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Assessing the Concurrent Validity of a Novel Performance-Based Task of Executive Functioning

By

Bryanna Graves

A Thesis  
Submitted to the Faculty of Graduate Studies  
through the Department of Psychology  
in Partial Fulfillment of the Requirements for  
the Degree of Master of Arts  
at the University of Windsor

Windsor, Ontario, Canada

2017

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Assessing the Concurrent Validity of a Novel Performance-Based Task of Executive Functioning

by

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## Abstract

Research has found that performance-based tests of executive functioning (PBT-EF) have inherent characteristics that limit their use in describing functioning in an ecologically valid manner. Recommendations have been made to improve prediction of everyday functioning, and the Functional Assessment of Verbal Reasoning and Executive Strategies (FAVRES) appeared promising. The current study investigated the concurrent validity of the FAVRES. It was hypothesized that the FAVRES would be a better predictor of everyday EF than an estimate of intelligence and, true to its goal, other PFT-EF. University undergraduate students and community members were invited to participate in the study. Participants ( $N = 78$ ; 63% Female,  $M_{\text{age}} = 22$  years) completed a demographic questionnaire, as well as the FAVRES, the Wechsler Test of Adult Reading (WTAR; an estimate of intelligence) and the Behavior Rating Inventory of Executive Function - Adult (BRIEF-A; a self-report measure of everyday EF). FAVRES and WTAR scores were used in a hierarchical regression model to predict BRIEF-A scores. Neither FAVRES nor WTAR scores predicted BRIEF-A scores. Post-hoc analyses revealed a relation between FAVRES performance and WTAR scores; whereas BRIEF-A scores were predicted by participant History of Psychopathology and State Distress. These findings indicate a stronger relation between psychopathology and everyday EF than between intelligence or PBT and everyday EF. Future investigation into the effect of emotion on EF related behaviours may be one avenue to improved performance-based measurement of EF.

## Acknowledgments

I want to thank my fantastic supervisor, Dr. Casey, for his encouragement, passion, insight, and support during this thesis and, more generally, throughout the completion of my degree. I also want to thank my thesis committee members for their helpful feedback and comments in the development of this work. Finally, I acknowledge the love, life lessons, and support of my family, fiancé, and friends, which provide me with joie de vivre and a purposeful context for my efforts and ideas. Also – music. Music and dancing - thank you, always and forever.

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## 1. Introduction

### Executive Function Conceptualization

The construct of executive functioning (EF) is nebulous: There are many researchers that offer definitions of EF, and each include a varying set of cognitive components or emphasize the importance of one or more components. In its early conceptualization, EF was coined *the central executive* and it held a role in the supervision and switching of attentional pathways within the context of working memory (Baddeley & Hitch, 1974). It was Luria (1973) that framed the central executive as a key organizer and monitor of intelligence, and who attributed EF to the frontal lobes (as cited in Jurado & Rosselli, 2007). The current conceptualization of EF is largely based on this framework, although several notable theorists have continued to develop our understanding (Banich, 2009; Jurado & Rosselli, 2007). For example, Lezak (1983) specified EF as key to the *carrying out* of behaviour, and declared the concept to include goal formation, the act of carrying out a goal-directed plan, and effective performance. Norman and Shallice (1986) describe EF as consisting of cognitive components that allow us to effectively work with novel information, plan for future events, and make good decisions. In the framework proposed by Barkley (2001), EF is understood as a method for individuals to maximize long-term social benefits, primarily through the use of response-inhibition (i.e., controlling one's reflective response to stimuli). Additionally, some suggest that modern frameworks of EF generally consist of inhibitory control, working memory, and cognitive flexibility, and that these components can be further broken down into subcomponents (e.g., planning and problem solving) (Diamond, 2013).

Discussion regarding the nature of EF and possible EF components are ongoing; no agreed upon definition exists. Some theorists position EF as a single domain, whereas others consider it composed of discrete subdomains that are fairly independent (Miyake et al., 2000). There is,

however, evidence that EF is both unitary and consisting of discrete components (Miyake et al., 2000). This controversy is further complicated by disagreement regarding the validity of EF as a construct dissociable from general intelligence (Jurado & Rosselli, 2007). Contradictory evidence has been provided. Some researchers suggest that EF shares little in relation to general intelligence (Ardila, Pineda, & Rosselli, 2000), whereas others suggest EF is essentially the same construct as general intelligence (Obonsawin et al., 2002), and others still, that only *some* components of EF overlap with general intelligence (Friedman et al., 2006). Many have turned to investigations of the frontal cortex to further develop our understanding of EF. Early studies investigating cognitive and behavioural changes after damage to the frontal lobes have helped to establish the relationship between EF and frontal neuroanatomy. Studies of frontal damage often revealed behavioural dysfunction in areas now considered the domain of EF, symptoms such as apathy, amotivation, impulsivity, perseveration, and disinhibition were common (Godefroy, 2003). As Suchy (2009) has outlined, the prefrontal cortex (PFC) has generally been found to associate with EF capacities, and particular anatomical sites of the PFC have been linked to specific components of EF, notably the dorsolateral prefrontal cortex with working memory, the supermedial prefrontal cortex with attention, response selection, and behaviour initiation, and the ventral PFC to outcome monitoring, inhibition, and decision making. Additionally, imaging techniques have revealed complex neural connections between regions of the PFC and subcortical and non-frontal regions during tasks designed to measure EF, again supporting the idea that the PFC is a major substrate of EF (Suchy, 2009; Jurado & Rosselli, 2007; Miller & Cohen, 2001; Stuss, 2011).

The complex neuroanatomical heterogeneity revealed by imaging studies have led some researchers to distinguish between “hot” and “cold” executive functioning, as tasks requiring affective appraisal tend to activate the orbitofrontal cortex (i.e., “hot EF”), whereas tasks

containing affectively neutral content are more often found to activate the dorsolateral prefrontal cortex (“cold EF”) (Kerr & Zelazo, 2004; Happaney, Zelazo, & Stuss, 2004; Hongwanishkul, Happaney, Lee, & Zelazo, 2005; Alvarez & Emory, 2006). Although neuropsychological science has largely been interested in investigating “cool” EF and thereby minimizing the role that affective processes play in our understanding of EF (Kerr & Zelazo, 2004; Alvarez & Emory, 2006; Banich, 2009; Jurado & Rosselli, 2007), there is a growing interest in this area (Hongwanishkul, Happaney, Lee, & Zelazo, 2005). Although current research on the relation between affective processing and EF have been conducted mostly in relation to decision making paradigms (Hongwanishkul, Happaney, Lee, & Zelazo, 2005), it is clear that EF and affective processes are intricately linked. For example, components of EF are thought by some as necessary for successful emotion regulation and multiple behaviour rating inventories of EF consider emotion regulation a major process carried out by EF (Jurado & Rosselli, 2007, Grace & Malloy, 2002; Gioia, Isquith, Guy, & Kenworthy, 2000; Hofmann, Schmeichel, & Baddeley, 2012). Relatedly, numerous neurological studies that have neural connections between subcortical sites linked to emotional processing and frontal areas (Pessoa, 2008; Circuits, 1994; Soares, & Mann, 1997; Royall et al., 2002; Carmichael, & Price, 1995; Ghashghaei, Hilgetag, & Barbas, 2007; Ghashghaei, & Barbas, 2002). Considering this, it is not surprising to find EF impairments to be a transdiagnostic marker of psychopathology (McTeague, Goodkind, & Etkin, 2016). However, it is unclear as to the causal relation between EF and psychopathology (Snyder, Miyake, & Hankin, 2015), or as to the importance of affective processing in EF outside the domain of decision making and emotion regulation.

Although the specificity and necessity of the frontal lobes in EF is far from irrefutable (Alvarez & Emory, 2006), most EF frameworks consist of overlapping points which typically

include the idea that there exists a fundamental neuroanatomical relationship between EF and the frontal lobes, that the role of EF is primarily related to the pursuit and monitoring of action toward a goal, and that effective EF is necessary for the development of adaptive human behaviour.

Although no common framework exists, it is accepted that EF is a “multidimensional behavioural concept covering a range of assumed higher order cortical functions, such as goal-directed behaviour, attentional control, temporal organisation and planning” (Lehto, Juujärvi, Kooistra, & Pulkkinen, 2003, p. 59)

### **Assessment of Executive Function**

Despite the efficacy of neuroimaging for the localization of frontal cortex injuries that may underlie executive dysfunction (ED), these techniques are limited in their capacity to assess the presence or extent of functional impairment related to ED (Reynolds & MacNeill Horton, 2008). Further, complications related to the ill-defined and multidimensional nature of EF have made it notoriously difficult to measure; it is nearly impossible to isolate EF components through the use of any given assessment (Suchy, 2009). However, there are common approaches to the assessment of EF. Assessment is typically undertaken with use of standardized performance-based tests (PBT) and/or rating inventories (RI).

PBT-EF are typically employed in laboratory or office settings and are designed to capture discrete components of EF such as inhibition, planning, or cognitive set-shifting (Toplak, West, & Stanovich, 2013). The performance of those taking these tests are often categorized and interpreted using accuracy or response-speed data extracted under time constraints within the context of a structured laboratory procedure (Toplak, West, & Stanovich, 2013). A study by Rabin, Barr, & Burton (2005) demonstrates how commonly EF is assessed using PBT. The authors conducted a survey of 747 North American clinical neuropsychologists and documented

general neuropsychological test use, as well as the use of specific tests to assess particular cognitive domains. Of the respondents who participated, 96.3% reported frequently measuring EF specifically. It was found that the Wisconsin Card Sorting Task (WCST) (Heaton, Chelune, Talley, Kay, & Curtiss, 1993), Rey–Osterrieth Complex Figure (Rey, 1941), Halstead Category Test (Halstead, 1947), Trail Making Test (Lewis & Rennick, 1979), and Controlled Oral Word Association Test (Benton & Hamsher, 1989), were most frequently used in EF assessment. To further demonstrate the ubiquitous use of PBT-EF, 75.5% of respondents reported using the WCST specifically (see Strauss, Sherman, & Spreen, 2006 for a detailed list of PBT-EF). Although each of these tests are intended to measure different components of EF, they share common characteristics (i.e., standardized administration procedures, they are completed in an office or laboratory setting, and they categorize performance using accuracy or response time).

In contrast, RI are used to assess EF through the endorsement of observed behavioural descriptions intended to represent the “typical” functioning of an individual. RI are thought to measure EF as they occur in naturally complex situations over an extended period of time (Toplak, West, & Stanovich, 2013). Reports can be given by the individual themselves or by informants who have had a chance to observe the individual. The items in an EF-RI are intended to “map onto” particular EF domains (e.g., “do they often rush into things without thinking” would be a potential question for use in the measurement of impulsivity) and items relating to conceptually similar domains are collapsed in order to give an overall impression of functioning in that area. Typically, RI are standardized and functioning is compared to a normative sample. Important to the assessment of any cognitive domain, ecological validity is a concept intended to describe that a *thing* is adequately represented as it exists and functions in the real-world. In the context of EF measurement, RI are typically considered the ecologically valid representation of

an individual's functioning by their very nature (i.e., behaviours are assessed through observations of their typical day-day activities by observers who have observed them over an extended period of time) (Gioia & Isquith, 2004; Chaytor, & Schmitter-Edgecombe, 2003). Further, RI are often found to strongly associate with daily living skills and adaptive functioning in various clinical populations (Barkley & Murphy, 2011; Mangeot, Armstrong, Colvin, Yeates, & Taylor, 2002; Clark, Prior, & Kinsella, 2002; Gilotty, Kenworthy, Sirian, Black, & Wagner, 2002), although limitations of RI assessment, such as observer bias and competency, are also important to consider (Denckla, 2002).

### **Discrepancy Between Inventory and Performance Measures**

Multiple EF-RI have been designed so that specific indices equate with cognitive processes considered the domain of executive function (e.g., organization, reasoning), and others to index common symptoms seen in those with frontal damage (Malloy & Grace, 2005). One of the most commonly used EF-RI is the Behavior Rating Inventory of Executive Function (BRIEF; Gioia, Isquith, Guy, & Kenworthy, 2000) (Toplak, West, & Stanovich, 2013). Toplak, West, & Stanovich (2013) reviewed the literature and found 13 studies that investigated the relation between the BRIEF and PBT-EF. On average, only 19% of the possible correlations between the BRIEF and PBT-EF were statistically significant; additionally, the mean correlation was small ( $r = 0.15$ ). When considering other RI (e.g., the Dysexecutive Questionnaire) in addition to the BRIEF, only 28% of possible correlations were significant and the amalgamated correlation was again small ( $r = 0.19$ ). This lack of association fits with numerous studies not included in their review, or published afterward (Stedal & Dahlgren, 2015; Qian, Shuai, Cao, Chan, & Wang, 2010; Løvstad et al., 2012; Rabi et al., 2006; Stringer, Toplak, & Stanovich, 2004; Rai et al., 2017).

The generally small, and often insignificant, relation between these two assessment procedures has inspired researchers to consider why a stronger or more consistent relation does not exist. Theorists have offered multiple explanations, most of which involve the concept of ecological validity. Firstly, many PBT-EF were originally designed to assess impairment related to particular brain functions and were not intended for use in predicting real-world performance (Odhuba, Broek, & Johns, 2005). Further, PBT-EF are *construct driven* and have been developed to measure theoretically defined constructs, such as inhibition, that are interpretations of data and not necessarily based on observed phenomena (Burgess et al., 2006). This approach to EF assessment is problematic because EF is not thought to operate through a single process, but is reliant on multiple cognitive process-output pathways (Burgess et al., 2006). EF, if we consider it in a position of supervision or monitoring of discrete processes, cannot be measured through the lens of singular constructs. *Function driven* assessment may better capture EF, as the focus would turn to the “directly observable behavioural output which is the product of a series of operations.... understood in terms of a goal, instruction or intention to act” (Burgess et al., 2006, p.196). This issue can also be understood through the framework of the International Classification of Functioning, Disability and Health (WHO, 2000). A pathological condition can affect an individual at different levels, including within the individual (i.e., pathology and impairment) or at an external level, in their activities and participation (Chan, Shum, Toulopoulou, & Chen, 2008). Construct driven experimental manipulation and testing is considered to measure EF at the *impairment or pathology level*, but it is in *activity and participation* that ecologically valid functioning can be realized (Chan, Shum, Toulopoulou, & Chen, 2008; Tate, Godbee, & Sigmundsdottir, 2013). Further, some have suggested that PBT and

RI measure different levels of EF, and that the cognitive and behavioural manifestations of EF are essentially separate constructs (Toplak, & Stanovich, 2004).

Another issue relates to the specificity of PBT; the detection of functional impairment is limited because the testing situation itself undermines the role of EF. These tests are typically performed in a manner wherein an examiner assumes the role of the participant's EF: The examiner monitors their performance, provides instructions, initiates and corrects behaviour, and sets goals and testing parameters (Manchester, Priestley, & Jackson, 2004). Additionally, any affective response during testing is properly responded to and resolved (Manchester, Priestley, & Jackson, 2004). The discrepancy between PBT and RI may also involve differences in temporal and contextual representation. PBT involve the measurement of EF in a short, decontextualized span of time, whereas RI capture EF as they unfold in a broad, dynamic, extended context (Gioia & Isquith, 2004; Manchester, Priestley, & Jackson, 2004; Toplak & Stanovich, 2004). Therefore, PBT-EF that take account of these criticisms may be in a position to reduce this discrepancy.

### **Functional Assessment of Verbal Reasoning and Executive Strategies**

Although several PBT-EF have been created with the aim of improving ecological validity, most of these tests require performance in a completely natural environment, reducing practicality of their use (Chevignard, Soo, Galvin, Catroppa, & Eren, 2012). A limited number of these tasks can be performed in an office space (Burgess & Shallice, 1997; Chalmers & Lawrence, 1993; Wilson, Evans, Alderman, Burgess, & Emslie, 1997; Robertson, Ward, Ridgeway, Nimmo-Smith, & McAnespie, 1991), although their efficacy is still unclear. Research has found these newer tasks to correlate weakly with RI and adaptive functioning (Toplak, West, Stanovich, 2013; Norris & Tate, 2000), to have similar discriminability to existing PBT-EF (Norris & Tate, 2000; Manchester, Priestley, & Jackson, 2004), and to correlate strongly with conventional PBT-EF



(Vordenberg, Barrett, Doninger, Contardo, & Ozoude, 2014). Although the tests appear to have addressed some of the criticisms of PBT-EF, many appear to have retained core issues. For example, the Brixton Spatial Anticipation Test (BSAT) is a rule attainment task involving procedures that resemble the WCST. Further, like the WCST, the BSAT is intended to measure set shifting, strategy generation, performance monitoring, and concept formation, albeit in an ecologically valid manner (Vordenberg, Barrett, Doninger, Contardo, & Ozoude, 2014; Nyhus & Barceló, 2009). In the BSAT, participants are presented with stimulus pages containing one blue circle in a location designated by changing rules; their task is to accurately predict where the subsequent circle will be. The total number of errors (i.e., incorrect prediction of the circle) across 55 trials is used to classify performance. The format of the BSAT appears to suffer from similar limitations as conventional PBT-EF (e.g., measurement of discrete constructs over a short period of time). However, a relatively new but understudied PBT-EF appears to be a promising step toward ecological validity. The Functional Assessment of Verbal Reasoning and Executive Strategies (FAVRES) explicitly addressed several limitations of PBT-EF (MacDonald & Johnson, 2005). The FAVRES was specifically designed to improve validity and utility of assessment in those with acquired brain injury (ABI) (MacDonald & Johnson, 2005). That is, the FAVRES was intended to be sensitive enough to measure subtle verbal reasoning and executive impairments associated with frontal lobe damage (MacDonald & Johnson, 2005).

The FAVRES test developers explicitly designed each embedded task to evaluate performance at the level of ones' activity and participation, as previous theorists have recommended (MacDonald & Johnson, 2005; Chan, Shum, Touloupoulou, & Chen, 2008; Tate, Godbee, & Sigmundsdottir, 2013). As Bilbao et al. (2003) outline, the assessment of activity and participation directly relate to the performance of realistic actions within the individual's natural

environment. An assessment of EF relevant to the domains of activity and participation can only be done through observation of complex, cognitively integrated, and contextually meaningful tasks because EF is not active in isolation. Unlike conventional PBT-EF, the FAVRES tasks present information within a socially-relevant context and asks the participant to “put themselves” into the situation and engage with the material in a meaningful, goal-directed fashion.

Additionally, each task requires a complex interplay of cognitive processes. These tasks were not designed to measure discrete EF constructs, but should theoretically invoke EF due to requirement of integrating and managing relevant goals and task demands using several cognitive components. That is, if an individuals’ “lower level” cognitive components (e.g., processing speed, memory) are intact, as these processes are certainly subsumed within the FAVRES, then ones’ FAVRES performance should represent their capacity to integrate, prioritize, attend to, and “orchestrate” the components. Again, the content of the FAVRES logically leads one to conclude the tasks are likely to be measuring EF processes in a contextual space relevant to activity and participation (Hughes & Orange, 2007).

The largely unstructured and untimed nature of the FAVRES tasks were also thought to logically lead toward improved ecological validity. Participants are given stimuli and are required to independently read through task instructions that can be decomposed into a “global goal” and “sub-goals”. That is, multiple task demands and parameters are given within an overall goal. For example, the global goal for the *Planning An Event* task is to choose a birthday event suitable for a child, while the sub-goals include ensuring the event can be held on a particular day the child is free, that the event’s cost is within budget, and also suits preferences and availability. The format of the FAVRES requires participants to find their own method of prioritizing and reasoning through the goals, and figuring out how to work through their reasoning toward the best solution.

Participants are not forced to solve a problem within a short timeframe, which potentially leads to solutions and reasoning unlike those that would have been made in their own lives. Although speeded response time indices allow us to make sense of an individual's ability to use their EF and other cognitive resources quickly, the creation of an artificial sense of urgency in testing may reduce test validity. The FAVRES allows participants to apply their "typical" procedure to problem solving.

Although there is limited research using the FAVRES, current literature suggested that increased ecological validity of everyday EF would be found. In a pilot study investigating the relationship between the FAVRES and employment in those with traumatic brain injury (TBI), FAVRES scores were strongly correlated with successful employment outcome,  $r = 0.83$  (Rietdijk, Simpson, Togher, Power, & Gillett, 2013). A study by Isaki & Turkstra (2000) investigated the best possible set of cognitive variables within 10 tests that could be used to discriminate between employed and unemployed TBI patients. The study only used 50% of the FAVRES subtests, and of those tests, only included one third of the possible FAVRES scores in their analysis. Despite the small representation of FAVRES measurement, the discriminant analysis revealed that one of the FAVRES scores, in addition to two scores related to listening skills, correctly classified 85% of participants. Further, the single FAVRES score (i.e., Scheduling Accuracy) was determined to be the most powerful discriminator. Additional studies have also found FAVRES scores to be useful in classifying employment status in neurologically impaired populations (Meulenbroek & Turkstra, 2016). Further, a systematic review suggests that the FAVRES is one of a very limited number of tests with consistent specificity and sensitivity in classifying those with ABI (Mueller & Dollaghan, 2013).

## 2. The Current Study

Not only is research on the FAVRES scarce, but not a single independent study has compared FAVRES performance to any EF-RI. The current study examined the concurrent validity of the FAVRES by assessing its strength in predicting everyday EF as indexed by the Behavior Rating Inventory of Executive Function, Adult (BRIEF-A; Roth & Gioia, 2005). Clinicians use PBT to define areas of cognitive strength and weakness in patients, to infer their levels of daily functioning, and to recommend beneficial treatment. Obviously, the validity of testing is of paramount concern to the field of psychology (Manchester, Priestley, & Jackson, 2004). This study aimed to establish the validity of the FAVRES in EF assessment and to determine to what extent criticisms of PBT-EF are important to improved ecological validity of PBT-EF. The primary research questions included: 1) can FAVRES performance predict everyday EF, and 2) does FAVRES performance account for unique variance in predicting functioning beyond that of an indicator of general intelligence. It was hypothesized that FAVRES performance would be predictive of everyday EF, and that FAVRES scores would account for unique variance in the prediction model.

## 3. Methods

### Measures

**Behavior Rating Inventory of Executive Function – Adult Version (BRIEF-A).** The BRIEF-A is a self-report behavioural inventory used to assess the presence of EF difficulties experienced by an individual over the last six months (Roth & Gioia, 2005). In regard to this measure, EF is conceptualized as “a set of interrelated control processes involved in the selection, initiation, execution, and monitoring of cognition, emotion and behavior, as well as some aspects of motor and sensory function” (Roth & Gioia, 2005, p.1). The BRIEF-A is

composed of 75 statements that describe various behaviours considered to reflect EF. Individuals are asked to rate on a three-point scale how often they experience problems with the behaviour: “Never = 0, Sometimes = 2, or Always = 3”. The BRIEF-A derives an overall score, the Global Executive Composite (GEC), which is composed of two index scores, the Metacognition Index (MCI) and the Behavioural Regulation Index (BRI). The GEC is considered a useful summary of an individual’s EF performance (Roth & Gioia, 2005). Therefore, the current study utilized GEC scores as the index of participants’ everyday EF. Five subscales are used to derive the MCI (Initiate, Working Memory, Plan/Organize, Task Monitor, Organization of Materials) and four subscales are used to derive the BRI (Emotional Control, Inhibit, Shift, Self-Monitor). The MCI is understood to represent ones’ ability to systematically solve problems through planning, organizing, sustaining effort, and working memory (Roth & Gioia, 2005). EF components related to inhibition and regulatory control of behaviour and emotion are captured by the BRI (Roth & Gioia, 2005). Raw scores of the normative group were smoothed using linear or polynomial transformations to create a *T* distribution with a mean score of 50, and standard deviation of 10 for the GEC, MCI and BRI (Roth & Gioia, 2005). Therefore, a *T* score of 65 or greater on these indices suggests the problems reported are clinically relevant. Three validity scales are also embedded; the Negativity Scale is used to determine unusually negative responding; the Infrequently Scale is used to determine the extent to which atypical items were endorsed, and response convergence is indexed with the Inconsistency Scale. These scales are used to determine likelihood of invalid responding.

The normative sample of the BRIEF-A includes 1,050 healthy adults who participated in an online survey. The sample approximates the 2002 U.S population in regard to gender, race/ethnicity, education, and geographic region. All demographic categories are convergent

with the census data (Roth & Gioia, 2005). The authors report group differences on some scales based on race/ethnicity, education, and gender. African American respondents scored slightly higher than Hispanic respondents on Organization of Material, but this finding is interpreted as non-meaningful (only 1% of score variance was accounted for by race/ethnicity). Those with more education scored somewhat lower on Emotional Control and Self-Monitor, although the correlation was weak ( $r = -0.14$  and  $r = -0.10$ , respectively). Women reported greater difficulty with Emotional Control, but gender accounted for only 2% of score variance on the scale. Since differences were found between ages on almost every scale, with younger participants reporting more difficulties, the authors separated the sample into distinct age-bands (18 – 29,  $n = 165$ ; 30 – 39,  $n = 170$ ; 40 – 49,  $n = 141$ ; 50 – 59,  $n = 123$ ; 60 – 69,  $n = 120$ ; 70 – 79,  $n = 162$ ; 80 – 90,  $n = 124$ ).

Cronbach's alpha (i.e., a measure of consistency between items intended to measure the same construct) ranged from 0.73 – 0.90 for subscales, and from 0.93 – 0.96 for indices and the GEC. Test-retest reliability was based on a subsample of the normative group ( $n = 50$ , 56% female,  $M_{\text{age}} = 39.43$  years) after an average interval of 4.22 weeks. Subscale retest correlations ranged from 0.82 – 0.93 (BRI and MCI,  $r = 0.93$ ; GEC,  $r = 0.94$ ), demonstrating strong reliability. Validity of the BRIEF-A has been supported through comparisons to other RI intending to measure similar or dissimilar constructs. Scores of individuals with mixed clinical diagnoses have been found to covary on the BRIEF-A and Frontal Systems Behaviour Scale (FrSBe) (Grace & Malloy, 2000), a measure designed to assess apathy, disinhibition, and executive dysfunction (Roth & Gioia, 2005). Particularly strong correlations were found between the GEC and Executive Dysfunction Scale (EDS) of the FrSBe ( $r = 0.67$ ). Additionally, the EDS correlated fairly well with all BRIEF-A subscales and indices ( $r$  from 0.35 – 0.74). BRIEF-A

scales were also found to correlate with the Dysexecutive Questionnaire total score ( $r$  from 0.73 – 0.84 for the index and global scores and 0.38 – 0.80 for subscale scores) (Roth & Gioia, 2005).

BRIEF-A scores were also compared to mental health symptom inventories. Relations between the BRIEF-A GEC and the Clinical Assessment of Depression (CAD) were lower than those generally found with other EF-RI (CAD Depressed Mood Index,  $r = 0.37$ ; CAD Anxiety/Worry Index,  $r = 0.51$ ) and correlations with the Beck Depression Inventory were similar ( $r = 0.49 – 0.59$ ) (Roth & Gioia, 2005). However, there is clearly an important relationship between BRIEF-A scales and mental health. This is in line with research finding the BRIEF-A to correlate with emotional distress (Løvstad et al., 2016). This is also consistent with literature suggesting that those with psychiatric disorders often exhibit EF impairments (Royal et al., 2002). In both the normative sample and a mixed clinical/healthy sample, exploratory factor analysis has supported the BRIEF-A's two-factor model (Roth & Gioia, 2005). However, additional research suggests that a three-factor solution would better discriminate between clinical and non-clinical samples (Roth, Lance, Isquith, Fischer, & Giancola, 2013). Overall, the BRIEF-A has documented at least adequate divergent and convergent validity, as well as strong reliability. Further, research has demonstrated that populations suspected to have selective EF impairment perform poorly on relevant BRIEF-A scales (Rabin et al., 2006; Reid, Karim, McCrory, & Carpenter, 2010; Biederman et al., 2005).

### **Functional Assessment of Verbal Reasoning and Executive Strategies (FAVRES).**

The FAVRES is a battery of PBT intended to measure subtle cognitive-communication skills in the context of EF demands (MacDonald & Johnson, 2005; MacDonald, 2005). The FAVRES was explicitly designed to measure subtle deficits in those with ABI and has been found to discriminate between those who successfully return to work or school in this population

(MacDonald & Johnson, 2005; MacDonald, 2005). The FAVRES describe EF as reflecting “self-awareness, goal setting, planning, self-directing, initiating, inhibiting, monitoring, self-evaluation, and flexibility in problem solving” (MacDonald, 2005, p. 4). Additionally, the application and manipulation of novel information during decision-making is given emphasis in the FAVRES conceptualization of EF. There are four tasks within the FAVRES and they need not be administered in any particular order. Each task is embedded within a simulated social context requiring a variety of cognitive skills; this structure was thought to help better parallel complex everyday action.

The tasks include: 1) *Planning An Event*, 2) *Scheduling*, 3) *Making A Decision*, and 4) *Building A Case*. For task one, the participant must search through mock entertainment pages to find the most applicable birthday event for a child, while following task guidelines (e.g., they cannot choose an event *only* available on a particular day of the week). *Scheduling* involves the participant being given a to-do list to complete in a 9:00am – 4:30pm workday. Participants are provided with an estimated timeframe for each item and must organize and designate specific timeslots to complete as much as they can from their list. *Making A Decision* requires the participant to read through the transcript of a family conversation and determine which gift, out of a list of gifts, would be preferred by a particular family member. *Building A Case* presents the written history of an individual’s relationship with a hired professional. The participant is asked to formulate a complaint regarding the professional’s poor work performance. Each of these tasks require the integration of multiple EF components, including planning, organization, task monitoring, problem solving, cognitive flexibility, working memory, inhibition, attention, and sustained effort (MacDonald, 2005). FAVRES performance is measured with four variables, 1) Time, 2) Accuracy, 3) Rationale and 4) Reasoning Subskills. These variables are measured for



each task, and are used to derive a total standard score of Time, Accuracy, Rationale and Reasoning Subskills. Time is used to capture efficacy of performance; it is the length of time required, in minutes and seconds, to complete each task. As there are no time limits and participants do not necessarily know they are being timed, Time was thought to provide an estimate of real-world activity efficiency using individuals' complete set of resources (i.e., they are not forced to provide an inaccurate solution because they are limited by time). However, due to practical limitations of the current study, participants were given a maximum time limit of 15 minutes to complete the *Planning An Event*, *Making A Decision*, and *Building A Case* task, and 25 minutes to complete the *Scheduling* task. The Accuracy score is a measure of task solution accuracy. For each task, points are awarded based on a gradient of solutions, ranging from 5 points (the answer accounts for most task demands) to 0 (the answer is entirely inaccurate or inappropriate, or no answer was given). Accuracy allows an index of participants' ability to solve the task, regardless of how long it takes them to do so. On tasks one through three, participants write out their reasons for choosing their solution; these reasons form their Rationale score. Valid reasons are provided in the examiners response booklet and each reason is given one point. Participants can be awarded up to 5 Rationale points, if they can provide at least three valid reasons for their decision. The Rationale score is calculated differently for *Building A Case*. In this task, the Rationale score is based on the total number of appropriate and inappropriate solutions described in their argument. The greatest possible score of 5 points is only awarded if the participant provides three reasonable solutions and no inappropriate solutions (MacDonald, 2005). Rationale assesses an individual's ability to reason through task demands, as well as their ability to communicate their reasoning through written expression. After each of the four tasks, examiners ask standardized follow-up questions to assess participants' ability to 1) Get The

Facts, 2) Filter Out Irrelevant Information, 3) Weight The Relevant Choices, 4) Be Flexible, 5) Generate Alternatives, and 6) Make Predictions. The total Reasoning Subskills score is the culmination of all points accrued from the follow-up questions after each of the four tasks. Time, Accuracy, Rationale, and Reasoning Subskills scores are then converted into standard scores ( $M = 100$ ,  $SD = 15$ ) and given a percentile rank for each of the tasks. These standard scores are compared to the normative sample to assess performance. For the purposes of this study, the total standard score for Time, Accuracy, Rationale and Reasoning Subskills were averaged (i.e., added together and then divided by four) to create a single standard score of each participant's FAVRES performance (FAVRES-C).

The normative sample includes 101 healthy individuals between the ages 18 – 79 ( $M = 38.59$  years,  $SD = 14.41$ ,  $M_{\text{education}} = 15.62$  years,  $SD = 2.59$ ). To test whether FAVRES performance was a function of age or education, correlations were conducted. Neither age or education revealed performance differences for Rationale or Accuracy scores (MacDonald, 2005). Analyses were not reported for Time or Reasoning Subskills. A comparison sample of 52 individuals with ABI were recruited to assess FAVRES sensitivity and specificity ( $M_{\text{age}} = 34.56$  years,  $SD = 13.33$ ,  $M_{\text{education}} = 13.86$  years,  $SD = 2.48$ ). It was found that a combination of total Accuracy and Rationale scores correctly identified group membership for 83% of controls and 88% of ABI participants (MacDonald, 2005). Additional performance comparisons revealed that the ABI group scored significantly lower than controls on Accuracy, Rationale, Time, and Reasoning Subskills (MacDonald, 2005). Comparison of FAVRES performance in those with ABI to the Scales of Cognitive Ability for Traumatic Brain Injury (SCATBI; Adamovich & Henderson, 1992) revealed moderate correlations between Accuracy and the reasoning scale of the SCATBI ( $r = 0.50$ ) (MacDonald, 2005). Further, correlations with unrelated SCATBI indices

were relatively low (SCATBI Recall and FAVRES Accuracy,  $r = 0.20$ ). Data on FAVRES reliability is limited. Inter-rater reliability was computed by comparison of Accuracy and Rationale scores from two speech-language pathologists with a sample of 10 ABI and 10 control participants (MacDonald & Johnson, 2005). Kappa reliabilities were 0.81 and 0.85, respectively. Reliabilities were also calculated between the first author of the paper and a research assistant on one subtest of the FAVRES,  $\kappa = 0.86$  (MacDonald & Johnson, 2005). Continued investigation is needed to gain further knowledge of this test's psychometric properties.

**Wechsler Test of Adult Reading (WTAR).** The WTAR is a commonly used measure of premorbid intelligence appropriate for those aged 16 – 89 years (Wechsler, 2001; Strauss, Sherman, & Spreen, 2006). The test examines a participant's pronunciation accuracy of 50 words which embody irregular and uncommon grapheme to phoneme characteristics. This procedure is thought to require cognitive skills acquired before injury on-set and indeed, research has found reading recognition to be relatively resistant to brain injury, disease, and age-related cognitive decline (Franzen, Burgess, & Smith-Seemiller, 1997; Wechsler, 2001). Empirical research also supports the suggestion that WTAR scores are resistant to Parkinson's Disease, Huntington's Disease, Wernicke-Korsakoff Syndrome, neuropsychiatric illness, and traumatic brain injury (Strauss, Sherman, & Spreen, 2006; Green, Melo, Christensen, Ngo, Monette, & Bradbury, 2008). However, WTAR scores are less resistant to severe TBI (Mathias, Bowden, Bigler, & Rosenfeld, 2007) and advanced dementia (Donnell, Pliskin, Holdnack, Axelrod, & Randolph, 2007; Strauss, Sherman, & Spreen, 2006). Additionally, the WTAR has been found to significantly under predict intelligence in those with reading disabilities, and it is recommended that the WTAR not be used with this population (Wechsler, 2001; Strauss, Sherman, & Spreen, 2006).

The WTAR was co-normed with the Wechsler Adult Intelligence Test – III (WAIS-III), therefore, the prediction of WAIS-III index scores and FSIQ is available (Wechsler, 2001). After test completion, the participant’s raw score (i.e., total number of correctly pronounced words) is converted to an age-corrected standard score and used to estimate an individual’s FSIQ. Predicted intelligence scores are derived from normative data stratified by education, age, gender, geographic region and race/ethnicity (Wechsler, 2001). Intelligence estimates can be derived using demographic data alone (age, gender, ethnicity, and education), WTAR performance alone, or a combination of performance and demographic data (Wechsler, 2001). In the estimation of intelligence, it is recommended that consideration of demographic variables and performance be used, as this combined methodology increases accuracy (Franzen, Burgess, & Smith-Seemiller, 1997; Axelrod, Vanderploeg, & Schinka, 1999). However, the WTAR combined prediction method only allows for normative comparison to ethnic groups considered “White” or “African American”, although “Hispanic” normative data is also available for some age bands (Wechsler, 2001). Therefore, the current study utilized the WTAR performance prediction method; this method accounts for age and performance only (Wechsler, 2001). This prediction method was used to prevent inaccurate ethnic group comparison, as a large portion of the study sample did not fit into the three ethnic categories available for comparison.

The WTAR normative sample includes 1,134 individuals stratified to reflect the 1999 U.S population with respect to age, gender, education, race-ethnicity, and geographic region (Wechsler, 2001). The test developers divide the sample into 13 age bands. Test-retest reliability is reportedly high across all age bands ( $M_r = 0.90$ ,  $M_{days} = 35$ ) and minimal practice effects were observed in the test-retest sample across the age bands ( $M_{difference} = -0.80$ ) (Strauss, Sherman, & Spreen, 2006). The WTAR has been found to correlate strongly with WAIS-III scores (FSIQ,  $r =$

0.73; Verbal Intelligence,  $r = 0.75$ ; Performance Intelligence,  $r = 0.59$ ; Perceptual Organization,  $r = 0.65$ ; Processing Speed,  $r = 0.47$ ; Working Memory,  $r = 0.62$ ). Correlations were weaker in a clinical sample ( $r = 0.34 - 0.66$ ), which has been interpreted to suggest that WAIS-III scores are likely more affected by clinical disease than WTAR scores (Strauss, Sherman, & Spreen, 2006). Accuracy of WTAR prediction is strong; in 70.4% of cases, the WTAR score alone (i.e., without inclusion of demographic characteristics) predicted scores within  $\pm 10$  points of their actual FSIQ score. Additionally, 38.7% of cases were accurately predicted within  $\pm 5$  points of their FSIQ (Strauss, Sherman, & Spreen, 2006). The WTAR has strong psychometric properties, although, research has found classification accuracy to be affected by range restriction. That is, the WTAR underestimates FSIQ at high-range values and overestimates at low-range values (Strauss, Sherman, & Spreen, 2006; Mathias, Bowden, & Barrett-Woodbridge, 2007). Despite this limitation, the WTAR is commonly used and has been utilized with populations that include individuals with schizophrenia (Kern et al., 2009; Horan, Kring, Gur, Reise, & Blanchard, 2011; Leeson, Sharma, Harrison, Ron, Barnes, & Joyce, 2011; Strauss et al., 2012; Sheffield, Williams, Woodward, & Heckers, 2013), drug users (Bedi & Redman, 2006), acquired brain injury (McDonald, Tate, Togher, Bornhofen, Long, Gertler, & Bowen, 2008; Bradbury, Christensen, Lau, Ruttan, Arundine, & Green, 2008), and depressed older adults (Birch & Davidson, 2007).

**Demographic questionnaire (DQ).** The DQ was designed by the primary experimenter and can be reviewed in Appendix A. The DQ asks participants to report any concerns regarding their psychological health; their responses were considered to reflect *either* formal diagnoses or mental health concerns, as follow-up clarification of participants' answers were inconsistently applied by examiners (i.e., not every participant was asked if their reported concerns were formal diagnoses). If participants did report concerns and/or diagnoses of mental illness, their answers

were classified in one of two binary categories: With Psychopathology = 1 or Without Psychopathology = 0. This binary coding reflects the variable “History of Psychopathology”. Participants were also asked to report the degree of their home-life stress, response options were coded as such: None = 0, Some = 1, or A lot = 2. Their response to this question reflects the variable “Stress Levels”. Participants also described their state (i.e., occurring at the time of testing) levels of energy, depression, anxiety, fatigue, and pain by drawing an X on a line anchored at each end by opposites levels of the given state (e.g., from “not depressed at all” anchoring one end of the line to “extremely depressed” anchoring the opposite side of the line). The participants’ placement of the X on each line was measured in millimetres with a ruler, thus giving each participant a unique score for each of the states (Erdodi, 2017). A composite index titled “State Distress” was derived by summing the individual millimeter measurements for depression, anxiety, fatigue, pain and state energy (which was reverse coded, therefore less energy is reflected by higher scores). Overall, higher scores on “State Distress” reflect greater levels of state depression, anxiety, fatigue and pain while also representing less energy. In contrast, lower scores reflect less state depression, anxiety, fatigue and pain, while also representing higher amounts of state energy.

### **Study Design and Procedures**

Participants were recruited through the undergraduate psychology participant pool at the University of Windsor, and given 0.5 credits per 30 minutes of their participation ( $n = 51$ ). Additional participants self-enrolled into the study by responding to advertisements posted throughout Windsor, Ontario ( $n = 31$ ). These participants were given a \$10 Tim Horton’s gift card for their time. Participants underwent study procedures in a private room located at the University of Windsor. Participants initiated procedures after they signed informed consent, and

were given a chance to discuss additional questions. All participants completed the DQ before beginning further procedures. Participants then completed the FAVRES, the WTAR, and the BRIEF-A. To reduce order effects, a computerized number randomizer determined administration order for each participant. Procedures were completed in one session, which took between 1 and 1.5 hours.

### **Participant Characteristics**

Participants included in the final data analyses were: 1) self-reported to be fluent in the English language, 2) between the ages of 18 to 25 years, 3) free of vision impairment, 4) found to have a WTAR predicted FSIQ  $> 70$ , and 5) considered to pass the three BRIEF-A validity indexes (i.e.,  $\leq 7$  on the Inconsistency Scale and  $\leq 2$  on the Infrequency Scale and  $\leq 5$  on the Negativity Scale). Eighty-two individuals were recruited for the study in total; of this sample, four participants were excluded from final data analyses due to age exclusion ( $n = 2$ ) or elevated BRIEF-A validity indexes ( $n = 2$ ). Therefore, the final sample included 78 individuals meeting study criteria (63% female;  $M_{\text{age}} = 22$  years). Table 1 displays basic demographic characteristics of the final sample. Table 2 highlights the ethnic composition of the sample. Finally, the psychological and medical characteristics of the sample are described in Table 3 and 4, respectively.

Table 1

*Basic Demographic Characteristics of Sample*

Variable	<i>n(N)</i>	%	<i>M(SD)</i>	Range
Age	78(78)	-	21.78(1.98)	18.40 - 25.70
Female	49(78)	62.8	-	-
Fluent in English	78(78)	100	-	-
<sup>1</sup> Valid BRIEF-A Profile	78(78)	100	-	-
Vision-abled	78(78)	100	-	-
<sup>2</sup> High School Diploma	57(78)	73	-	-
<sup>2</sup> College Diploma	7(78)	7	-	-
<sup>2</sup> Undergraduate Degree	14(78)	14	-	-

Note. <sup>1</sup>BRIEF-A = Behavior Rating Inventory of Executive Function, Adult version.

<sup>2</sup>Highest level of education already completed, as reported on the demographic questionnaire.



Table 2

*Final Sample Ethnic Composition*

Ethnicity	<i>n(N)</i>	%
Canadian	35(78)	44.9
South Asian	17(78)	21.8
Arab/Middle Eastern or Arab Canadian	10(78)	12.8
African or African American/African Canadian	8(78)	10.3
Asian	3(78)	3.8
European	3(78)	3.8
Hispanic/South American	2(78)	2.6
<i>Note.</i> Data reported on demographic questionnaire.		

Table 3

*Psychological Characteristics of Final Sample*

	<i>n(N)</i>	%
<sup>1</sup> Psychological Concerns and/or Diagnoses	23(78)	29.5
Social and/or Generalized Anxiety Disorder	19(78)	24.4
Major Depressive Disorder	9(78)	11.5
Bipolar I and/or Bipolar II Disorder	2(78)	2.6
Schizophrenia	1(78)	1.3
Anorexia/Bulimia	1(78)	1.3
Borderline Personality Disorder	1(78)	1.3
Obsessive Compulsive Disorder	1(78)	1.3
Post-Traumatic Stress Disorder	1(78)	1.3

*Note.* <sup>1</sup>Psychological concerns and/or diagnoses are not mutually exclusive: 11 of the 23 (47.8%) individuals who reported a psychological concern and/or diagnosis reported more than one disorder. Data reported on demographic questionnaire.

Table 4

*Medical Characteristics of Final Sample*

	<i>n(N)</i>	%
<sup>1</sup> Medical Illnesses Reported	16(78)	20.5
Attention-Deficit Hyperactivity Disorder	3(78)	3.9
Allergies	1(78)	1.3
Anemia	5(78)	6.4
Asthma	1(78)	1.3
Irritable Bowel Syndrome	1(78)	1.3
Familial Mediterranean Fever	1(78)	1.3
Acid Reflux Disease	1(78)	1.3
Stomach Cancer	1(78)	1.3
Heart Murmur	1(78)	1.3
Polycystic Ovarian Syndrome	1(78)	1.3
Migraines	1(78)	1.3
Patella Femoral Syndrome	1(78)	1.3
Hypothyroidism	2(78)	2.3

*Note.* <sup>1</sup>Medical illnesses are not mutually exclusive: 5 of the 14 (35.7%)

individuals who reported a medical illness reported more than one medical illness. Data reported on demographic questionnaire.

## Data Analysis

All analyses were conducted using SPSS 22.0. To address the primary hypotheses, a hierarchical multiple regression was used to predict GEC scores. This statistical technique allows interpretation of the effect of each predictor variable on the outcome variable, controlling for the effect of variables entered into previous blocks (Fields, 2013). Therefore, this analysis allows for interpretation of the effect of estimated FSIQ scores on GEC score prediction independently, as well as for interpretation of the effect of FAVRES-C scores in the prediction of GEC scores, controlling for estimated FSIQ scores. In the prediction of GEC scores, participant estimated FSIQ was entered into Block 1 of the model, followed by FAVRES-C scores in Block 2 of the model.

Given that the purpose of this study was to examine the concurrent validity of the FAVRES by determining if FAVRES performance would predict BRIEF-A scores, investigation into the correlations between study variables was extraneous to the hypotheses and therefore conducted exploratorily. Considering that prior research has found indices of psychopathology to relate to BRIEF scores (Roth & Gioia, 2005; Løvstad et al., 2016), the relation between the study variables (i.e., FAVRES, WTAR, BRIEF-A) and indices of mental health (i.e., State Distress, History of Psychopathology, Stress Levels) were of particular interest. Pearson's product moment correlation was conducted where appropriate, otherwise, Spearman's Rho and point-biserial correlations were used. Given the post-hoc correlations found between BRIEF-A scores and all indices of mental health (i.e., Stress Levels, History of Psychopathology, State Distress), a regression analysis was utilized in order to determine the relative importance of these variables on everyday EF. Considering the absence of *a-priori* theory regarding the relation between these mental health variables and BRIEF-A scores, all three were entered into the model together (i.e.,

a simultaneous forced entry method was used). A review of all assumptions for primary and post-hoc analyses, as well as a description of the results, are presented below.

## **4. Results**

### **Assumptions**

All assumptions of multiple regression, bivariate and point biserial analyses were assessed for primary and post-hoc analyses before data interpretation. The assumption of normality of the outcome variable was checked by reviewing histograms and employing Shapiro Wilk's test of normality; GEC scores were found to be normally distributed. The assumption of adequate sample size was met for the primary regression analysis; using G\*Power 3.0.10 software, a  $R^2$  change model power analysis revealed a minimum sample size of 68 was required for 80% power, given a 0.05 probability value of Type I error, and a small effect size ( $f^2 = 0.15$ ). Therefore, the current sample of 78 is sufficient. Post-hoc regression analyses also met this assumption; Pituch & Stevens (2015) recommend a minimum of 15 cases per predictor variable. In predicting GEC scores, three predictor variables were used. This analysis included 78 cases, therefore, this assumption was met. Linearity between the predictor variables and outcome variable is assumed in multiple linear regression as well (Cohen, Cohen, West, & Aiken, 2003). Visual inspection of normal Q-Q plots, bivariate scatterplots, and residual by predicted plots revealed linearity between the predictors and outcome variable in primary and post-hoc regression analyses (Cohen, Cohen, West, & Aiken, 2003). Normality of residuals was visually inspected using residual normal Q-Q plots, histograms, and in addition, Shapiro Wilk's test of normality was conducted on both standardized and unstandardized residuals (Cohen, Cohen, West, & Aiken, 2003). Residuals were found to be normally distributed for primary and post-hoc regression analyses. Based on a review of the predictor variable correlation matrices, as well as

the Variance Inflation Factor score (VIF) cut-off recommendation of 10 (Tabachnick & Fidell, 2001; Cohen, Cohen, West, & Aiken, 2013), the assumption of multicollinearity was met for primary ( $VIF = 1.14$ ; regression predicting BRIEF-A GEC scores) and post-hoc regression analyses ( $VIF = 1.42$ ; regression predicting BRIEF-A GEC scores). Residual by predicted scatterplot inspections and employment of the Breusch–Pagan test (Zeileis, & Hothorn, 2002; Cohen, Cohen, West, & Aiken, 2013) revealed no violations of homoscedasticity for primary or post-hoc regression analyses. Outliers were reviewed by visual inspection of standardized residual by standardized predicted score scatterplots; given a recommended cut-off score of 3 for “unusual” cases (Field, 2013), few cases were of concern across the primary and post-hoc regression analyses. However, these cases were evaluated further to determine their influence on their respective regression equations. Cooks D values were reviewed and potential outliers across the regression analyses were found to be well below the suggested Cooks D cut-off of 1 and therefore, data removal was not warranted for these cases (Field, 2013).

The assumptions of bivariate correlations were also checked. Scatterplots were visually inspected, and Shapiro Wilk’s test of normality was employed, to determine if variables were normality distributed (Field, 2013). For those variables that were found to violate this assumption (i.e., Stress Levels, History of Psychopathology, and State Distress), non-parametric correlation analyses were conducted and interpreted (Field, 2013). The assumption of continuous or ordinal measurement for bivariate and nonparametric correlation was also considered; for those variables that were binary in nature (i.e., History of Psychopathology), point biserial correlations (i.e., anchored with values of either “0” or “1”) were used (Field, 2013). All correlated variables were considered in pairs; no missing data was present in the current study.

## Primary Analysis

A description of participant scores on relevant study measures is provided in Table 5.

Table 5

### *Participant Performance on Study Measures*

Measure	<i>N</i>	<i>M</i> ( <i>SD</i> )	Range
Estimated FSIQ	78	101.33(9.85)	82 – 115
<sup>1</sup> Global Executive Composite	78	53.23(9.85)	36 – 81
FAVRES-C	78	81.96(11.10)	52.25 – 102.75
<sup>2</sup> State Distress	78	107(68)	0 – 313
<sup>3</sup> Stress Levels	78	0.90(0.66)	0 – 2

*Note.* <sup>1</sup>BRIEF-A *T* scores with a *M* = 50; higher scores represent greater impairment.

<sup>2</sup>Higher scores represent greater levels of state depression, anxiety, fatigue and pain but less state energy. <sup>3</sup>0 = No Stress, 1 = Some Stress, 2 = A lot of Stress.

Table 6

*Participant Levels of State Distress*

Index	<i>N</i>	<i>M(SD)</i>	Range
Pain	78	7.54(16.21)	0 – 92
Fatigue	78	34.11(25.48)	0 – 81
Depression	78	14.42(20.32)	0 – 81
Anxiety	78	18.26(22.60)	0 – 89
<sup>1</sup> Energy	78	32.67(20.94)	0 – 80

*Note.* As measured with the V5 on the demographic questionnaire. <sup>1</sup>Reverse coded; higher scores represent less state energy.

**Hierarchical Multiple Regression Predicting GEC Scores.** A two-block hierarchical multiple regression was conducted in the prediction of GEC scores. Participants' estimated FSIQ was entered first, followed by FAVRES-C scores in Block 2. Neither FSIQ scores,  $F(1,76) = 0.32, p = 0.57$ , or FAVRES-C scores,  $F(1,75) = 0.82, p = 0.37$ , significantly contributed to the prediction of GEC scores. Therefore, this model was not considered a good fit for the data, and interpretation of regression coefficients was not conducted. A summary of the model statistics is presented in Table 7. Additionally, correlations between FAVRES subtests and BRIEF-A subscales are presented in Table 8. As displayed, few relations were found between the two measures.



Table 7

*Summary of Model Statistics in Regression Predicting GEC Scores*

Variable	R	R <sup>2</sup>	$\Delta R^2$	F	p
Block 1					
FSIQ	0.07	0.00	-0.01	0.32	0.57
Block 2					
FAVRES-C	0.12	0.02	-0.01	0.57	0.57
<i>Note.</i> Blocks are not significant.					

Table 8

*Correlations Between FAVRES Subscales and BRIEF-A Subscales*

	<sup>1</sup> Time	<sup>1</sup> Reasoning	<sup>1</sup> Rationale	<sup>1</sup> Accuracy	Composite
<sup>2</sup> BRIEF-A Subscales					
Inhibit	0.15	-0.04	0.11	-0.02	0.07
Shift	-0.04	-0.08	-0.12	0.04	-0.04
Emotion Control	0.13	-0.07	-0.15	-0.06	-0.03
Self-Monitor	0.18	-0.01	-0.07	-0.00	-0.01
Initiate	-0.03	-0.18	-0.17	-0.08	-0.15
Working Memory	0.01	-0.16	-0.20	-0.21	-0.20
Planning/Organization	-0.19	-0.19	-0.20	-0.08	-0.22*
Task Monitoring	0.01	-0.18	-0.07	-0.16	-0.13
Organization of Materials	0.06	0.02	0.06	0.04	0.08

Note.  $N = 78$ ; Spearman's rank ordered correlations are reported. \* $p \leq 0.05$ , two tailed.

<sup>1</sup>FAVRES standard scores reported. <sup>2</sup> $T$  scores reported. Composite = FAVRES- C.

**Post-hoc Analysis**

**Bivariate, Rank Ordered, and Point Biserial Correlations.** Relations between the FAVRES-C, BRIEF-A GEC, estimated FSIQ and variables related to mental health (i.e., Stress Levels, History of Psychopathology, State Distress) were conducted. As previously mentioned, the latter analysis was considered appropriate as previous literature has found indices of mental health to correlate with BRIEF scores (Roth & Gioia, 2005; Løvstad et al., 2016). Results of the correlation analyses are displayed in Table 9. As seen in Table 9, a significant positive

correlation was found between FAVRES-C and estimated FSIQ,  $r = 0.35$ ,  $p < 0.01$ . Neither the FAVRES-C nor estimated FSIQ were significantly correlated with GEC scores,  $r = 0.07$ ,  $p = 0.57$ , and  $r = -0.07$ ,  $p = 0.52$ , respectively. However, relations between GEC scores and all variables related to mental health were positively correlated (i.e., Stress Levels, History of Psychopathology, and State Distress). These findings warranted an additional regression analysis in order to determine the relative importance of each of these mental health variables on everyday EF.

Table 9

*Correlations Between Relevant Study Variables*

Variable	1	2	3	4	5	6
1. FAVRES-C	-					
2. WTAR FSIQ	<sup>1</sup> 0.35**	-				
3. BRIEF-A GEC	<sup>1</sup> -0.07	<sup>1</sup> 0.07	-			
<sup>2</sup> 4. H. of Psychopathology	-0.02	0.07	0.35**	-		
<sup>3</sup> 5. State Distress	0.12	0.04	0.41**	<sup>2</sup> 0.43**	-	
<sup>3</sup> 6. Stress Levels	0.09	0.00	0.34**	0.23*	0.42**	-

*Note.*  $N = 78$ . \*\* $p < 0.01$ , two tailed. \* $p < 0.05$ , two tailed. <sup>1</sup> Pearson product moment correlation. <sup>2</sup>Point biserial correlation: “Without Pathology = 0” and “With Pathology = 1”. <sup>3</sup>Spearman’s rank ordered correlation reported. H. of Psychopathology = History of Psychopathology.

**Multiple Regression Predicting GEC Scores.** A multiple regression using the simultaneous forced entry method was conducted in the prediction of BRIEF-A GEC scores.

Stress Levels, History of Psychopathology, and State Distress were simultaneously entered into the model as predictors, and this model was significant,  $F(3,74) = 11.09, p < 0.01, R^2 = 0.31$ . Interpretation of the model coefficients revealed that Stress Levels did not significantly contribute to change in GEC scores,  $\beta = 0.09, t = 0.85, p = 0.40$ . However, both State Distress and History of Psychopathology were significant predictors of GEC scores. Together, History of Psychopathology and State Distress accounted for 31% of variance in GEC scores. Between these two predictors, State Distress contributed most to the prediction of GEC scores, uniquely accounting for 32% of the total variance explained by the model. Table 10 details the model summary, as well as the regression coefficients.

Table 10

*Summary and Coefficients of Regression Model Predicting GEC*

R	R <sup>2</sup>	$\Delta R^2$	<sup>1</sup> Variable	Sr	<i>b</i>	SE <i>b</i>	$\beta$	<i>t</i>	<i>p</i>
0.56	0.31	0.28	Constant	-	44.76	1.92	-	23.35	0.00
			Stress Levels	0.08	1.36	1.61	0.09	0.85	0.40
			History	0.20	4.77	2.30	0.22	2.08	0.04
			State Distress	0.32	0.06	0.02	0.38	3.28	0.00

*Note.* <sup>1</sup>Simultaneous forced entry method used. History = History of Psychopathology.

## 5. Discussion

The current study sought to determine if a relatively new and unstudied PBT-EF, the FAVRES, accurately represented everyday EF, as measured by a behavioural rating inventory (the BRIEF-A). The FAVRES developers attempted to address the limitations of conventional PBT-EF by creating a largely unstructured, untimed body of tasks embedded within a social

context (MacDonald & Johnson, 2005). Therefore, it was hypothesized that an overall index of FAVRES task performance would significantly predict everyday EF, as indexed by BRIEF-A summary scores. Additionally, it was hypothesized that FAVRES performance would uniquely contribute to BRIEF-A score prediction in a hierarchical regression model that also included an estimate of participants' intelligence.

Contrary to expectations, it was revealed that neither the FAVRES nor an estimate of intelligence (i.e., WTAR scores) predicted everyday EF. These findings converge with those of many studies indicating a weak or non-significant relation between PBT-EF and behavioural rating inventories of EF (Toplak, West, & Stanovich, 2013; Stedal & Dahlgren, 2015; Qian, Shuai, Cao, Chan, & Wang, 2010; Løvstad et al., 2012; Rabi et al., 2006; Stringer, Toplak, & Stanovich, 2004; Silver, 2014). Additionally, research has also found a weak and inconsistent relation between EF and intelligence (Jurado & Rosselli, 2007; Ardila, Pineda, & Rosselli, 2000; Friedman et al., 2006; Davis, Pierson, & Finch, 2011; Crinella, & Yu, 1999). In the current study, FAVRES scores were predicted by WTAR scores. Given that the FAVRES appears to require several cognitive and academic processes for successful completion of tasks, including verbal reasoning and comprehension, reading skill, written expression, working memory, and processing speed, it is not surprising that an estimate of intelligence would predict FAVRES performance. The WTAR and FAVRES both rely heavily on verbal ability. Specifically, reading and writing are fundamental to performance on both tasks. Therefore, this shared methodological structure may be driving the relation found in this research. Additional research will need to be conducted in order to determine if the FAVRES is best considered a measure of verbal reasoning and intelligence, rather than EF.

It may also be the case that FAVRES scoring procedures and normative properties reduce accurate representation of everyday EF. A review of scoring procedures reveals that to perform *perfectly* on most FAVRES tasks, an individual does not need to perform their “best” on the task. That is, a perfect score does not necessarily capture a response that integrates all task demands and may actually be capturing individuals’ ability to apply basic verbal comprehension, reasoning, and expression skills. Throughout FAVRES tasks, the possible range of scores is not fully captured by the range of responses, which likely limits important response variance that may be relevant in differentiating how an individual is using EF to complete tasks. For example, In Task 1 (i.e., *Choosing an Event*), an individual must pick a suitable event for a child based on a maximum of five task demands, but an individual only needs to express an understanding of three task demands in their response in order to get a perfect score. Therefore, there is no difference in scores between someone who picked an event based on *all* five task demands and someone who picked *only* three - although this difference may actually be relevant to their everyday EF. This type of scoring procedure is found throughout the FAVRES. In Task 2 (i.e., *Scheduling*), an individual gets a maximum of five points for scheduling at least nine tasks in their day; however, the task calls for the possibility of scheduling a maximum of 12 tasks. Further, there are some tasks that are presented as more important than others (e.g., the “Customer Service Project” is described as a priority accompanied by a specific deadline for completion and delivery), yet, an individual who does not schedule the important tasks, and completes 9 of the “lesser tasks”, will get the same five points as someone who scheduled all 12 possible tasks, including those that are highlighted as the most important. Additionally, it appears that the distribution for some of the FAVRES scores (i.e., Accuracy and Rationale) are not normal, as outlined in the normative tables (MacDonald, 2005). For example, a raw score of 18

(out of a maximum score of 20) on total Rationale places an individual in the 32<sup>nd</sup> percentile while a raw score of 19 places them in the 59<sup>th</sup> percentile. Further, a raw score of 20 results in classification of performance at the 100<sup>th</sup> percentile. That is, nearly no one in the normative sample obtained a perfect score of 20 across the four tasks, but nearly everyone in the sample obtained an *almost perfect* score (i.e., a raw score of 18 or 19), although the corresponding percentile rank is qualitatively “low”. At the upper end of the distribution, minor raw score differences greatly alter classification of performance and this may be a psychometric artifact rather than a reflection of true differences in performance or capacity. Therefore, the positive skew of score distribution clouds interpretation of scores. In this study, the average FAVRES standard score was qualitatively low (i.e., ~82; approximately two standard deviations below a mean standard score). However, the top FAVRES performer in this sample only obtained a standard score of approximately 103 (a qualitatively “average” score), although in terms of raw scores, this standard score reflects *nearly perfect* performance across tasks. Again, it is difficult to interpret the meaning of FAVRES scores given these findings. Overall, it appears that scoring procedures and characteristics of the normative data may be reducing important information about how individuals are performing and completing the FAVRES.

The current study found that concerns and/or diagnoses of psychopathology (i.e., History of Psychopathology), home-life stress (i.e., Stress Levels), and participants’ emotional state at the time of responding (i.e., State Distress) were all significantly related to everyday EF, as indexed by the BRIEF-A. That is, increases in everyday EF impairments were found to relate to increases in Stress Levels, State Distress, and History of Psychopathology. Regarding History of Psychopathology, those individuals who reported concerns/and or diagnoses of mental illness were found to have increased impairments in everyday EF. These findings fit with prior research

that have also revealed a link between everyday EF and indices of mental health and emotional distress (Løvstad et al., 2016; Roth & Gioia, 2005). In the current study, a simultaneous entry regression model including History of Psychopathology, State Distress, and Stress Levels resulted in History of Psychopathology and State Distress being the only variables predictive of everyday EF. This is likely due to the fact that family-related stress is thought to increase risk of psychopathology (Brent, 1995; Cuffe, McKeown, Addy, & Garrison, 2005); History of Psychopathology and State Distress likely subsume the affects that Stress Levels would have on everyday EF. Further, it is generally understood that those who struggle with their psychological health demonstrate impairments in everyday EF, including difficulties with impulse control (Williams, Sidis, Gordon, & Meares, 2006; Williams, Daros, Graves, McMain, Links, & Ruocco, 2015), cognitive flexibility (Fossati, Ergis, & Allilaire, 2002), working memory (Spitzer, 1993), and organization/planning (Snyder, Miyake, & Hankin, 2015). In fact, the criteria for a diagnosis of most mental illnesses necessarily imply the presence of EF impairments (American Psychiatric Association, 2013). For example, a diagnosis of major depressive disorder requires an individual to endorse low mood nearly everyday, or a lack of pleasure in activities, plus at least four additional symptoms, many of which appear to represent impairment in impulse control or behavioural initiation (e.g., an inability to control food intake, self-deprecating or suicidal thoughts, or in managing ones' sleep cycle) (American Psychiatric Association, 2013). As such, it is not surprising to find indices of emotional distress and psychopathology were predictive of everyday EF. Theoretically, if one conceptualizes EF as being necessary to the development of adaptive skills to which humans use in the pursuit of personally developed long term goals, as appears to be commonly thought (Lezak, 1983; Norman & Shallice, 1986; Barkley, 2001; Suchy, 2009; Jurado & Rosselli, 2007), it would logically follow that human



emotional health be implicated in everyday EF, as motivations, decisions, and goals are intricately related to our emotional experience (Bagozzi, Dholakia, & Basuroy, 2003; Perugini & Bagozzi, 2001; Lemerise, & Arsenio, 2000; Sanfey, Rilling, Aronson, Nystrom, & Cohen, 2003). That is, our decisions, motivations, and goals are not enacted based on a static foundation of cognitive capacity, but our cognitive skills are utilized in the presence of complex social and emotional processes. The current research findings suggest that behavioural manifestations of EF may be reflective of neurologically defined EF processes working within the constraint of an individuals' emotional functioning. However, future research will need to be conducted in several areas to evaluate the strength of these conclusions.

## **6. Conclusions**

The current study did not support conclusions regarding the concurrent validity of the FAVRES as a new PBT-EF. Given the current study results, it appears that the FAVRES may be better considered a PBT of verbal reasoning and intelligence in the context of EF. However, the study findings converge with literature suggesting a link between everyday EF and mental health, specifically, this study found that those who report greater levels of home-life stress, state distress and a history of psychopathology, were more likely to endorse increased symptomology of everyday EF impairments. Although, only a history of psychopathology and participant state distress were predictive of everyday EF.

## **7. Directions for Future Research**

This research aligns with literature that has found it difficult to establish convergence between PBT-EF and everyday EF, even when tasks are specifically designed to address current limitations in ecological validity (Toplak, West, & Stanovich, 2013; Stedal & Dahlgren, 2015; Qian, Shuai, Cao, Chan, & Wang, 2010; Løvstad et al., 2012; Rabi et al., 2006; Stringer, Toplak,

& Stanovich, 2004; Odhuba, Broek, & Johns, 2005). The FAVRES is one of a set of established PBT-EF intended to improve prediction of everyday EF, and the current research has found it to have limited utility in predicting everyday EF. Given that the FAVRES addressed several criticisms of conventional PBT-EF, including the establishment of a broadened set of task parameters, reduced task structure and time constraints, it is unclear what merits can be placed on current criticisms of conventional PBT-EF. Future studies are needed to determine the extent FAVRES scoring and norming procedures are reducing efficacy in explicating everyday EF. Additionally, empirical data comparing FAVRES performance with complete intelligence batteries and academic achievement tests (e.g., the Wechsler Adult Intelligence Scale 4<sup>th</sup> Edition; Wechsler, 2008), as well as conventional PBT-EF (e.g., the WCST) can provide definitive conclusions as to the FAVRES relation with intelligence and basic academics (e.g., reading, writing). With this comprehensive data, clinicians and researchers can determine how the FAVRES might be used in clinical practice.

The current study found multiple indices of mental health to be predictive of everyday EF. Given that numerous studies have also found measures of emotional functioning/and or indices of mental health to strongly relate to everyday EF (Løvstad et al., 2016; Roth & Gioia, 2005; Williams, Sidis, Gordon, & Meares, 2006; Williams, Daros, Graves, McMain, Links, & Ruocco, 2015; Fossati, Ergis, & Allilaire, 2002; Spitzer, 1993; Snyder, Miyake, & Hankin, 2015), a greater integration of emotional functioning in the conceptualization and measurement of EF may be one avenue to improved PBT. However, there is a need to determine to what extent participant distress relates to response bias in reporting of everyday EF. Additionally, further research might disentangle the directions of causality between EF and mental health; do EF impairments increase the risk of psychopathology or vice versa, or, are affective processes and

EF fundamentally linked at the behavioural level? These questions are worth investigating and can provide useful insight into these findings.

Theoretically, EF and emotional functioning would seem to impact upon each other in everyday life, as behaviours are embedded within personal decisions and motivations related to one's emotional life (Bagozzi, Dholakia, & Basuroy, 2003; Perugini & Bagozzi, 2001; Lemerise, & Arsenio, 2000; Sanfey, Rilling, Aronson, Nystrom, & Cohen, 2003). The current study supports the link between emotional functioning/mental health and everyday EF. Therefore, future research investigating the conceptual link between everyday EF and emotion, from a social and affective neuroscience framework, may lead to the improvement of PBT-EF. This idea has been suggested by other theorists as well. Chan, Shum, Touloupoulou & Chen (2008) suggest that the integration of social cognitive neuroscience in EF assessment may help to bridge the gap between laboratory measurement of EF and everyday functioning, as investigations of complex emotion involved in social integration have been relevant in understanding performance on EF tasks related to decision making. Additionally, researchers have suggested the need for greater investigation into the relation between psychopathology and EF impairments. It is currently unknown how these often-overlapping difficulties are causally linked (Snyder, Miyake, & Hankin, 2015). Snyder, Miyake, & Hankin, (2015) further posit that current practices in the field of clinical science operate through a disconnection between clinical and cognitive approaches to EF and psychopathology, and call for greater integration of these approaches in order to further current knowledge. Additionally, from a neuroanatomical standpoint, frontal lobe functionality has been found to share neuronal connections with anatomical sites linked to emotional processing (Circuits, 1994; Soares, & Mann, 1997; Royall et al., 2002; Carmichael, & Price, 1995; Ghashghaei, Hilgetag, & Barbas, 2007; Ghashghaei, & Barbas, 2002), again suggesting

that the integration of affective and social cognitive neuroscience perspectives, which emphasize emotional processes in investigations of behaviour, may improve our understanding and measurement of EF (Pessoa, 2008). That is, PBT methodology that integrates one's EF capacity in the context of their emotional processing, may be in a better position to predict individuals' EF behaviours as seen in their everyday life.

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**Appendix A, Demographic Questionnaire**

Demographic Questions

- 1) Date of Birth (year/month/day):
- 2) Gender (e.g., male, female, transgender):
- 3) Primary Ethnic Identity (e.g., Canadian, South African):
- 4) Are you fluent in speaking, writing and understanding the English language? Yes or No (please circle)
- 5) What is your mother's occupation? \_\_\_\_\_
- 6) What is your father's occupation? \_\_\_\_\_
- 7) How would you describe your home-life stress (i.e., stress caused from home/family difficulties):
  - A. I have a lot
  - B. I have some, but not too much.
  - C. I don't have any.
- 8) Have you ever been arrested? Yes or No (please circle)
- 9) How do you feel about your alcohol, drug, or tobacco use?
  - A. I use way too much
  - B. I use, but it's never been an issue
  - C. I don't use at all
- 10) When was the last time you ingested any illegal drugs or prescribed medications?  
\_\_\_\_\_
- 11) Please list any illegal drugs or prescribed medications that you regularly use:  
\_\_\_\_\_
- 12) Do you need glasses or contacts to read? Yes or No (please circle)
- 13) Are you wearing them? Yes or No (please circle)
- 14) What is the highest grade of education you have *already completed*: \_\_\_\_\_
- 15) Have you ever skipped a grade? Yes or No (please circle)

16) Have you ever repeated a grade? Yes or No (please circle)

17) Have you ever had an Individual Education Plan? Yes or No (please circle)

18) Please list any medical conditions (e.g., diabetes, cerebral palsy):

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19) Please list any learning disabilities (e.g., ADHD):

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20) Please list any psychological concerns (e.g., depression, anxiety, schizophrenia):

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21) How many hours of sleep did you get last night? \_\_\_\_\_

22) Please mark the lines below with an "X" to best capture how you feel *right now, at this moment*.

No energy at all      **Energy**      \_\_\_\_\_      Full of energy

Not depressed at all      **Depression**      \_\_\_\_\_      Extremely  
depressed

Not anxious at all      **Anxiety**      \_\_\_\_\_      Extremely anxious

Not tired at all      **Fatigue**      \_\_\_\_\_      Extremely tired

No pain at all      **Pain**      \_\_\_\_\_      Extreme pain

**Appendix B, List of Acronyms**

<b>ABI</b>	Acquired Brain Injury	<b>MCI</b>	Metacognitive Index
<b>BRIEF</b>	Behavior Rating Inventory of Executive Function	<b>PBT</b>	Performance Based Test
<b>BRIEF-A</b>	Behavior Rating Inventory of Executive Function, Adult Version	<b>PBT-EF</b>	Performance Based Test of Executive Functioning
<b>BRI</b>	Behavior Regulation Index	<b>PFC</b>	Prefrontal Cortex
<b>BSAT</b>	Brixton Spatial Anticipation Test	<b>RI</b>	Rating Inventory
<b>CAD</b>	Clinical Assessment of Depression	<b>SCATBI</b>	Scales of Cognitive Ability for Traumatic Brain Injury
<b>DQ</b>	Demographic Questionnaire	<b>TBI</b>	Traumatic Brain Injury
<b>ED</b>	Executive Dysfunction	<b>TCPS</b>	Tri-Council Policy Statement
<b>EDS</b>	Executive Dysfunction Scale	<b>THC</b>	Teen Health Center
<b>EF</b>	Executive Function	<b>U. S</b>	United States
<b>EF-RI</b>	Executive Function Rating Inventory	<b>WAIS-III</b>	Wechsler Adult Intelligence Scale, Third Edition
<b>FAVRES</b>	Functional Assessment of Verbal Reasoning and Executive Strategies	<b>WCST</b>	Wisconsin Card Sort Task
<b>FAVRES-C</b>	Functional Assessment of Verbal Reasoning and Executive Strategies, Composite Score	<b>WTAR</b>	Wechsler Test of Adult Reading
<b>FrSBe</b>	Frontal Systems Behaviour Scale		
<b>FSIQ</b>	Full Scale Intelligence Quotient		
<b>GEC</b>	Global Executive Composite Score		

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