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**Higher colonization pressure increases the risk of sustaining invasion by
invasive non-indigenous species**

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19 **Abstract**

20 Considerable attention has been focused on the concept of Propagule Pressure (PP;
21 number of individuals introduced and introduction events) as a predictor of invasion
22 success (975 papers). Much less well studied is the role of Colonization Pressure (CP;
23 number of species introduced; 24 studies), the complement of PP. Here we review the
24 invasion history of the Laurentian Great Lakes to predict the risk of a future invasive (i.e.
25 producing adverse ecological effects on other species) non-indigenous species (NIS)
26 based upon the number of species introduced (CP), using the recorded history of
27 invasions in this system as our starting point. Historically, 52% of the fishes that were
28 introduced and became established in the Great Lakes were subsequently identified in
29 the literature as invasive, whereas the value for invertebrates (16%) was much lower.
30 Assuming future invaders have similar invasion attributes as those already present, the
31 risk of getting at least one high impact species is positively and asymptotically related to
32 the number of species introduced, though the rate is substantially higher for fishes than
33 for invertebrates. Our study provides support for the contention that managers ought to
34 focus initially on vectors transmitting multiple species when attempting to prevent
35 invasion of their system by species likely to become problematic.

36

37 **Keywords:** Colonization pressure, propagule pressure, invasion success, invasive
38 species, Great Lakes

39

40 **Introduction**

41
42 Ecologists have taken many different approaches to predict which species will
43 become invasive (i.e. problematic) when introduced to new systems and which
44 ecosystems are most vulnerable to invasion. Approaches for the former include
45 consideration of native range area, life history characteristics, history of invasiveness,
46 and the nature of biological interactions, while those for the latter include climate
47 matching, degree of human disturbance or habitat insularity, and resource availability,
48 among others (see Elton, 1958; Williamson and Fitter, 1996; Colautti et al., 2006; Lodge
49 et al., 2006; Richardson and Pyšek, 2006; Hayes and Barry, 2008; Lonsdale, 2009;
50 Jeschke et al., 2012). Simberloff (2009) attributes Mark Williamson (1996) with
51 introducing the concept of Propagule Pressure to predict species invasiveness (also see
52 Williamson and Fitter, 1996a,b). Propagule Pressure includes multiple components, the
53 main ones being the number of individuals introduced and the number of introduction
54 events (Lockwood et al., 2005; Simberloff, 2009). Propagule Pressure is important
55 because as more individuals are introduced, the likelihood of overcoming demographic
56 constraints - like Allee effects - also increases (Lockwood et al., 2005). The number of
57 introduction events is important since it may allow demographic rescue of previously
58 introduced individuals, as well as providing multiple opportunities to colonize in the face
59 of environmental stochasticity in the receiving habitat (Lockwood et al., 2005;
60 Simberloff, 2009). Propagule Pressure also includes the condition of propagules
61 introduced, and the abundance of the invader in its native range from which propagules
62 are entrained in an invasion vector. The latter variable is potentially important since - all

63 things being equal - higher abundance in the native region provides a potentially larger
64 inoculum when entrained in the invasion vector (Blackburn et al., 2015).

65 Colonization Pressure – the number of species introduced - is a critical
66 parameter for predicting invasion of habitats. The concept is grounded in the view that
67 each species has a different invasion potential when introduced into a particular habitat,
68 and as the number of species introduced increases so, too, does the likelihood that at
69 least one species will have its establishment requirements met (Lockwood et al., 2009).
70 Colonization Pressure has been explored with birds and waterstriders, and using
71 simulated and real ballast water communities (Ahlroth et al., 2003; Chiron et al., 2009;
72 Lockwood et al., 2009; Briski et al., 2013; Chan et al., 2015a). Lockwood et al. (2009)
73 demonstrated as the total inoculum introduced to a habitat increases, both Propagule
74 Pressure and Colonization Pressure increase, with the latter asymptoting as rare
75 species are slowly added while the former continues to increase.

76 One concern with increased Colonization Pressure is the increased risk of
77 entraining an invasive NIS (Ricciardi and Kipp, 2008; Ricciardi and Maclsaac, 2011).
78 This problem is, in fact, a variant of the Propagule Pressure concept. If risk of invasion
79 by a highly invasive NIS does, in fact, increase with Colonization Pressure, then it would
80 follow that management ought to focus efforts on vectors capable of transmitting
81 multiple species before those capable of introducing only single species. In this study,
82 we explore this issue using the invasion histories of the Laurentian Great Lakes with
83 respect to successfully established fishes and invertebrates.

84

85 **Methods**

86 We assessed the relative prominence of the terms “propagule pressure” and
87 “colonization pressure” in the ecological literature between 2000 and 2016 (September
88 9, 2016) using either of the terms combined with “biological invasion” or “species
89 invasion” or “invasive species” or “nonindigenous species” in Web of Science. Two
90 metrics were arbitrarily selected to determine popularity of papers using these terms as
91 keywords: total number of papers, and number of papers citing the terms.

92 To estimate the probability of introducing at least one high-impact invader
93 species to a new area, we performed a probability analysis using the hypergeometric
94 distribution (phyper function in R; R Core Team, 2016). We based the proportion of
95 high-impact versus no-reported impact invaders for this analysis on fish and
96 invertebrate species already introduced – by any vector – and established in the
97 Laurentian Great Lakes (GLANSIS, 2016). All fish and invertebrate species were
98 reviewed for demonstrated ‘impact’ in the Great Lakes based on Web of Science-
99 retrieved publications. This analysis is likely conservative as only reports that explicitly
100 identified adverse ecological effects (i.e. predation, competition, parasitism) involving
101 native species in the Great Lakes were considered as having an impact. Furthermore, if
102 a species has, for example, a parasitic life history but available reports failed to identify
103 any adversely-affected species in the Great Lakes, we did not apply the ‘invasive’ tag to
104 that species. Analyses were conducted separately for invertebrates and fishes. Using
105 the proportion of high-impact invaders from the Great Lakes, we calculated the
106 probability of introducing least one high-impact fish or invertebrate invader when
107 introducing from one to ten total species to a new area. Specifically, we modeled the
108 likelihood of getting at least one high impact invader [$P(X \geq 1)$] as:

$$P(X \geq 1) = \sum_{k=1}^n \frac{\binom{K}{k} \binom{N-K}{n-k}}{\binom{N}{n}}$$

109

110 where N is the total pool of invaders, K is the number of high-impact invaders, n is the
 111 number of species introduced, and k is the number of high-impact invaders drawn.

112 Thus, our model calculated the total probability of drawing one or more high-impact
 113 invaders for each step from one to ten species introduced to a new area. Our analysis
 114 assumes that introduced species subsequently establish, though, in reality, each
 115 species will have a separate probability associated with this stage of invasion.

116

117 **Results and Discussion**

118 While Propagule Pressure and Colonization Pressure are both utilized in the
 119 invasion literature, they are not equally represented. There have been 975 versus 24
 120 publications, respectively, that utilized these terms since 1996. Propagule Pressure was
 121 used earlier than Colonization Pressure – which was previously simply called number of
 122 species introduced - and it remains more popular today (120 versus 6 publications,
 123 respectively, in 2015). Propagule Pressure is also cited much more commonly than
 124 Colonization Pressure, with 4846 and 46 citations in 2015, respectively. Both
 125 Propagule Pressure and Colonization Pressure have multiple components, including
 126 abundance of individuals/species in the source pool, number of individuals/species
 127 entrained and released by a vector, and the number of introduced individual/species
 128 that establish (Simberloff, 2009; Lockwood and Blackburn, 2009; Blackburn et al.,
 129 2015). Propagule Pressure also considers the number of introduction events. Part of the
 130 difference in popularity of studies using the two terms may relate to the fact that the

131 number of individuals introduced has strong implications in evolutionary ecology via
132 founder effects and/or genetic drift (e.g. Bock et al., 2015).

133 There exists a positive but asymptotic relationship between the risk of at least
134 one high-impact species invading successfully and the number of species introduced to
135 a system (Fig. 1). There was a strong difference between risk associated with fish (13 of
136 25; 52%) versus invertebrate (7 of 45; 16%) invasions (Table 1). This difference may be
137 attributable to the respective trophic levels of these taxa, body mass differences,
138 introduction mode (intentional in the case of most fishes versus accidental for most
139 invertebrates), research effort and consequent understanding of impacts, or a
140 combination of these factors. Our assessment of impactful fishes (52%) corresponds
141 very closely with that of Mills et al. (1994), who reported that 50% of nonindigenous fish
142 species were high impact. Two of four fish species associated with ballast water
143 introduction were high impact. Twenty of the invertebrate species entered via ballast
144 water.

145 While Colonization Pressure may seem somewhat less popular to invasion
146 ecologists than Propagule Pressure, it is nevertheless critically important to invasion
147 patterns (e.g. Chiron et al., 2009). For example, managers are often charged with
148 preventing biological invasions, and are given finite resources with which to conduct
149 their programs. A logical question thus arises as to how best to deploy the budget to
150 maximize social benefit? Our analysis - based upon the history of NIS that established
151 in the Great Lakes – suggests that the focus ought to be based on vectors capable of
152 introducing multiple species simultaneously. Multiple introduction increase the likelihood
153 of getting at least one high-impact species (Ricciardi and Kipp, 2008; Ricciardi et al.,

154 2011), the effect being more pronounced for fishes than invertebrates (Fig. 1). Ricciardi
155 and Maclsaac (2011) previously observed that risk of sustaining invasion by an invasive
156 NIS increased with number of species introduced across a spectrum of both freshwater
157 and marine ecosystems, with the former seemingly being more vulnerable.

158 An obvious multiple-species vector is ballast water; however, since
159 implementation of mandatory ballast water flushing rules by both the USA and Canada,
160 there has not been a newly recorded ballast-mediated species introduction in the Great
161 Lakes (Bailey et al., 2011). A second possibility is fouling organisms on exterior
162 surfaces of vessels. While some species may have entered the Great Lakes via the
163 mechanism (Mills et al., 1993), it is nowhere near as strong a vector as it is in marine
164 systems where it can be the single-most important introduction mechanism. Other
165 possible vectors might include the live trade in pond and aquarium species (Pugnacco
166 et al., 2015), in which introduced aquatic plants could be fouled by nonindigenous
167 invertebrates or algae, while introduced fishes or invertebrates may be parasitized by
168 taxa non-indigenous to the system. For example, of 98 cases of co-introduction of
169 species, more than 50% involved freshwater fishes and their parasites (Lymbery et al.,
170 2014). Bait fish releases pose a further risk if the species sample is contaminated with
171 by-catch species (Drake and Mandrak, 2014). In each of these cases, however, the
172 total number of non-indigenous species introduced with a single introduction event is
173 likely to pale in comparison to that associated with ballast water and hull fouling in
174 marine environments (e.g. Chan et al., 2015b).

175 There may be exceptions to the concept of addressing multiple-species vectors
176 first. If, for example, a highly invasive species is not yet present, and if it were likely to

177 survive and disrupt the receiving ecosystem, a case could be made that a species-
178 specific prevention program was warranted. For example, the Golden Mussel
179 *Limnoperna fortunei*, a native of East Asia, has spread widely in that region and through
180 much of central South America. The species is ecologically similar to the Zebra Mussel
181 *Dreissena polymorpha*, though it seemingly has broader ecological tolerances and
182 would pose an even greater ecological risk (Ricciardi, 1998). In both Asia and South
183 America, the species is associated with severe biofouling of industrial and municipal
184 water intakes and strong ecological effects. It is not present in North America, Europe or
185 Australia, and prevention measures to ensure it is not introduced would be prudent.
186 Likewise, concern about Bighead Carp (*Hypophthalmichthys nobilis*) and Silver Carp (*H.*
187 *molitrix*) entering the Great Lakes has preoccupied managers throughout the Great
188 Lakes region, resulting in proposals to reengineer Chicago Area Waterways at great
189 expense to prevent invasion of Lake Michigan (USACE, 2014). Despite these specific
190 case studies where preventing invasion by one or a few species appears justified, in
191 general it would appear that preventative measures that target multi-species vectors
192 would be both more cost-effective and cost-efficient at preventing invasive NIS from
193 entering new ecosystems.

194 It is surprising that thus far only a handful of studies have explicitly assessed the
195 importance of Colonization Pressure in risk assessments of sustaining a future invasion
196 by one or more invasive NIS (Ahlroth et al., 2003; Verling et al., 2005; Roman and
197 Darling, 2007; Ricciardi and Kipp, 2008; Chiron et al., 2009; Ricciardi and Maclsaac,
198 2011). This dearth of studies may be because researchers are more familiar with
199 Propagule Pressure and its constituent parts, or because they work on individual

200 species or on vectors that transmit only one or a few species. Results from this and
201 previous studies indicate, however, that Colonization Pressure is also important and
202 ought not to be ignored.

203

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209

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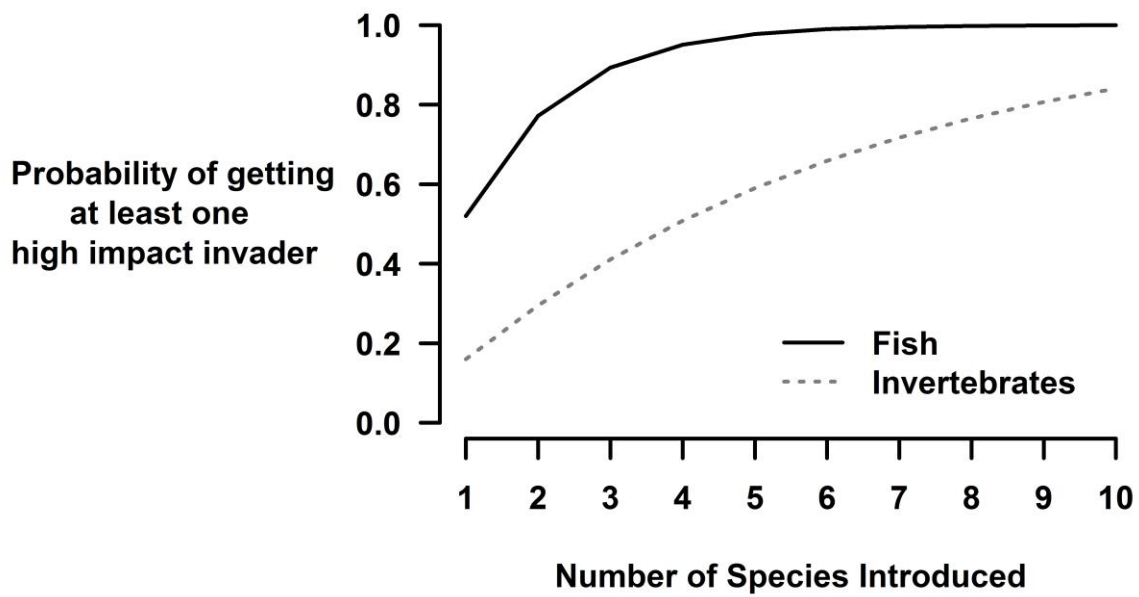
310 Table 1. Nonindigenous fishes and invertebrates in the Great Lakes (based on Great
 311 Lakes Aquatic Nonindigenous Species Information System) that are invasive in the
 312 system.

Taxon	Scientific Name	Common Name
Fishes	<i>Alosa pseudoharengus</i>	Alewife
	<i>Cyprinus carpio</i>	Common Carp
	<i>Gymnocephalus cernua</i>	Ruffe
	<i>Neogobius melanostomus</i>	Round Goby
	<i>Petromyzon marinus</i>	Sea Lamprey
	<i>Salmo trutta</i>	Brown Trout
	<i>Oncorhynchus mykiss</i>	Rainbow Trout
	<i>Oncorhynchus tshawytscha</i>	Chinook Salmon
	<i>Oncorhynchus kisutch</i>	Coho Salmon
	<i>Oncorhynchus gorbuscha</i>	Pink Salmon
	<i>Morone americana</i>	White Perch
	<i>Lepomis microlophus</i>	Redear Sunfish
	<i>Scardinius erythrophthalmus</i>	Rudd
Invertebrates	<i>Dreissena polymorpha</i>	Zebra Mussel
	<i>Dreissena rostriformis bugensis</i>	Quagga Mussel
	<i>Bythotrephes longimanus</i>	Spiny Waterflea
	<i>Cercopagis pengoi</i>	Fishhook Waterflea
	<i>Hemimysis anomala</i>	Bloody Red Shrimp
	<i>Echinogammarus ischnus</i>	Ponto-Caspian Amphipod
	<i>Cordylophora caspia</i>	Freshwater Hydroid

313

314 Figure 1. Probability of getting at least one new 'invasive' NIS as a function of number of
 315 species introduced based upon prior introduction experiences in the Laurentian
 316 Great Lakes.

317



318