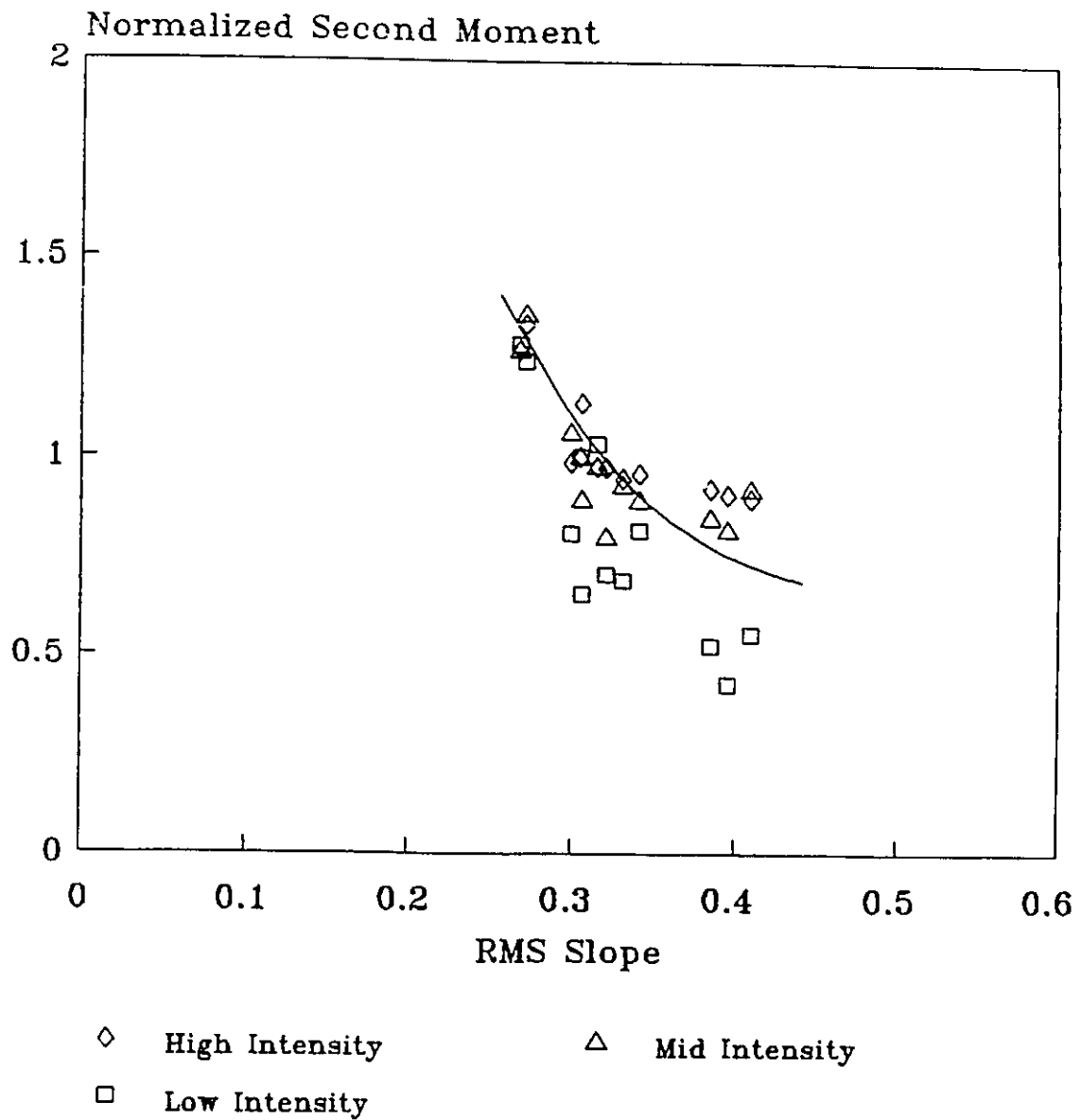


Figure 5.7 Normalized correlation curve
of RMS slope for 1-D texture
surfaces on roller bearings

Zero Moment vs RMS Roughness 2-D Texture Surfaces on Roller Bearings

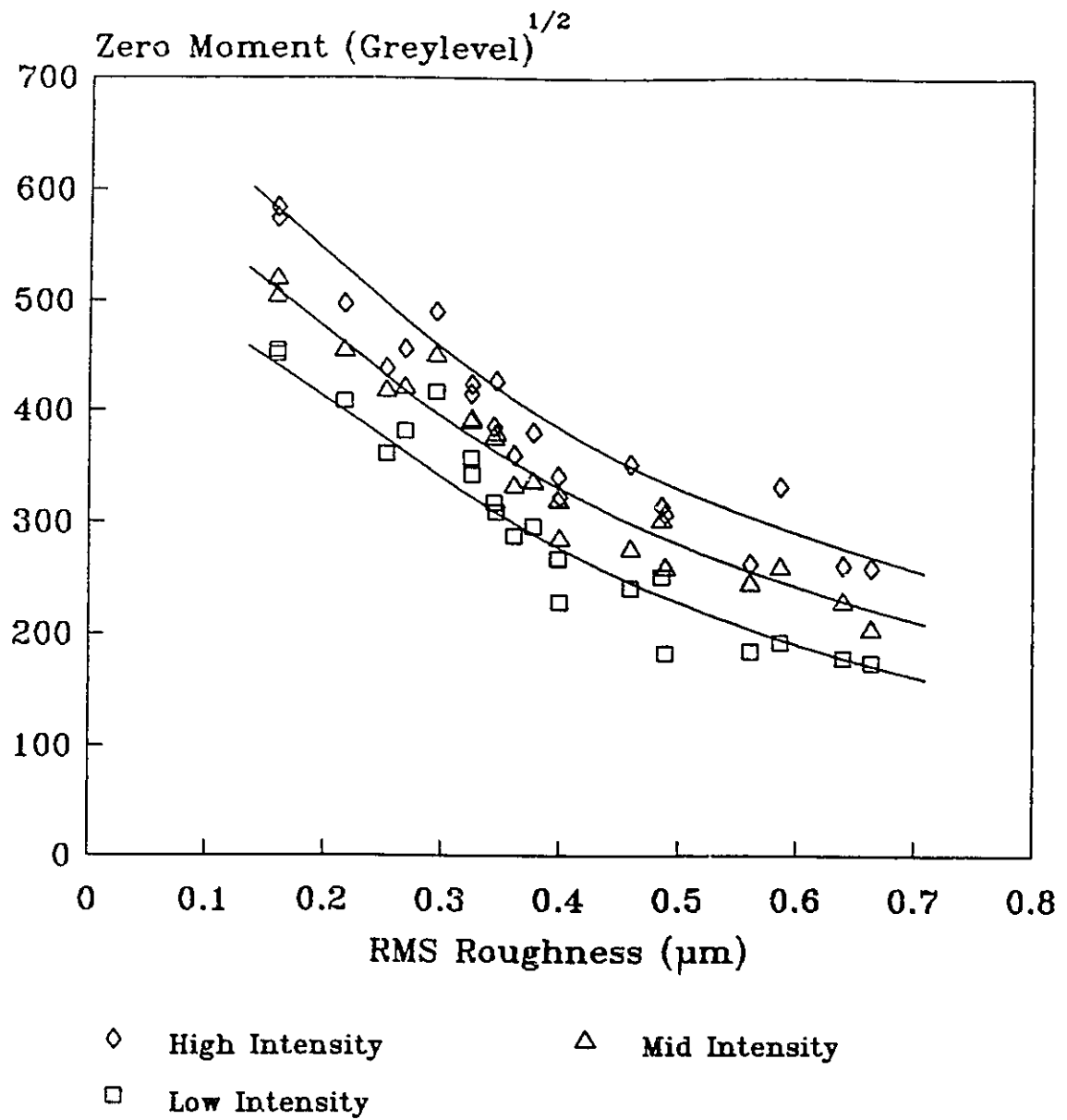


Figure 5.8 Correlation curves of
RMS roughness for 2-D texture
surfaces on roller bearings

Normalized Zero Moment vs RMS Roughness 2-D Texture Surfaces on Roller Bearings

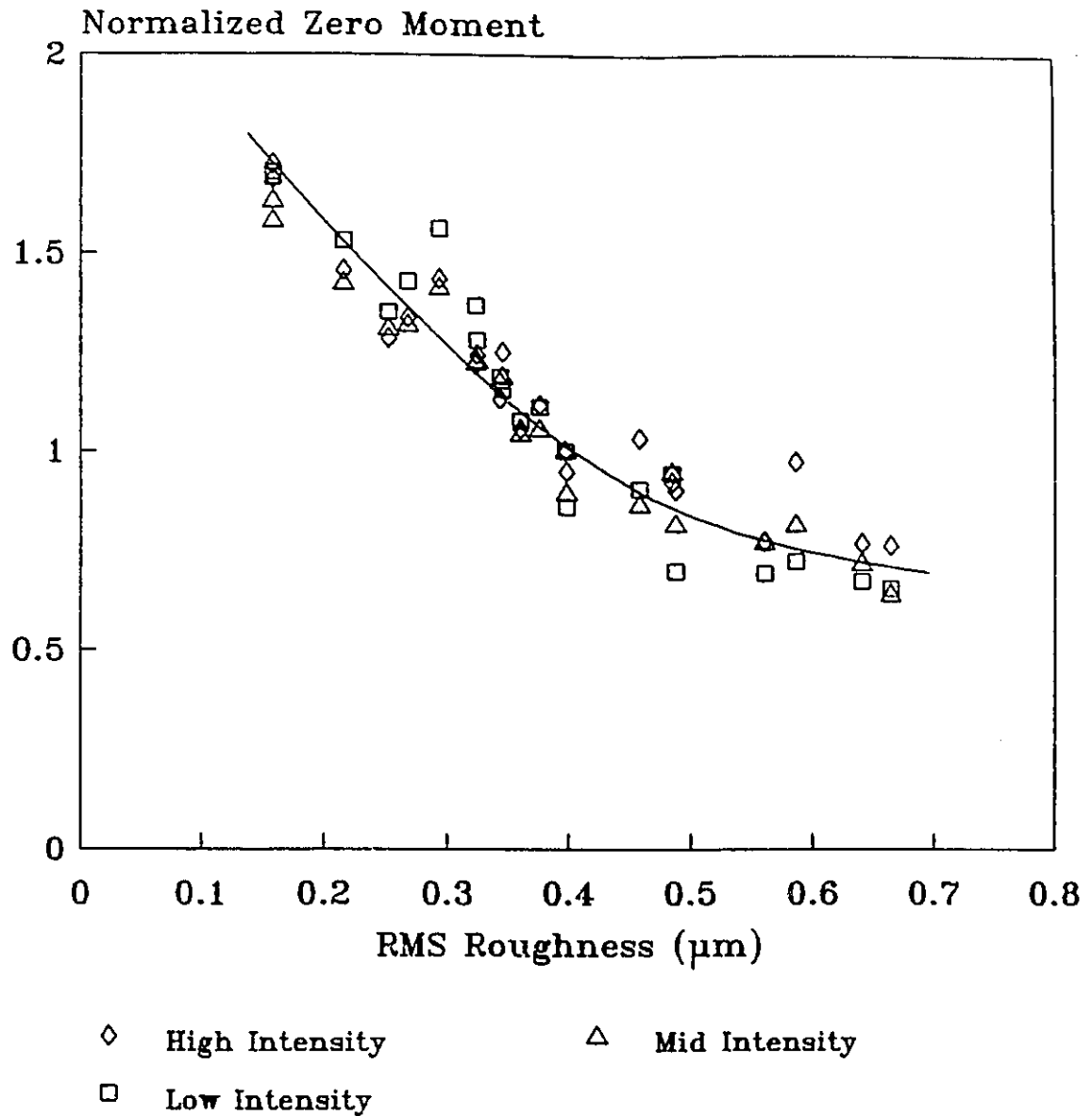


Figure 5.9 Normalized correlation curve
of RMS roughness for 2-D texture
surfaces on roller bearings

Normalized Zero Moment vs RMS Roughness 1&2D Texture Surfaces on Roller Bearings

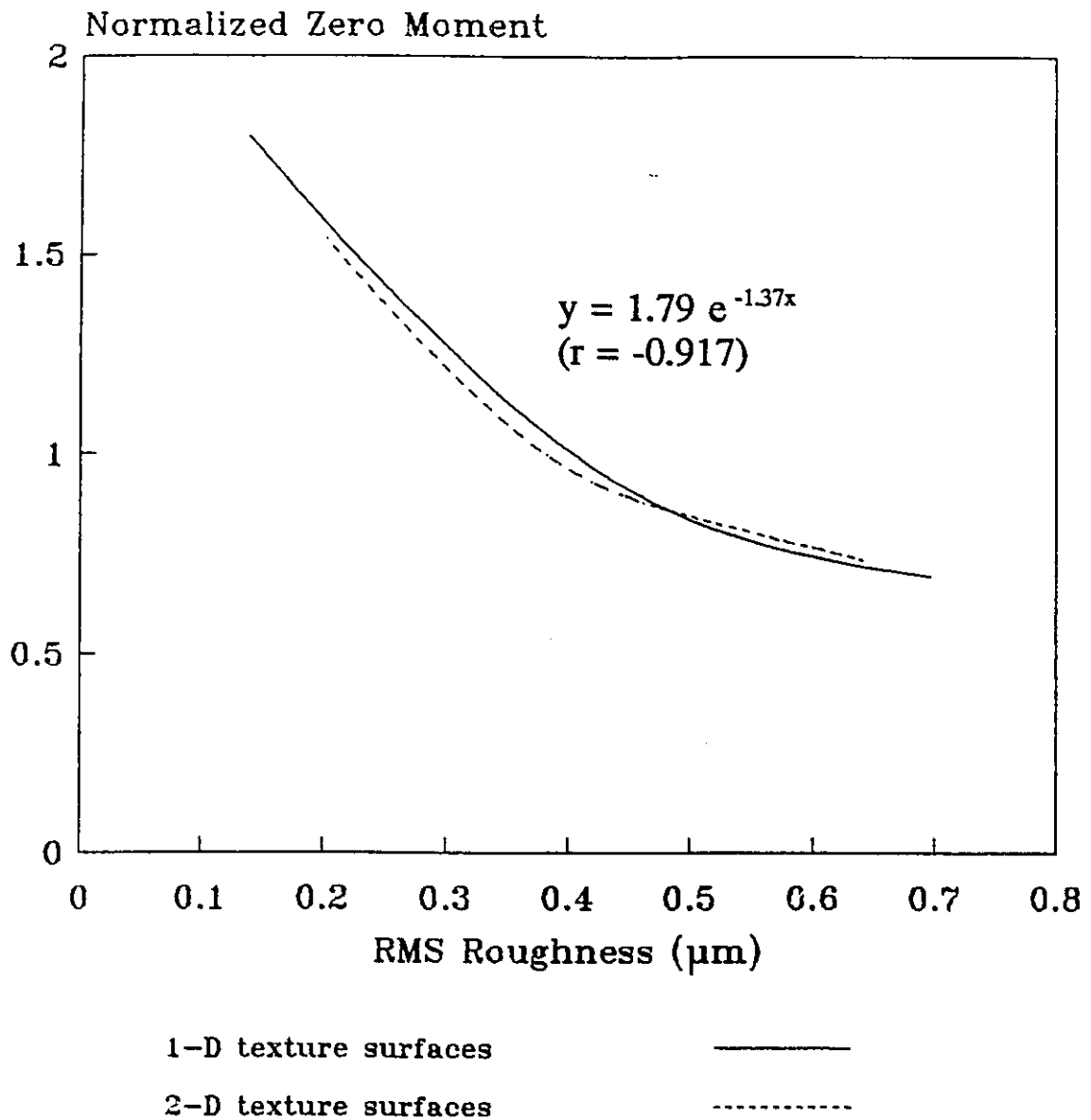


Figure 5.10 Normalized correlation curves of RMS roughness for 1-D & 2-D texture surfaces on roller bearings

. Second Moment vs RMS Slope 2-D Texture Surfaces on Roller Bearings

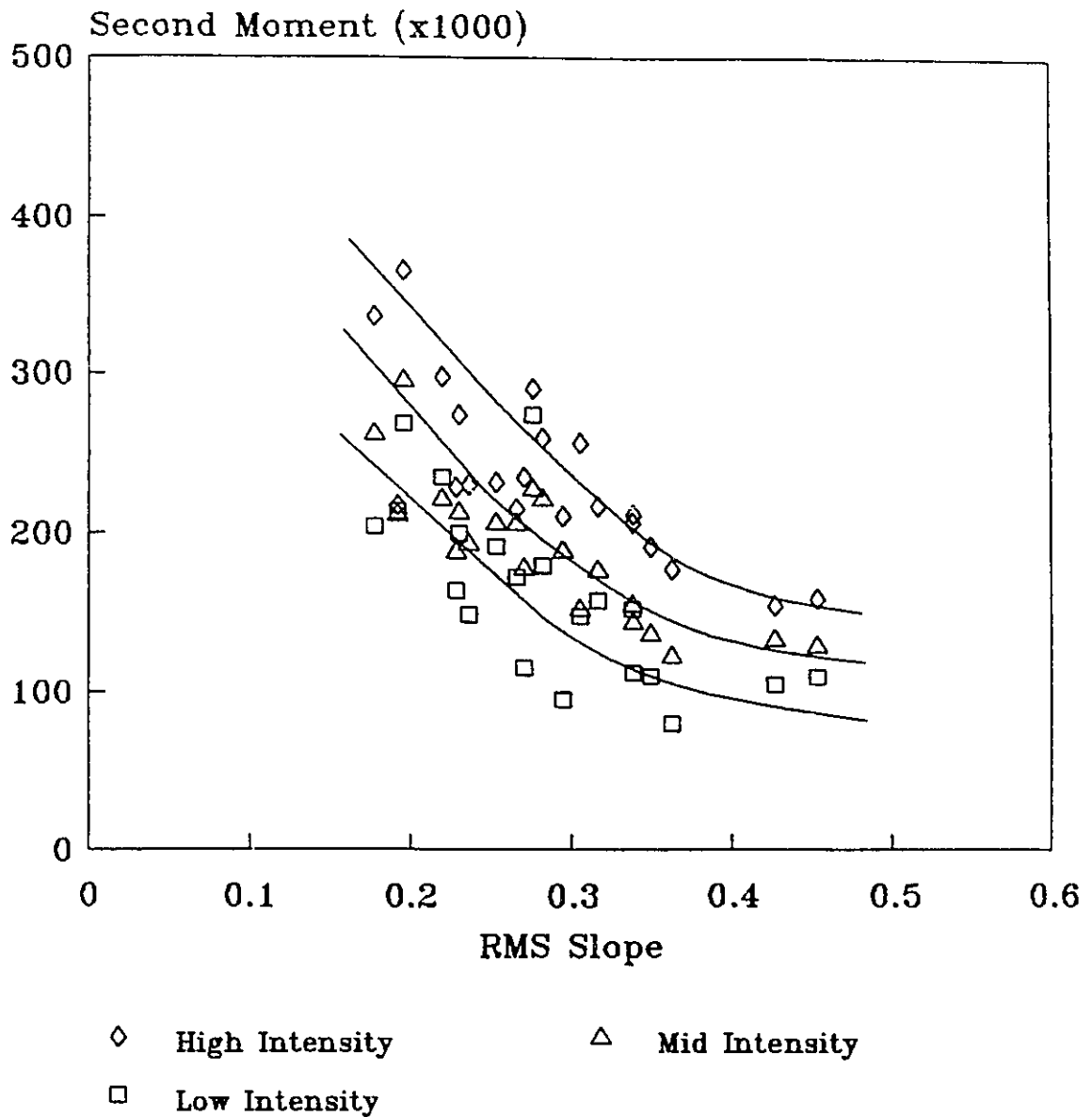


Figure 5.11 Correlation curves of
RMS slope for 2-D texture
surfaces on roller bearings

Normalized Second Moment vs RMS Slope 2-D Texture Surfaces on Roller Bearings

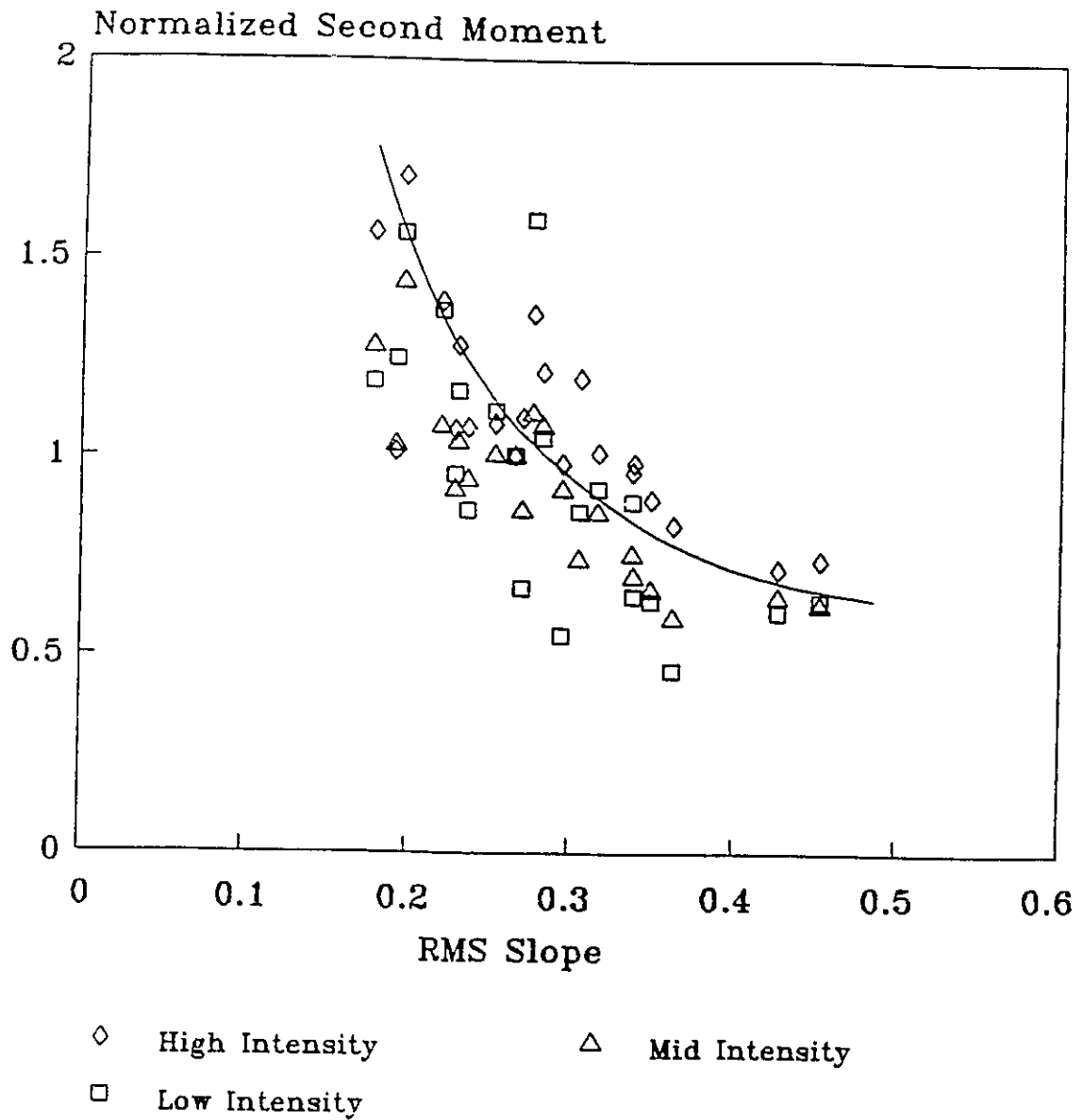


Figure 5.12 Normalized correlation curve of RMS slope for 2-D texture surfaces on roller bearings

Normalized Second Moment vs RMS Slope 2-D Texture Surfaces on Roller Bearings

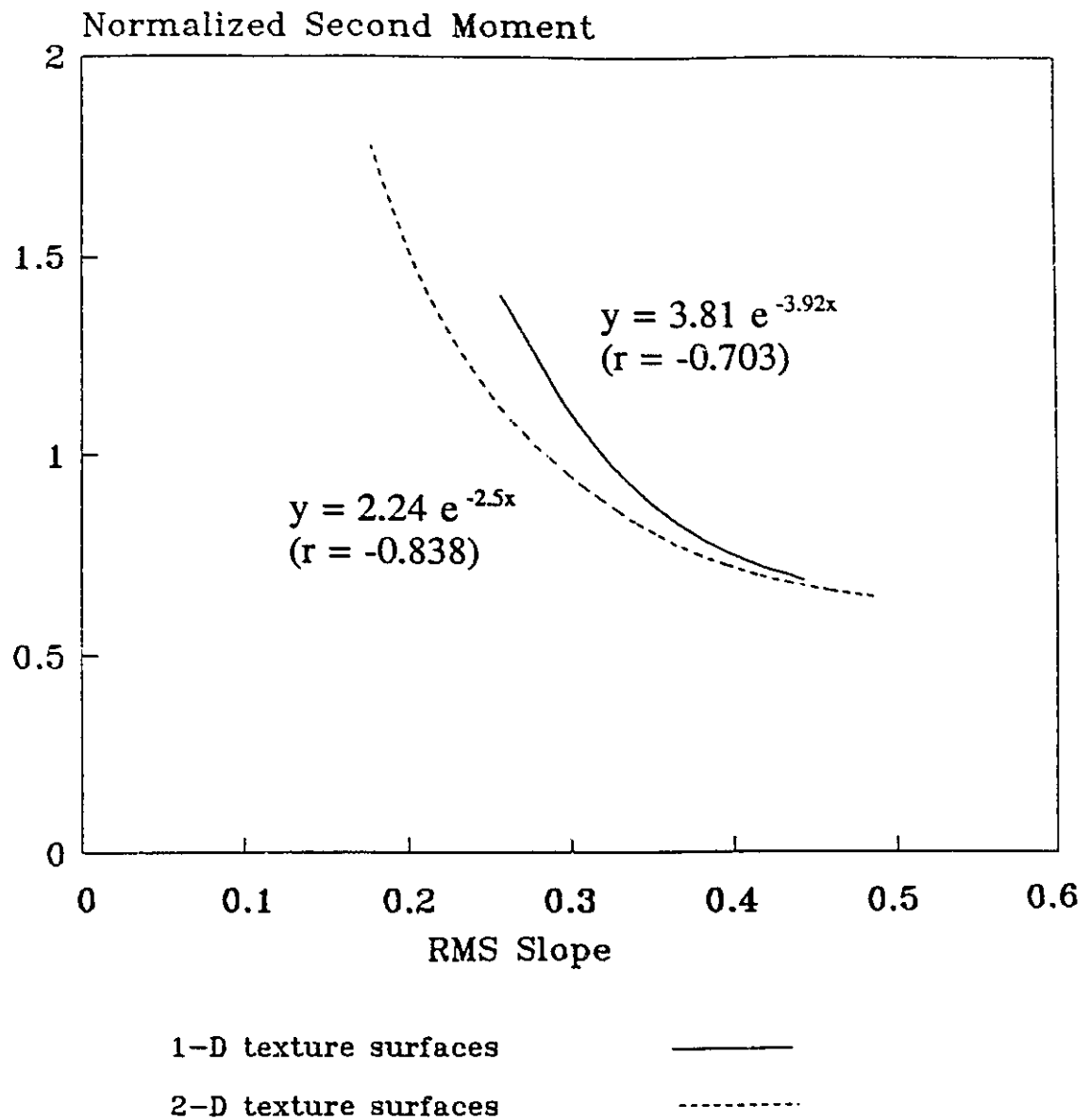


Figure 5.13 Normalized correlation curves of RMS slope for 1-D & 2-D texture surfaces on roller bearings

CHAPTER VI

CONCLUSIONS AND RECOMMENDATIONS

6.1 Conclusions

An optical measurement technique for the 2-D surface texture of roller bearings was developed. This technique is based on light (laser light) scattering from the surface. The bi-directional reflective distribution function (BRDF) of the scattering pattern of the surface was applied to derive the zero and second moments of the scattering pattern greylevel intensity as optical surface roughness parameters. The correlation of optical and mechanical roughness parameters was carried out for 1-D and 2-D texture surfaces of the bearings. From the results of the experiment, the following conclusions can be stated:

- 1) The optical measurement technique by light scattering for 1-D and 2-D surface texture roller bearings between 0.1 and 0.8 μm of mechanical RMS roughness was successfully developed.
- 2) Two optical roughness parameters, zero and second moments of the scattering pattern greylevel intensity, derived from the bi-directional reflective distribution function (BRDF), can be used to correlate to the mechanical RMS roughness and RMS slope, respectively.
- 3) Correlation curves were obtained to show the relationship between the optical parameters and the mechanical roughness parameters.
- 4) A common normalized correlation curve was established for 1-D and 2-D texture surfaces. Thus it is possible to establish one calibration curve for the evaluation

of either 1-D or 2-D texture surfaces. However, the type of texture must be known *a priori*.

- 5) The possible relative error of the optical measurement is less than 10% on the average. This error may increase for rougher surfaces.
- 6) The orientation of the lay is not important in this measurement process for the range investigated.

6.2 Recommendations

Some factors should be considered to improve this measurement technique:

- 1) The range of mechanical RMS roughness of the sample surfaces can be extended (e.g. from $0.05\mu\text{m}$ to more than $1\mu\text{m}$) in order to obtain the correlation curve(s) in the useful wider range.
- 2) The roller bearings used for this experiment were made of the same material. The effect of different material properties is not known. It would be of interest to take material property into account in this process.
- 3) A variety of 2-D texture surfaces (e.g. different angles between the two lay directions) can be used in the test to investigate the effect of lay angles.
- 4) Other roughness parameters such as skew or kurtosis for mechanical roughness parameters and third or higher order moments of the scattering patterns can be used as optical parameters for correlating with mechanical roughness parameters.
- 5) A specific optical surface can be selected as the standard surface for the normalization process instead of using a sample surface in order to standardize the normalization process for all types of surfaces.

- 6) Other factors affecting the measurement error, such as oxidized specimen surfaces or vibrations during measurements, should be considered. A more vigorous method of calculating the measurement uncertainty can also be established to determine the error in a more precise way.
- 7) Calibration of the camera can be carried out to ensure that it is linear and free of optical distortions.

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APPENDIX A
EQUIPMENT SPECIFICATIONS

Stylus Instrument

Manufacturer : Mitutoyo Manufacturing Co., Ltd., Japan

Model : Surfest III

Tip radius : $10\ \mu\text{m} \pm 2.5\ \mu\text{m}$

Tip angle : $90^\circ \pm 10^\circ$

Skid radius : 15 mm

Traverse speed : 2 mm/s or 6mm/s

He-Ne Laser

Manufacturer : Opticon

Model : LM2P, Class IIb Laser product

Wavelength : 632.8 nm

Beam diameter : 0.75mm at $1/e^2$

Maximum output power : 5 mW

Operating Temperature : -20°C to $+50^\circ\text{C}$

High resolution monitor

Manufacturer : Panasonic, Matsushita Co., Ltd.

Model : WV-5410

CCD Camera .

Manufacturer : NEC Co., Japan.

Model : TI-23A

Image cell size : $16\ \mu\text{m} \times 20\ \mu\text{m}$

Image size : $4.84\ \text{mm} \times 6.45\text{mm}$

Signal system : ELA standards Rs-170

Signal to noise ratio : 60 dB

Size (H \times W \times L) : $75\ \text{mm} \times 114\ \text{mm} \times 235\ \text{mm}$

Digitizing Board

Manufacturer : MATROX Electronic System Ltd., Canada.

Model : PIP-1024

Resolution 512×512 pixels

Grey level : 256 (8 bits)

Image Store : One 1024×1024 buffer or
four 512×512 buffers

D/A Converters : Three 8 bit D/A converters for RGB input

Output : 8 bits in / 24 bits out

Size (H \times W \times L) : $335.3\ \text{mm} \times 106.8\ \text{mm} \times 32.7\ \text{mm}$

APPENDIX B
DATA FOR CORRELATION CURVES

Table B.1 Data for the zero moment vs RMS roughness of 1-D texture sample surfaces

Sample Surface	Mechanical RMS Roughness (μm)	Optical Zero Moment (Greylevel) ^{1/2}		
		Laser Intensity:		
		High	Mid	Low
A5	0.258	606	470	323
B5	0.265	601	457	325
B1	0.370	530	405	249
A1	0.382	474	352	222
B6	0.390	494	384	232
A6	0.400	488	359	214
B3	0.417	479	347	243
A3	0.434	483	311	206
B2	0.447	445	324	186
A2	0.491	425	319	155
B4	0.510	431	308	158
A4	0.584	417	308	158

Table B.2 Data for the normalized zero moment vs RMS roughness of 1-D texture sample surfaces

Sample Surface	Mechanical RMS Roughness (μm)	Normalized Optical Zero Moment		
		Laser Intensity:		
		High	Mid	Low
A5	0.258	1.28	1.33	1.45
B5	0.265	1.27	1.30	1.46
B1	0.370	1.12	1.16	1.12
A1	0.382	1.00	1.00	1.00
B6	0.390	1.04	1.09	1.04
A6	0.400	1.03	1.02	0.96
B3	0.417	1.01	0.99	1.10
A3	0.434	1.02	0.92	0.93
B2	0.447	0.94	0.88	0.84
A2	0.491	0.90	0.91	0.70
B4	0.510	0.91	0.88	0.71
A4	0.584	0.88	0.88	0.55

Table B.3 Data for the second moment vs RMS slope of 1-D texture sample surfaces

Sample Surface	Mechanical RMS Slope	Optical Second Moment ($\times 1000$)		
		Laser Intensity:		
		High	Mid	Low
B5	0.268	431	314	222
A5	0.272	452	337	215
B1	0.300	336	264	140
A1	0.306	341	248	174
A3	0.306	386	222	113
B3	0.316	332	242	179
B2	0.321	331	198	122
A6	0.331	322	230	119
B6	0.341	326	221	141
A2	0.385	308	210	91
A4	0.395	308	202	74
B4	0.410	305	228	96

Table B.4 Data for the normalized second moment vs RMS slope of 1-D texture sample surfaces

Sample Surface	Mechanical RMS Slope	Normalized Optical Second Moment		
		Laser Intensity:		
		High	Mid	Low
B5	0.268	1.26	1.26	1.28
A5	0.272	1.33	1.36	1.24
B1	0.300	0.99	1.06	0.88
A1	0.306	1.00	1.00	1.00
A3	0.306	1.14	0.89	0.65
B3	0.316	0.97	0.93	1.03
B2	0.321	0.97	0.80	0.70
A6	0.331	0.95	0.93	0.69
B6	0.341	0.96	0.89	0.82
A2	0.385	0.92	0.85	0.52
A4	0.395	0.90	0.82	0.43
B4	0.410	0.90	0.92	0.55

Table B.5 Data for the zero moment vs RMS roughness of 2-D texture sample surfaces

Sample Surface	Mechanical RMS Roughness (μm)	Optical Zero Moment (Greylevel) ^{1/2}		
		Laser Intensity:		
		High	Mid	Low
E5	0.159	584	526	450
F5	0.159	574	503	453
D5	0.216	497	454	408
E1	0.252	438	417	360
D1	0.268	456	421	381
D3	0.294	489	450	417
F1	0.324	415	389	356
C5	0.325	423	392	341
F3	0.344	385	375	317
E3	0.346	427	379	309
E2	0.361	360	332	287
D2	0.377	380	336	296
C1	0.398	341	301	251
F2	0.399	323	285	229
E4	0.459	352	275	241
C3	0.485	314	301	251
C6	0.488	307	259	183
C2	0.560	263	245	185
F4	0.586	332	260	192
D4	0.640	261	229	179
C4	0.664	259	204	174

Table B.6 Data for the normalized zero moment vs RMS roughness of 2-D texture sample surfaces

Sample Surface	Mechanical RMS Roughness (μm)	Normalized Optical Zero Moment		
		Laser Intensity:		
		High	Mid	Low
E5	0.159	1.72	1.63	1.69
F5	0.159	1.68	1.58	1.70
D5	0.216	1.46	1.42	1.53
E1	0.252	1.28	1.31	1.35
D1	0.268	1.34	1.32	1.43
D3	0.294	1.44	1.41	1.56
F1	0.324	1.22	1.22	1.37
C5	0.325	1.24	1.23	1.28
F3	0.344	1.13	1.18	1.19
E3	0.346	1.25	1.19	1.16
E2	0.361	1.06	1.04	1.08
D2	0.377	1.12	1.05	1.11
C1	0.398	1.00	1.00	1.00
F2	0.399	0.95	0.89	0.86
E4	0.459	1.03	0.86	0.90
C3	0.485	0.92	0.95	0.94
C6	0.488	0.90	0.91	0.70
C2	0.560	0.77	0.77	0.69
F4	0.586	0.97	0.81	0.70
D4	0.640	0.77	0.71	0.67
C4	0.664	0.76	0.64	0.65

Table B.7 Data for the second moment vs RMS slope of 2-D texture sample surfaces

Sample Surface	Mechanical RMS Slope	Optical Second Moment ($\times 1000$)		
		Laser Intensity:		
		High	Mid	Low
F5	0.118	335	262	203
E1	0.192	217	211	213
E5	0.196	365	295	268
D5	0.220	297	221	234
F1	0.229	228	188	163
D1	0.230	273	212	199
E3	0.236	229	193	148
F3	0.253	231	206	190
C1	0.266	214	205	171
D2	0.270	234	177	115
D3	0.276	290	227	274
C5	0.282	259	220	179
F2	0.294	210	188	94
E4	0.305	256	152	147
E2	0.316	216	176	157
C3	0.337	205	154	152
C6	0.338	211	143	111
F4	0.349	191	136	109
C4	0.362	177	122	80
C2	0.427	154	133	104
D4	0.453	158	129	109

Table B.8 Data for the normalized second moment vs RMS slope of 2-D texture sample surfaces

Sample Surface	Mechanical RMS Slope	Optical Second Moment ($\times 1000$)		
		Laser Intensity:		
		High	Mid	Low
F5	0.118	1.56	1.27	1.18
E1	0.192	1.01	1.03	1.24
E5	0.196	1.70	1.44	1.56
D5	0.220	1.39	1.07	1.37
F1	0.229	1.06	0.91	0.95
D1	0.230	1.28	1.04	1.16
E3	0.236	1.35	1.10	1.60
F3	0.253	1.08	1.00	1.11
C1	0.266	1.00	1.00	1.00
D2	0.270	1.09	0.86	0.67
D3	0.276	1.35	1.11	1.60
C5	0.282	1.21	1.08	1.04
F2	0.294	0.98	0.92	0.55
E4	0.305	1.19	0.74	0.86
E2	0.316	1.01	0.86	0.92
C3	0.337	0.96	0.75	0.88
C6	0.338	0.98	0.70	0.65
F4	0.349	0.89	0.66	0.63
C4	0.362	0.83	0.60	0.46
C2	0.427	0.72	0.65	0.61
D4	0.453	0.74	0.63	0.64

Table B.9 Data for the measurement precision of the optical technique by using C1 sample surfaces

(a) Error due to focusing

Number of Measurement	Zero Moment (Greylevel) ^{1/2}	Second Moment (×1000)
1	345	179
2	334	180
3	348	180
4	358	186
5	341	186

(b) Error due to centering

Number of Measurement	Zero Moment (Greylevel) ^{1/2}	Second Moment (×1000)
1	371	187
2	356	164
3	326	159
4	335	156
5	345	162

(c) Error due to Surface Non-uniformity

Number of Measurement	Zero Moment (Greylevel) ^{1/2}	Second Moment (×1000)
1	343	192
2	371	193
3	376	186
4	390	174
5	383	194

APPENDIX C
COMPUTER PROGRAM LIST

Sinclude: 'forintf.h'

```
c      mainline program

c      *****
c      *          Declaration Statements          *
c      *****

      implicit integer (a-e)
      implicit real*4 (f)
      implicit integer*2 (g-z)
      real*4 cv,s2,mean,data1(256),data2(250),data3(250),freq(250)
      real*4 slope,sum1,sum2,sum3,rms,fcdata,xdata,rmss,xa,ya
      real*8 sx,sy,fpr,fi,fj,fir,sec,s
      integer*2 x(20),y(20),prof(1024),prof1(1024),x1,y1,quad
      integer*2 ifirst,iave,i1,i2,left,right,pr
      integer*2 wx1,wx2,wx3,wx4,wy1,wy2,wy3,wy4,wd,ww,m1,n1
      integer*4 vol
c      ***** offset and gain *****
      integer*4 off,gn
      character*20 tx1,tx2
      character*10 cmx
      character*3 cmax,res
      character*2 ca
      character*13 fname
      character*20 fname1,fname2,fname3
      character dum,buf1(512),buf2(512),buf(512),buffer(4096),
      workbook(512),dummy,ask

c      *****
c      *          initialization of the board          *
c      *****

      ir=init(620)
      if (ir.ne.1) write (*,*)ir
      call chan(2)
      call video (0)
      call sync(0)
c      ***** setting offset and gain *****
      off=70
      gn=120
      call offset(off)
      call gain(gn)
c      PIP-1024 mode
      call quadm(1)
c      display quadrant 0
      call dquad(0)
```

```

        write(*,997)
997    format(////,t6,'NOTE:THE DISPLAYED QUADRANT IS 0'./,
        *           t6,'    CAMERA IS OFF'./,
        *           t6,'HIT <ENTER> FOR MAIN MENU'./)
        read(*,'(a1)') dummy
        bsize=4096

4      write(*,5)
5      format('1'./,t6,'IMAGE PROCESSING ROUTINES'./,
        *      t6,'-----'./)
        write(*,10)
10     format(/, t6,' 0. CAMERA ON/OFF'./,
        *      t6,' 1. CONTINUOUSGRAB/SHOT'./,
        *      t6,' 2. SAVE/LOAD A PICTURE'./,
        *      t6,' 3. SELECT THE QUADRANT TO BE DISPLAYED/CLEARED'./,
        *      t6,' 4. POINT PIXEL READ'./,
        *      t6,' 5. GREYLEVEL PROFILE'./,
        *      t6,' 6. HISTOGRAM ANALYSIS'./,
        *      t6,' 7. FAST FOURIER TRANSFORM ANALYSIS'./,
        *      t6,' 8. SET OFFSET AND GAIN'./,
        *      t6,' 9. ADDING TWO IMAGES (QUAD 1 + QUAD 2 --> QUAD 3)'./,
        *      t6,' ./,
        *      t6,'10. COPY IMAGE (FROM QUAD X TO QUAD Y)'./,
        *      t6,'11. *****'./,
        *      t6,'12. CALCULATE RMS & SLOPE OF PATTEN'./,
        *      t6,'13. MATCH QUAD 1 & 2 TO QUAD 0'./,
        *      t6,'14. EXIT PROGRAM'./)

        read(*,'(i2)')num

c      *****
c      *      camera on/off      *
c      *****
        if(num.ne.0) go to 662
        cam=0
        write(*,1)
1      format(/,T6,'CAMERA ON/OFF ? (ON/OFF)'./)
        read(*,'(a2)')ca
        if(ca.eq.'ON'.or.ca.eq.'on')cam=1
        call sync (cam)

662    if(num.ne.6) go to 117
c      *****
c      *      histogram analysis      *
c      *****

```

```

        write(*,200)
200    format('1'//,t6,'HISTOGRAM ANALYSIS'//,
        *          t6,'-----'//)
        write(*,911)
911    format(/,t6,'QUADRANT ? (0-3)'//)
        read(*,'(i2)')iquad
        call ghist(iquad)
        go to 4

117    if(num.ne.7) goto 15
c      *****
c      *          f.f.t analysis          *
c      *****
        write(*,777)
777    format('1'//,t6,'F.F.T ANALYSIS'//,
        *          t6,'-----'//)
        write(*,911)
        read(*,'(i2)')iquad
        call dquad(iquad)
        call crsr(x1,y1,x2,y2,iquad)
        call setwin(x1,y1,x2,y2)
        call fftanaly(x1,y1,x2,y2,iquad)
        go to 4

15     if(num.ne.1) go to 20
c      *****
c      *          continuous grab/snapshot      *
c      *****
c      checking for camera on off state
        if (cam.eq.0) goto 4
        write(*,666)
666    format('1',t6,'CONTINUOUS GRAB/SHOT'//,
        *          t6,'-----'//)
        call cgrab(1)
        write(*,*) 'HIT <ENTER> TO GRAB PICTURE'
        read(*,'(a1)')ask
        call cgrab(0)

20     if(num.ne.2) go to 30
c      *****
c      *          save or load picture          *
c      *****
        write(*,210)
210    format('1',T6,'SAVE/LOAD ? (S/L) ; QUADRANT ? (0-3)'//)
        read(*,771)ask,iquad

```

```

771  format(a1,i2)
      write(*,215)
215  format(/,t6,'FILENAME ? (DRIVER:\PATH\FILENAME)')
      read(*,'(a13)')fname
      call dquad(iquad)

c      ***** loading picture *****
      if(ask.eq.'L'.or.ask.eq.'l') then
      iret=ifrdsk(bsize,iquad,fname,workbuffer)

c      ***** saving picture *****
      else if(ask.eq.'S'.or.ask.eq.'s') then
      iret=itodsk(bsize,iquad,fname,buffer)

c      ***** in case of typing error *****
      else
      go to 4
      end if

      if(iret.eq.1)then
      write(*,216)
216  format(/,T6,'TRANSFER COMPLETED')
      else if(iret.eq.0) then
      write(*,217)
217  format(/,t6,'COULD NOT OPEN FILE')
      else if(iret.eq.-1) then
      write(*,218)
218  format(/,t6,'DISK ERROR')
      end if
      go to 4

30   if (num.ne.5) goto 40
c      *****
c      *      greylevel profile      *
c      *****
      write(*,444)
444  format('1',/ ,t6,'GREYLEVEL PROFILE',/ ,
*      t6,'-----',/ )
      cmx='max value='
      write(*,911)
      read(*,'(i2)')iquad
      call dquad(iquad)
      write(*,351)
351  format(/,t6,'1. HORIZONTAL PROFILE',/ ,
*      t6,'2. VERTICAL PROFILE ',/ ,

```



```

*      t6,'3. TWO POINTS FORM X-DIR (QUAD 0 ONLY)'//
*      t6,'4. TWO POINTS FORM Y-DIR (QUAD 0 ONLY)'//
read(*,'(i2)')num1
call profile(iquad,num1)

c      *****
c      *      point pixel read      *
c      *****
40     if (num.ne.4) go to 50
        write(*,888)
888    format('1'//,t6,'POINT PIXIL READ'//,
*        t6,'-----'//)
        write(*,911)
        read(*,'(i2)')iquad
        call dquad(iquad)
        dx=0
        dy=0
        if(iquad.eq.2.or.iquad.eq.3) dx=512
        if(iquad.eq.1.or.iquad.eq.3) dy=512

c      ***** drawing initial cursor *****

        xr=256+dx
        yr=240+dy
        j=0
        do 35 i=xr-8,xr+8
            j=j+1
            x(j)=ipixr(i,yr)
            call pixw(i,yr,255)
35     continue
        j=0
        do 36 i=yr-8,yr+8
            j=j+1
            if (j.eq.9) then
                y(j)=x(j)
            else
                y(j)=ipixr(xr,i)
            endif
            call pixw(xr,i,255)
36     continue

c      cursor displacement
        idl=3
        write(*,370)
370    format(/,t6,'MOVE CURSOR TO THE POINT OF INTEREST',

```

```

*      /,t6,'HIT <RETURN> FOR MAIN MENU' /)
39  ipnt=x(9)
    write(*,372)ipnt
372  format('+',t5,i4)
    dir=getkey(ii)
    if (dir.eq.274.or.dir.eq.275.or.dir.eq.276.or.dir.eq.277) then
        yref=yr
        xref=xr
        if (dir.eq.274) yr=yr-idl
        if (dir.eq.275) yr=yr+idl
        if (dir.eq.276) xr=xr-idl
        if (dir.eq.277) xr=xr+idl
        do 37 i=1,17
            call pixw(xref-9+i,yref,x(i))
            call pixw(xref,yref-9+i,y(i))
37  continue
        do 61 i=1,17
            x(i)=ipixr(xr-9+i,yr)
            y(i)=ipixr(xr,yr-9+i)
            call pixw(xr-9+i,yr,255)
            call pixw(xr,yr-9+i,255)
61  continue
        elseif(dir.eq.13) then
            do 38 i=1,17
                call pixw(xr-9+i,yr,x(i))
                call pixw(xr,yr-9+i,y(i))
38  continue
        goto 50
    endif
    goto 39

50  if(num.ne.3) go to 510
c   *****
c   *      select the quadrant to be displayed/cleared *
c   *****
    write(*,222)
222  format('1',/t6,'SELECT THE QUADRANT TO BE DISPLAYED/CLEARED',
*      /,t6,'-----',/ )
    write(*,556)
556  format(/,t6,'DISPLAY/CLEAR ? (D/C) ; QUADRANT ? (0-3)',/ )
    read(*,772)ask,iquad
772  format(a1,i2)
    call dquad(iquad)
    if(ask.eq.'c'.or.ask.eq.'C')then
        call setind(255)

```

```

        call clear(0,7)
        end if

510    if(num.ne.8) goto 70
c      *****
c      *   set offset and gain   *
c      *****
        write(*,520)
520    format('1',/,t6,'SET OFFSET AND GAIN',/,
*          t6,'-----',/)
c      ***** setting offset *****
        write(*,530)off
530    format(/,t6,'SET OFFSET (0 TO 255): ',i4)
        read(*,'(i4)')off
        call offset(off)
c***** setting gain
        write(*,540)gn
540    format(/,t6,'SET GAIN (0 TO 255): ',i4)
        read(*,'(i4)')gn
        call gain(gn)

70    if(num.ne.9) goto 80
c      *****
c      *   adding two images   *
c      *****
        call dquad(3)
        call setind(255)
        call clear(0,7)
        x1=0
        y1=0
        z1=0
        do 910 k=1,512
            call rowr(x1,1,buf1)
            call rowr(x1,2,buf2)
            do 900 i=1,512
                i1=ichar(buf1(i))
                i2=ichar(buf2(i))
                if (i1.ge.i2) i3=i1
                if (i2.ge.i1) i3=i2
                buf(i)=char(i3)
900    continue
            call roww(z1,3,buf)
            x1=x1+1
            y1=y1+1
            z1=z1+1

```

```

910  continue

80  if (num.ne.10) goto 60
c   *****
c   *   copy image   *
c   *****
      print*, ' '
      print*, ' '
      print*, ' COPY FROM QUAD ? (0-3)'
      read(*,'(i1)')cf
      print*, ' '
      print*, ' COPY TO QUAD ? (0-3)'
      read(*,'(i1)')ct
      print*, ' '
      do 85 i=1,512
      call rowr(i,cf,buf)
      call roww(i,ct,buf)
85  continue
      call dquad(ct)
      print*, ' '
      print*, ' '
      print*, ' '
      write(*,86) ct
86  format(' DONE ! QUADRANT ',i1,' IS NOW ON THE SCREEN')

60  if (num.ne.11) goto90
c   *****
c   *   calculate rms & m of profile   *
c   *****

c   ***** initialization of the paramaters *****
      DO 140 i=1,250
      data1(i)=0.0
      data2(i)=0.0
      freq(i)=0.0
140  continue

c   ***** read data file *****
      write (*,150)
150  format(/,t6,'FILENAME ? (DRIVER:\PATH\FILENAME)')
      read(*,'(a20)')fname1
      write (*,155)
155  format(/,t6,'NO. OF DATA IN THE FILE (MAX. 230) ?')

```

```

        read(*,'(i4)')idata
        open(unit=10,file=fname1,status='old')
        do 160 i=1,idata
            read(10,165)data1(i),data2(i)
165         format(f10.4,f10.0)
160         continue
        close(unit=10,status='keep')

c      ***** calculate mean *****
        do 170 i=1,idata
            fdata=data2(i)
            sum1=data1(i)*data2(i)
            sum2=sum2+data2(i)
            sum3=sum3+sum1
170         continue
        mean=sum3/sum2

c      ***** reform data *****
c      (into spatial freq. in c/mm vs. spectrum intensity)
        write(*,180)
180         format(/,t6,'FACTOR = ?',/)
        read(*,'(f12.9)')factor
        do 185 i=1,idata
            freq(i)=(data1(i)-mean)*1.70333333*factor
185         continue

c      ***** write reformed data to new file *****
        write(*,187)
187         format(/,t6,'NEW FILENAME ?')
        read (*,'(a20)')fname2
        open(unit=10,file=fname2,status='new')
        do 190 i=1,idata
            write(10,195)freq(i),data2(i)
195         format(f10.4,f10.0)
190         continue
        close(unit=10,status='keep')

90      if(num.ne.12) goto 560
c      *****
c      *    cal. rms & m of patten    *
c      *****

c      ***** initialization of the parameter *****
        vol=0

```

```

fir=0.0
sec=0.0
s=0
sx=0
sy=0
xa=0.0
ya=0.0

write(*,405)
405  format(/,t6,'SELECT QUADRANT (0-2)')
read(*,410)quad
410  format(i2)
call dquad(quad)
do 412 i=1,512
call rowr(i,quad,buf)
call roww(i,3,buf)
412  continue

c      ***** draw window *****
call dquad(quad)
wx1=20
wy1=10
wx2=480
wy2=10
wx3=20
wy3=450
wx4=480
wy4=450
wd=5

call setind(255)
call moveto(wx1,wy1)
call lineto(wx2,wy2)
call moveto(wx2,wy2)
call lineto(wx4,wy4)
call moveto(wx4,wy4)
call lineto(wx3,wy3)
call moveto(wx3,wy3)
call lineto(wx1,wy1)

write (*,426)
426  format(/,t6,'SET THE UPPER LEFT CORNER')
423  continue
dir=getkey(ii)

```

```

if (dir.eq.274.or.dir.eq.275.or.dir.eq.276.or.dir.eq.277) then
  if (dir.eq.274) then
    .      wy1=wy1-wd
           wy2=wy2-wd
           do 431 i=wy1,wy1+5
           call rowr(i,3,buf)
431      call roww(i,quad,buf)
           elseif (dir.eq.275) then
           wy1=wy1+wd
           wy2=wy2+wd
           do 432 i=wy1-5,wy1
           call rowr(i,3,buf)
432      call roww(i,quad,buf)
           elseif (dir.eq.276) then
           wx1=wx1-wd*4
           wx3=wx3-wd*4
           do 433 i=wx1,wx1+20
           call colr(i,3,buf)
433      call colw(i,quad,buf)
           elseif (dir.eq.277) then
           wx1=wx1+wd*4
           wx3=wx3+wd*4
           do 434 i=wx1-20,wx1
           call colr(i,3,buf)
434      call colw(i,quad,buf)
           endif

  call setind(255)
  call moveto(wx1,wy1)
  call lineto(wx2,wy2)
  call moveto(wx2,wy2)
  call lineto(wx4,wy4)
  call moveto(wx4,wy4)
  call lineto(wx3,wy3)
  call moveto(wx3,wy3)
  call lineto(wx1,wy1)
  elseif (dir.eq.13) then
    goto 429
  endif
  goto 423

429  continue
      write (*,427)
427  format(/,t6,'SET THE LOWER RIGHT CORNER')
421  continue

```

```

dir=getkey(ii)
if (dir.eq.274.or.dir.eq.275.or.dir.eq.276.or.dir.eq.277) then
    if (dir.eq.274) then
        wy3=wy3-wd
        wy4=wy4-wd
        do 436 i=wy3,wy3+5
            call rowr(i,3,buf)
436         call roww(i,quad,buf)
        elseif (dir.eq.275) then
            wy3=wy3+wd
            wy4=wy4+wd
            do 437 i=wy3-5,wy3
                call rowr(i,3,buf)
437             call roww(i,quad,buf)
        elseif (dir.eq.276) then
            wx2=wx2-wd*4
            wx4=wx4-wd*4
            do 438 i=wx2,wx2+20
                call colr(i,3,buf)
438             call colw(i,quad,buf)
        elseif (dir.eq.277) then
            wx2=wx4+wd*4
            wx4=wx4+wd*4
            do 439 i=wx2-20,wx2
                call colr(i,3,buf)
439             call colw(i,quad,buf)
        endif

    call setind(255)
    call moveto(wx1,wy1)
    call lineto(wx2,wy2)
    call moveto(wx2,wy2)
    call lineto(wx4,wy4)
    call moveto(wx4,wy4)
    call lineto(wx3,wy3)
    call moveto(wx3,wy3)
    call lineto(wx1,wy1)
    elseif (dir.eq.13) then
        goto 422
    endif
    goto 421
422 continue
    ww=int((wx1+wx2)/2.0+0.5)
    write(*,1111)
111 format(/,t6,'Only RMS ? (Y/N)')

```



```

        read(*,1112)dum
1112  format(a1)

        write(*,428)
428   format(/,t6,'CALCULATING')

c      ***** cal. rms (volume) *****
        do 415 i=wx1+5,wx2-5
        do 420 j=wy1+5,wy3-5
        pr=ipixr(i,j)
420   vol=vol+pr
415   continue
        rmss=vol**0.5
        if (dum.ne.'n') goto 1113
        write(*,425)
425   format('+',t6,'20% FINISHED')

c      ***** cal. center of mass of the patten *****
        do 430 i=wx1+5,wx2-5
        do 435 j=wy1+5,wy3-5
        pr=ipixr(i,j)
        fpr=pr
        fi=i
435   sx=sx+fpr*fi
430   continue
        xa=sx/vol

        WRITE(*,446)
446   FORMAT('+',T6,'40% FINISHED')

        do 440 j=wy1+5,wy3-5
        do 445 i=wx1+5,wx2-5
        pr=ipixr(i,j)
        fpr=pr
        fj=j
445   sy=sy+fpr*fj
440   continue
        ya=sy/vol

        WRITE(*,448)
448   FORMAT('+',T6,'60% FINISHED')

c      ***** cal. 1st & 2nd moment of the patten *****
        do 450 i=wx1+5,wx2-5
        do 455 j=wy1+5,wy3-5

```

```

pr=ipixr(i,j)
fpr=pr
s=6.2831853*((i-xa)**2.0+(j-ya)**2.0)**0.5*1.70333333
fir=fir+fpr*s
sec=sec+fpr*s**2.0
455 continue

IF (I.EQ.ww) THEN
write(*,458)
458 FORMAT('+',T6,'80% FINISHED')
ENDIF

450 continue
write(*,451)
451 format('+',t6,'FINISHED      ')
write(*,452)
452 format('0',' ')
fir=fir**0.5
sec=sec**0.5
1113 continue
write(*,470) rmss
470 format('0',t6,'      RMS = ',f15.4)
write(*,460) fir
460 format(/,t6,'1st MOMENT = ',f15.4)
write(*,465) sec
465 format(/,t6,'      SLOPE = ',f15.4)
write(*,474)
474 format('0',' ')
write(*,475)
475 format('0',t6,'PRESS <RETURN> TO CONTINUE')
read(*,'(a1)') dum

560 if(num.ne.13) goto 101
c *****
c *      match two images      *
c *****

do 563 i=1,512
call rowr(i,1,buf)
call roww(i,3,buf)
563 continue
call dquad(1)
write (*,562)
562 format(/,t6,'QUAD 1 IS NOW ON THE SCREEN')

```

```

m1=70
n1=325
CALL SETIND(255)
call moveto(10,m1+512)
call lineto(500,m1+512)
call moveto(500,m1+512)
call lineto(500,n1+512)
call moveto(500,n1+512)
call lineto(10,n1+512)
call moveto(10,n1+512)
call lineto(10,m1+512)
write(*,564)
564 format(/,t6,'SET AREA TO MATCH')
565 continue
dir=getkey(ii)
if (dir.eq.274.or.dir.eq.275) then
    if (dir.eq.274) then
        m1=m1-5
        n1=n1-5
        do 566 i=m1,m1+5
            call rowr(i,3,buf)
            call roww(i,1,buf)
566        continue
        do 567 j=n1,n1+5
            call rowr(j,3,buf)
            call roww(j,1,buf)
567        continue
    elseif (dir.eq.275) then
        m1=m1+5
        n1=n1+5
        do 568 i=m1-5,m1
            call rowr(i,3,buf)
            call roww(i,1,buf)
568        continue
        do 569 j=n1-5,n1
            call rowr(j,3,buf)
            call roww(j,1,buf)
569        continue
    endif

CALL SETIND(255)
call moveto(10,m1+512)
call lineto(500,m1+512)
call moveto(500,m1+512)
call lineto(500,n1+512)

```

```

call moveto(500,n1+512)
call lineto(10,n1+512)
call moveto(10,n1+512)
call lineto(10,m1+512)
elseif (dir.eq.13) then
goto 570
endif
goto 565

tx1='
570 write(*,598)
598 format(/,t6,'TEXT ?')
read(*,'(a20)') tx1

do 575 i=m1,n1
call rowr(i,3,buf)
j=i-(m1-1)
call roww(j,0,buf)
575 continue
call moveto(245,30)
call setind(255)
call text(tx1,1)

do 583 i=1,512
call rowr(i,2,buf)
call roww(i,3,buf)
583 continue
call dquad(2)
write (*,582)
582 format(/,t6,'QUAD 2 IS NOW ON THE SCREEN')
m1=70
n1=325
CALL SETIND(255)
call moveto(10+512,m1)
call lineto(500+512,m1)
call moveto(500+512,m1)
call lineto(500+512,n1)
call moveto(500+512,n1)
call lineto(10+512,n1)
call moveto(10+512,n1)
call lineto(10+512,m1)
write(*,584)
584 format(/,t6,'SET AREA TO MATCH')
585 continue

```

```

dir=getkey(ii)
if (dir.eq.274.or.dir.eq.275) then
    if (dir.eq.274) then
        m1=m1-5
        n1=n1-5
        do 586 i=m1,m1+5
            call rowr(i,3,buf)
            call roww(i,2,buf)
586        continue
            do 587 j=n1,n1+5
                call rowr(j,3,buf)
                call roww(j,2,buf)
587            continue
        elseif (dir.eq.275) then
            m1=m1+5
            n1=n1+5
            do 588 i=m1-5,m1
                call rowr(i,3,buf)
                call roww(i,2,buf)
588            continue
                do 589 j=n1-5,n1
                    call rowr(j,3,buf)
                    call roww(j,2,buf)
589                continue
            endif

CALL SETIND(255)
call moveto(10+512,m1)
call lineto(500+512,m1)
call moveto(500+512,m1)
call lineto(500+512,n1)
call moveto(500+512,n1)
call lineto(10+512,n1)
call moveto(10+512,n1)
call lineto(10+512,m1)
elseif (dir.eq.13) then
    goto 590
endif
goto 585
    tx2=
590 write(*,599)
599 format(/,t6,'TEXT ?')
    read(*,'(a20)') tx2
    do 595 i=m1,n1
        call rowr(i,3,buf)

```

```

j=i-(m1-256)
call roww(j,0,buf)
595  continue
call moveto(245,465)
call setind(255)
call text(tx2,1)
call moveto(565,465)
dum=' '
call text(dum,1)
call dquad(0)
write(*,597)
597  format(/,t6,'DONE !')

101  if(num.ne.14) goto 4
stop
end

```

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